

# Comparative Analysis of Some Types of Free Electron Lasers

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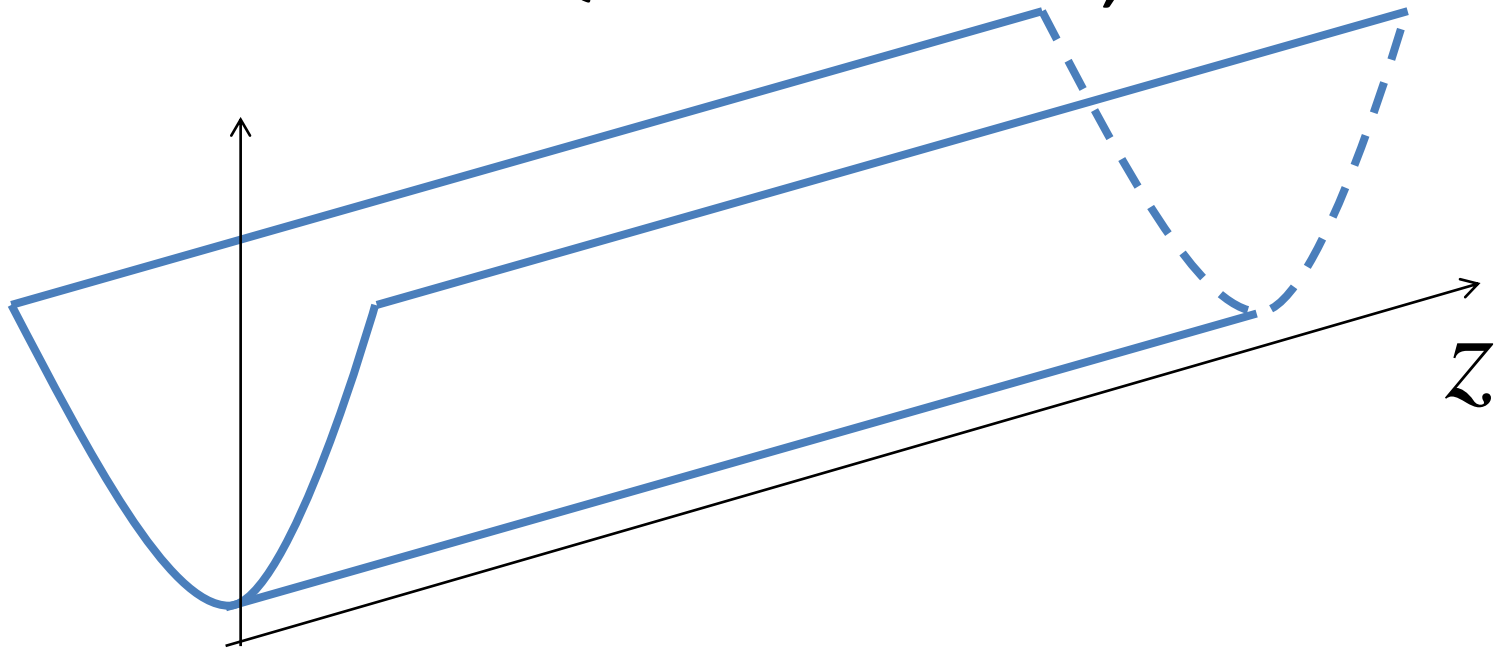
Channeled Positron Annihilation

\* FELWI

\* Smith-Purcell Radiation

# Strophotron

M.V. Fedorov and K.B. Oganesyan  
IEEE J.of Quant. Electr., 1988



$$\frac{d\mathbf{p}}{dt} = e[\mathbf{vH}] \quad x(t) = a_0 \cos(\Omega t + \theta_0), \quad a_0 = \sqrt{x_0^2 + \frac{\alpha^2}{\Omega^2}},$$

$$z(t) = t + \left\{ -\left( \frac{1}{2\gamma^2} + \frac{a_0^2 \Omega^2}{4} \right) t + \frac{a_0^2 \Omega}{8} [\sin(2\Omega t + \varphi_0) - \sin \varphi_0] \right\}$$

$$\sin \theta_0 = -\frac{\alpha / \Omega}{a_0}, \quad \cos \theta_0 = \frac{x_0}{a_0},$$

$$\frac{d\varepsilon}{d\omega d\omega} = \frac{e^2 \omega^2}{4\pi^2} \left| \int_0^T dt x e^{i\omega(t-z)} \right|^2$$

$$\frac{d\varepsilon}{d\omega d\omega} = \frac{T^2 e^2 \omega^2 a_0^2 \Omega^2}{16\pi^2} \sum_{s=0}^{\infty} \frac{\sin^2 u_s}{u_s^2} [J_s(Z) - J_{s+1}(Z)]^2$$

$$u_s = \frac{T}{4\gamma^2} \left[ \omega \left( 1 + \frac{\gamma^2 a_0^2 \Omega^2}{2} \right) - 2\gamma \Omega (2s+1) \right]$$

$$Z = \frac{\omega a_0^2 \Omega^2}{8\Omega}$$

$$\omega_{res} = \frac{2\gamma^2 \Omega}{1 + \frac{\gamma^2 a_0^2 \Omega^2}{2}}$$

$$A_x = -\frac{E_0}{\omega} \sin \omega(t-z)$$

$$\frac{d\varepsilon}{dt} = evE = eE_0 v_x \cos \omega(t-z).$$

$$\frac{d\varepsilon}{dt} = eE_0 v_x^{(1)} \cos \omega(t-z^{(0)}) + eE_0 \omega v_x^{(0)} z^{(1)} \sin \omega(t-z^{(0)})$$

$$\left( \Delta\varepsilon = \int_0^T \frac{d\varepsilon}{dt} dt \right) \quad G = \frac{4\pi N_e}{E_0^2} \Delta\varepsilon,$$

$$\Delta\varepsilon = \frac{e^2 E_0^2 T^3 \omega a_0^2 \Omega^2}{64 \varepsilon_0} \left( \frac{1}{2\gamma^2} + \frac{a_0^2 \Omega^2}{4} \right) \sum_{n,m,k} [J_n(Z) - J_{n+1}(Z)]^2 \frac{d}{du} \left( \frac{\sin u}{u} \right)^2,$$

$$G = 7.6 \times 10^{-3} \frac{N_e r_0 L^{5/2}}{\sqrt{d_e} \gamma^{7/2}} = 2 \times 10^6 \frac{I(A) r_0 L^{5/2}}{d_e^{5/2} \gamma^{7/2}}$$

$$\omega = 5.3 \times 10^7 \gamma^2 d_e g$$

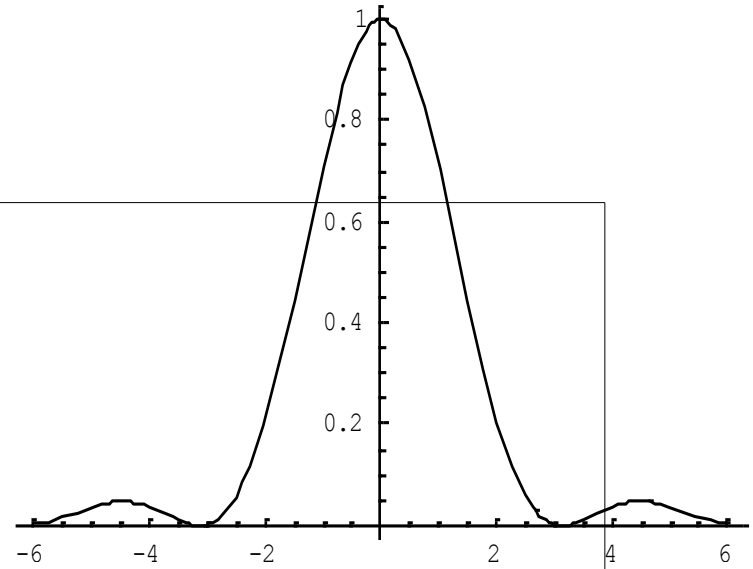
$$r_e = \frac{e^2}{m_e c^2} = 2.8179403267(27) \times 10^{-13} \text{ cm}$$

$$d_e = 1 \text{ cm}, \dots I = 10 \text{ A}, \dots \gamma = 10, \dots g = 10 \text{ Oe/cm}, \dots L = 3 \text{ m}$$

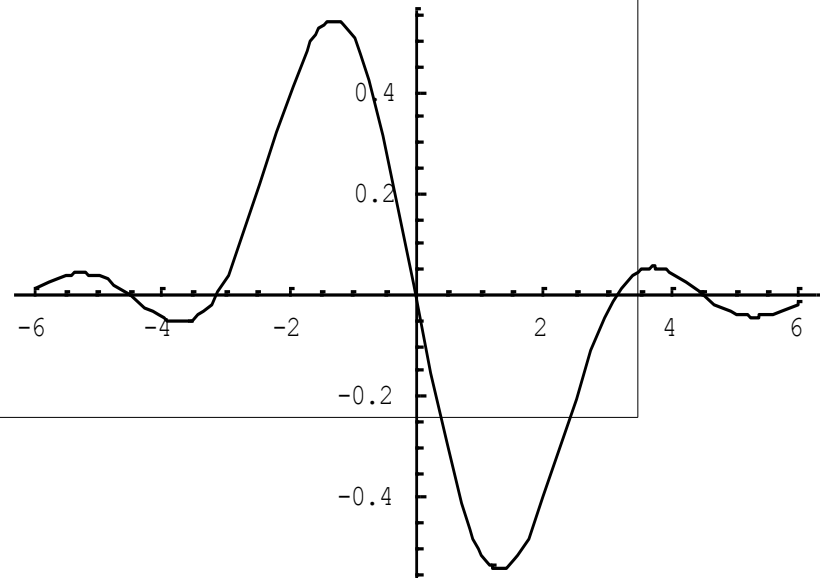
$$G \approx 0.3\%, \dots \omega = 5.3 \times 10^{13} \text{ s}^{-1} (\lambda = 36 \mu\text{m})$$

# Madey theorem

$$\frac{\sin^2 x}{x^2}$$



$$\partial_x \left( \frac{\sin^2 x}{x^2} \right)$$



# Intensive Standing Wave

$$\vec{A}^{(0)} \parallel Oy$$

$$A^{(0)} = \frac{1}{\omega_0} [E_1 \cos \omega_0(t - x) - E_2 \cos \omega_0(t + x)]$$

$$H = [P_z^2 + P_x^2 + (P_y - eA^{(0)})^2 + m^2]^{1/2} \approx p_z + \frac{1}{p_z} [p_x^2 + (P_y - eA^{(0)})^2 + m^2]$$

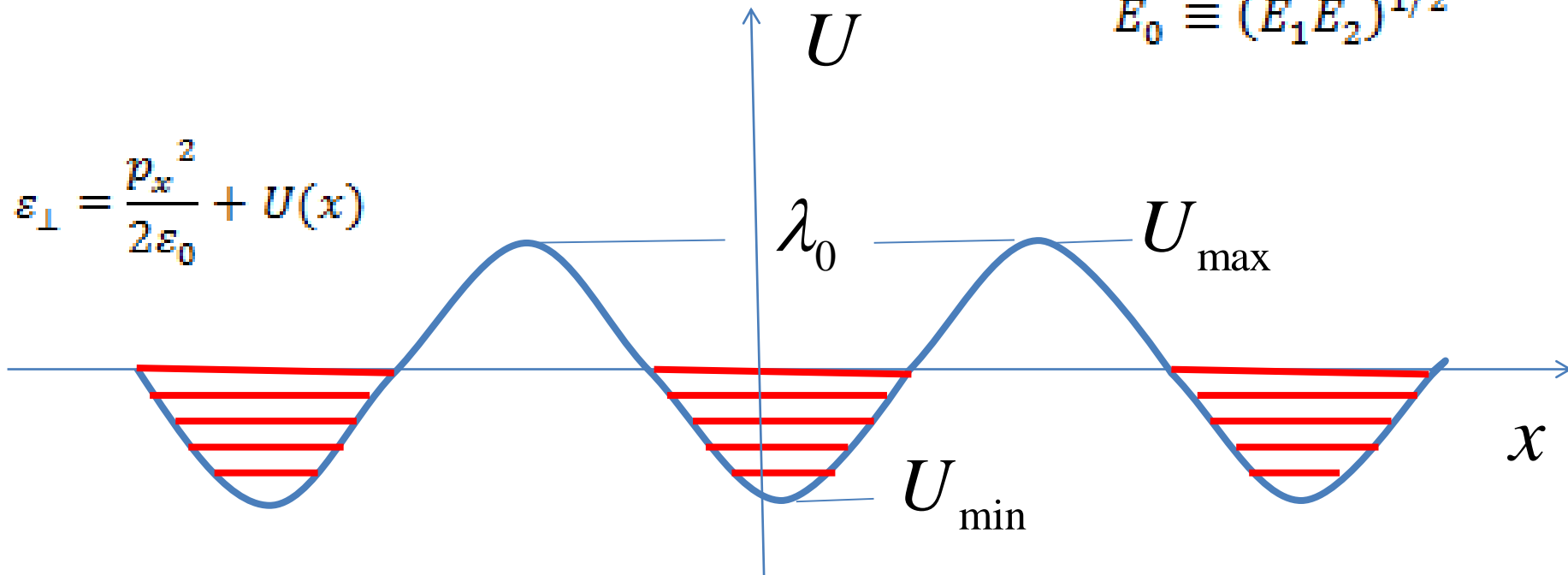
It is assumed, that the electron momentum along the  $\mathbf{p}_z$  axis is much greater than the other components of the momentum  $\mathbf{p}_x$  and  $\mathbf{p}_y$ .  $P_y = 0$

$$H_x \approx \frac{1}{2\varepsilon_0} [p_x^2 + e^2 A^{(0)2} m^2] \quad p_z \approx \varepsilon \approx \varepsilon_0 \quad 2\pi/\omega_0$$

$$\overline{H_x} = \frac{p_x^2}{2\varepsilon_0} - \frac{e^2 E_1 E_2 \cos 2\omega_0 x}{2\omega_0^2 \varepsilon_0} + const \approx \frac{p_x^2}{2\varepsilon_0} + \frac{e^2 E_1 E_2 x^2}{\varepsilon_0} + const$$

$$U(x) = -\frac{e^2 E_1 E_2}{2\varepsilon_0 \omega_0^2} \cos 2\omega_0 x \quad \Omega = \frac{e(2E_1 E_2)^{1/2}}{\varepsilon_0} \equiv \frac{e E_0 2^{1/2}}{\varepsilon_0}$$

$$E_0 \equiv (E_1 E_2)^{1/2}$$



$$g_{eff} = \frac{2eE_1 E_2}{\varepsilon_0}$$



$$\Omega < \omega_0$$

$$U_{max} - U_{min} = \frac{1}{2} \varepsilon_0 (\Omega/\omega_0)^2 \ll \varepsilon_0 \quad A = \frac{E}{\omega} \cos \omega(t - z)$$

$$\frac{d\varepsilon}{dt} = -ev\mathbf{E}_{tot} = -ev_x E \sin \omega(t - z) - ev_y [E_1 \sin \omega_0(t - z) - E_2 \sin \omega_0(t + z)]$$

$$\Delta\varepsilon = -\frac{e^2 E_0^2 t^3 \Omega^2 a^2 \omega}{32 \varepsilon_0} \left(1 - v_{z0} + \frac{a^2 \Omega^2}{2}\right) \sum_{s=0}^{\infty} \frac{d}{du_s} \left(\frac{\sin u_s}{u_s}\right)^2 [U_s(Z) - J_{s+1}(Z)]^2$$

$$u_s = \frac{t}{2} \left[ \omega \left(1 - v_{z0} + \frac{a^2 \Omega^2}{4}\right) - \Omega(2s + 1) \right] \quad \gamma \Omega a \ll 1 \quad Z \ll 1 \quad s = 0$$

$$G = \frac{\pi^3 r_0 N_e l^3 \Omega^3}{16 \gamma \omega_0^2 v_{z0}^3} \frac{d}{du} \left(\frac{\sin u}{u}\right)^2, \quad u = \frac{t}{2} [\omega(1 - v_{z0}) - \Omega]$$

$$\omega \approx \Omega(1 - v_{z0})^{-1}$$

$$\Omega_0 = 3.27 \times 10^{11} \frac{W^{1/2}}{\gamma d (c\tau)^{1/2}}, \quad \omega = \frac{\Omega_0}{1 - v_0} \quad G = 4.5 \times 10^{-12} \frac{N_e d_0^2 \gamma}{\gamma^2 - 1} \left( \frac{\Omega_0}{\omega_0} \right)^2$$

$$\lambda_0 = 9.6 \times 10^{-4} \text{ cm} \quad \omega_0 = 1.96 \times 10^{14} \text{ sec}^{-1} \quad \Omega_0 / \omega_0 = 1/3$$

$$v_0 = 0.44c, \dots, \gamma = 1.116$$

$$\frac{1}{\gamma d} \left( \frac{W}{c\tau} \right)^{1/2} = 2 \times 10^2$$

$$\mathcal{E}_{0,kin} = (\gamma - 1) mc^2 = 65 \text{ keV} \quad W = 1 \text{ J} \quad \tau = 1.6 \text{ ps}$$

$$d_0 = 2 \times 10^{-2} \text{ cm} \quad N_e = 10^{13} \text{ cm}^{-3} \quad j = 50 \text{ kA} / \text{ cm}^2$$

$$G \approx 1\% \quad \omega \approx 1.18 \times 10^{14} \text{ s}^{-1} \quad \lambda \approx 16 \times 10^{-4} \text{ cm}$$

M.V. Fedorov, K.B. Oganesyan and A.M. Prokhorov  
 Appl. Phys. Lett., JETP; 1988

The research direction suggested in this paper was studied recently with the aim of creating high-power lasers. Here are some of the institutions, where the studies were done:

Univ. Bordeaux, CELIA (Centre Lasers Intenses et Applications, France),  
Eindhoven University of Technology (Netherlands),  
INFN-Frascati (Italy).

[www.lasphys.com](http://www.lasphys.com)

25 th International Laser Physics  
Workshop  
Yerevan, Armenia  
2016 July 11 - 15

LPHYS'16 will be dedicated to paying tribute to two major events:  
Next year the scientific world will celebrate

**100th anniversary of Alexander M Prokhorov,**  
the [Nobel Prize winner in physics of 1964](#) (for lasers) and

**100th anniversary of the Optical Society (OSA) of America.**

# Inhomogeneous Magnetic Field

K.B. Oganesyan, JMO, **61**, Issue 9, **61**, Issue 17, 2014 JCP, **50**, 2, 2015)

$$\mathbf{A}_w = -\frac{H_0}{q_0} \cosh q_0 x \sin q_0 z \mathbf{j}$$

E. Jerby. NIM, **A27**, 457 (1988).

we consider paraxial approximation when  $q_0 x < 1$ ,  $\frac{d\mathbf{p}}{dt} = e[\mathbf{v}\mathbf{H}]$

$$x = a_0 \cos(\Omega t + \theta_0), \quad \Omega = \frac{eH_0}{\sqrt{2\varepsilon}}, \quad a_0 = \sqrt{x_0^2 + \frac{\alpha^2}{\Omega^2}}, \quad \sin \theta_0 = -\frac{\alpha/\Omega}{a_0}, \quad \cos \theta_0 = \frac{x_0}{a_0},$$

$$z = t \left( 1 - \frac{1}{2\gamma^2} - \frac{\Omega^2}{2q_0^2} \right) + \frac{\Omega^2}{4q_0^3} \sin 2q_0 t + \frac{a_0^2 \Omega^2}{16q_0} \sin \{2(q_0 + \Omega)t + 2\theta_0\} + \frac{a_0^2 \Omega^2}{16q_0} \sin \{2(q_0 - \Omega)t - 2\theta_0\}.$$

$$1 - v = \frac{1}{2\gamma^2} \quad \gamma = \frac{\varepsilon}{mc^2}$$

$$e^{-iA \sin x} = \sum_{n=-\infty}^{\infty} J_n(A) e^{-inx}$$

$$a_0 q_0 < 1, \quad \frac{\Omega}{q_0} < 1, \quad a_0 \Omega < 1.$$

$$\frac{d\varepsilon}{d\omega d\omega} = \frac{e^2 \omega^2 \Omega^2 T^2}{8\pi^2 q_0^2} \sum_{n,m,k=-\infty}^{\infty} \left( I_{n+1,k,m} - I_{n,k,m} \right)^2 \left( \frac{\sin u}{u} \right)^2,$$

$$\left[ \begin{array}{l} u = \frac{T}{2} \left[ \omega \left( \frac{1}{2\gamma^2} + \frac{\Omega^2}{2q_0^2} \right) - (2n+1)q_0 - 2m\Omega \right], \\ I_{n,k,m} = J_{n-k}(Z_1) J_{\frac{k+m}{2}}(Z_2) J_{\frac{k-m}{2}}(Z_2), \\ Z_1 = \frac{\omega\Omega^2}{4q_0^3}, Z_2 = \frac{\omega a_0^2 \Omega^2}{4q_0}. \end{array} \right. \quad \omega_{\text{res,und}} = \frac{2\gamma^2 q_0}{1 + \gamma^2 \frac{\Omega^2}{q_0^2}}, \quad \omega_{\text{res,str}} = \frac{2\gamma^2 \Omega}{1 + \gamma^2 \frac{\Omega^2}{q_0^2}}.$$

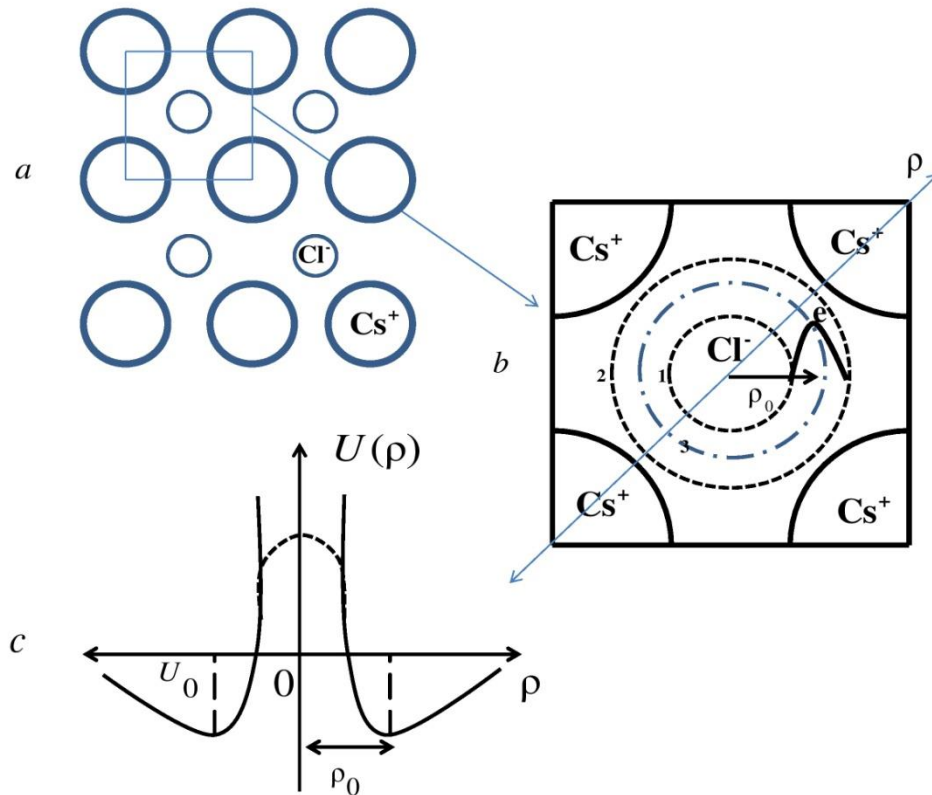
$$\mathbf{A}_w = -\frac{E_0}{\omega} \sin \omega(t-z) \mathbf{i} \quad \frac{d\varepsilon}{dt} = e\mathbf{v}\mathbf{E} = eE_0 v_x \cos \omega(t-z).$$

$$\frac{d\varepsilon}{dt} = eE_0 v_x^{(1)} \cos \omega(t-z^{(0)}) + eE_0 \omega v_x^{(0)} z^{(1)} \sin \omega(t-z^{(0)})$$

$$\left\{ \begin{array}{l} \frac{dp_x^{(1)}}{dt} = -\varepsilon_0 \Omega^2 x^{(1)} + eE_0 (1-v_z^{(0)}) \cos \omega(t-z^{(0)}), \\ \frac{dp_z^{(1)}}{dt} = eE_0 v_x^{(0)} \cos \omega(t-z^{(0)}). \end{array} \right. \quad \left( \Delta\varepsilon = \int_0^T \frac{d\varepsilon}{dt} dt \right) \quad G = \frac{4\pi N_e}{E_0^2} \Delta\varepsilon,$$

$$G = \frac{e^2 \omega^2 \Omega^2 N_e T^3}{4\pi q_0^2 \gamma^2} \left( 1 + \gamma^2 \frac{\Omega^2}{q_0^2} \right) \sum_{n,m,k} \left( I_{n+1,m,k} - I_{n,m,k} \right)^2 \frac{d}{du} \left( \frac{\sin u}{u} \right)^2,$$

# Positron Channeling in Ionic Crystals



The annihilation processes of positrons with medium electrons are investigated in detail. The lifetime of a positron in the regime of channeling is estimated; the existence of a long relaxation lifetime has been shown.

$10^{-6}$ sec

JMO\_2009,2015; LPL\_2015, JCP\_2016

# Channeling and quasi-characteristic radiation of charged particles in charged axes of CsCl-type ionic crystals

[N.V. Maksyuta](#), [V.I. Vysotskii](#), [S.V. Efimenko](#)

[Nuclear Instruments and Methods in Physics Research Section B: Beam Interactions with Materials and Atoms](#)

[Volume 355](#), 15 July 2015, Pages 90–93

Proceedings of the 6th International Conference “Channeling 2014: Charged & Neutral Particles Channeling Phenomena” October 5-10, 2014, Capri, Italy



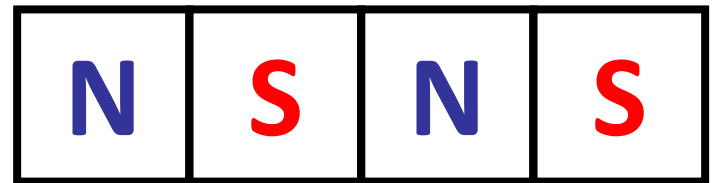
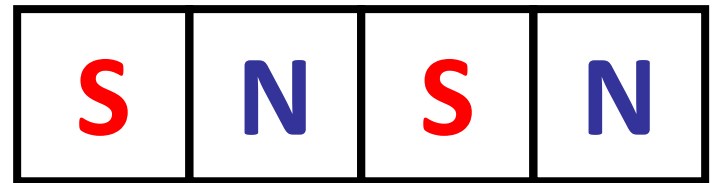
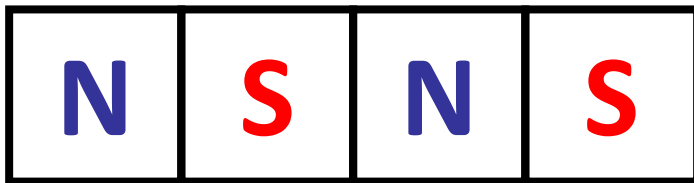
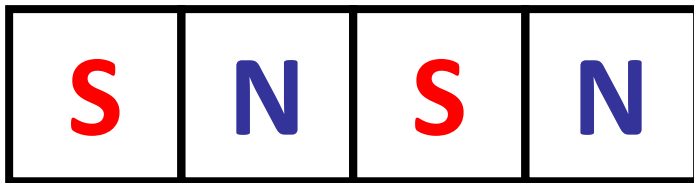
A.W. Hunt a,b,\* , D.B. Cassidy a,b, F.A. Selim c, R. Haakenaasen d, T.E. Cowan b, R.H. Howell b, K.G. Lynn e, J.A. Golevchenko a

The recently constructed 3 MeV monoenergetic positron beamline Pelletron at Lawrence Livermore National Laboratory has sufficient flux ( $10^5$  ps/s) to demonstrate the dramatic new annihilation effects expected from channeled positron experiments.

# Free Electron Laser Without Inversion

LP\_2007; PS\_2010, LPL\_2014,  
JCP\_2016, JMO\_2015

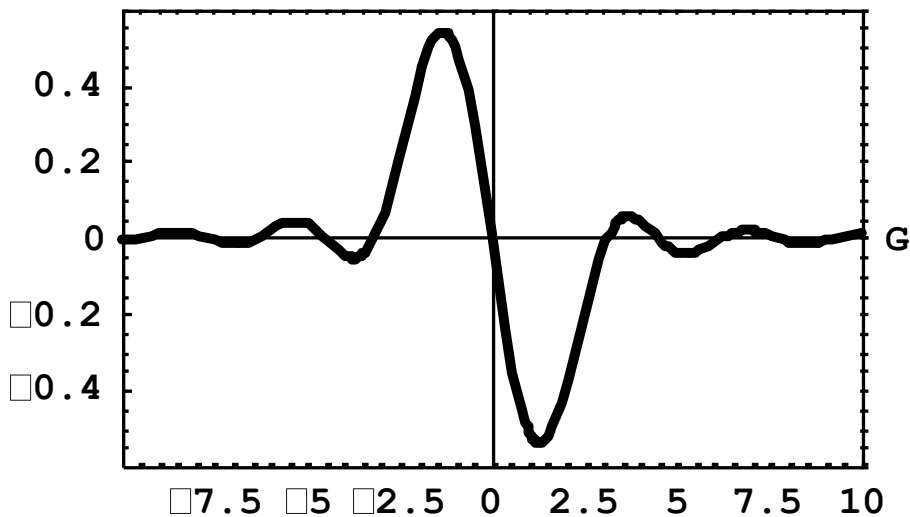
More specifically, the idea of FELWI is based on a two-wiggler scheme with a specially organized dispersion region between the wigglers.



$$\lambda = \frac{\lambda_0}{2\gamma^2} (1 + K^2)$$

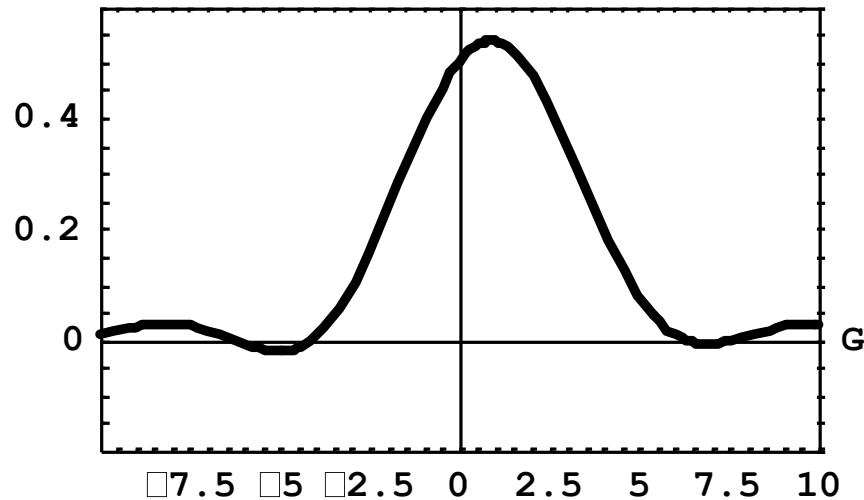
$$K = \frac{eH_0\lambda_0}{2\pi mc^2}$$

Gain



FEL

Gain



FELWI

$$\int G(\Omega) d\Omega = 0$$

$$\int G(\Omega) d\Omega > 0$$

$$\omega_{res} = 2\gamma^2 ck_w$$

where

$$\gamma = E / mc^2 \gg 1$$

$$k_w = 2\pi / \lambda_0$$

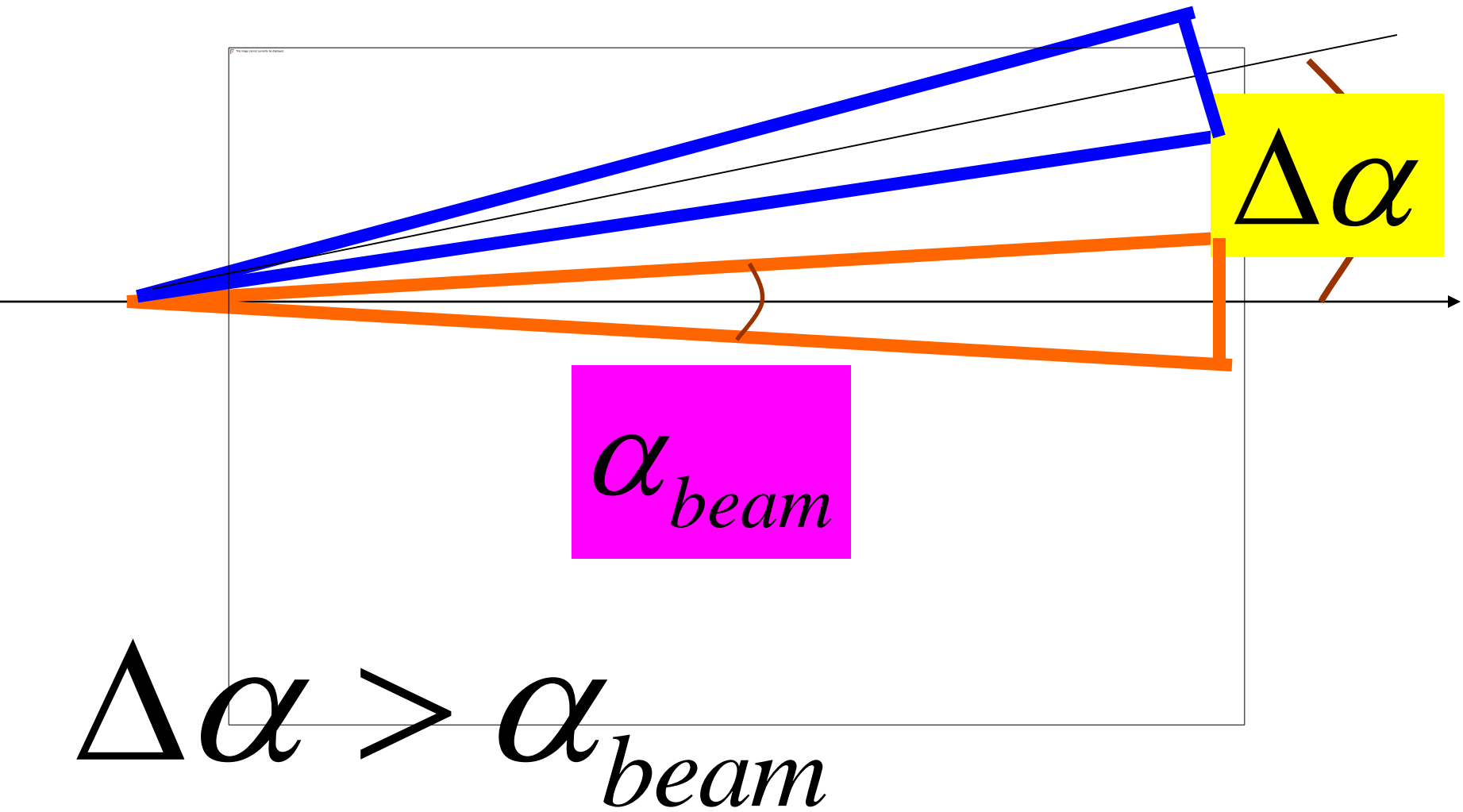
Alternatively, at a given frequency  $\omega$ , this relation determines a resonance value of the electron relativistic factor  $\gamma$

$$\gamma_{res} = (\omega / ck_w)^{1/2}$$

$$E_{res} = mc^2 (\omega / ck_w)^{1/2}$$

FELWI mechanism can work only if the interaction-induced deviation of electrons (with characteristic angle  $\Delta\alpha$ ) is larger than the angular width of electron beam  $\alpha_{beam}$

$$\Delta\alpha > \alpha_{beam}$$





A transverse velocity  $v_x$  and the energy  $\Delta E$  acquired by an electron after a passage through the undulator are directly proportional to each other

$$v_x = c\theta \frac{\Delta E}{E_0}$$

D.E. Nikonov, M.O. Scully, G.Kurizki,  
Phys.Rev. E 54, 6780 (1996)

A.I. Artemiev, M.V. Fedorov, Yu.V.  
Rostovtsev, G.Kurizki, M.O. Scully,  
Phys.Rev.Lett. 85, 4510 (2000)

Which gives in the first order the following estimate of the electron deviation angle

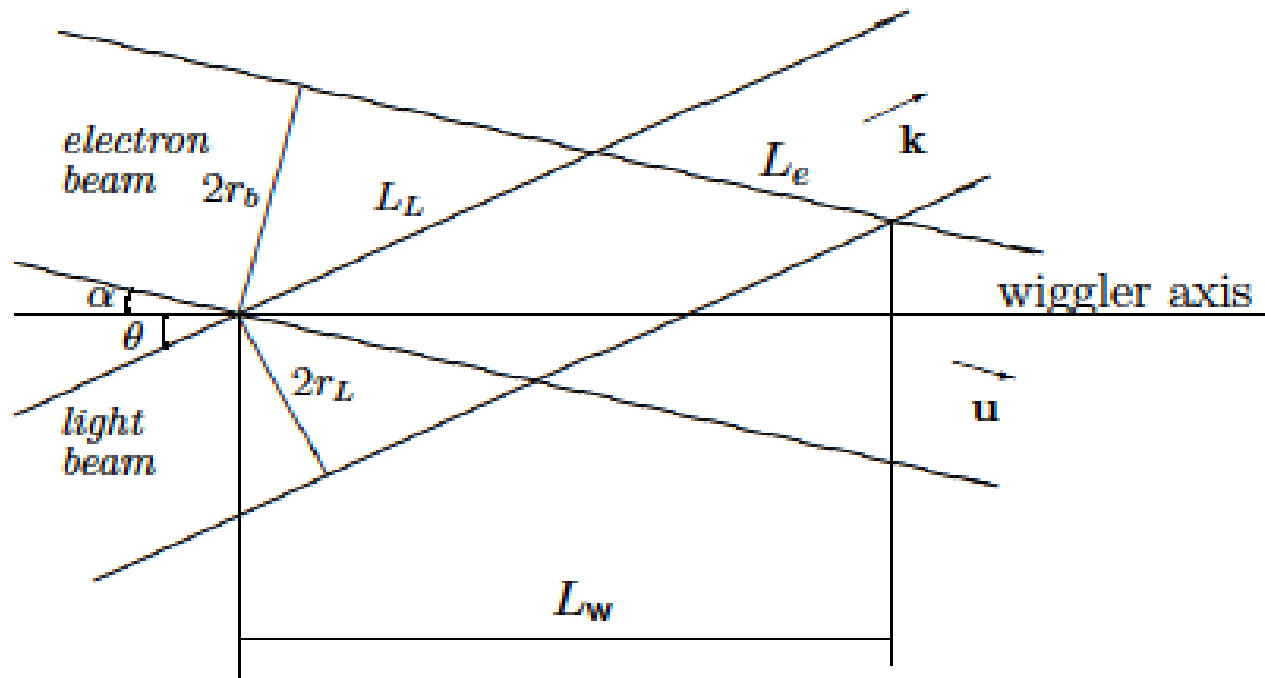
$$\Delta\alpha \approx \frac{v_x^{(1)}}{v_0} \approx \frac{v_x^{(1)}}{c} = \theta \frac{\Delta E^{(1)}}{E_0} : \theta \mu^2 \frac{\lambda_0}{4\pi L} : \mu^2 \frac{d\lambda_0}{4\pi L^2}$$

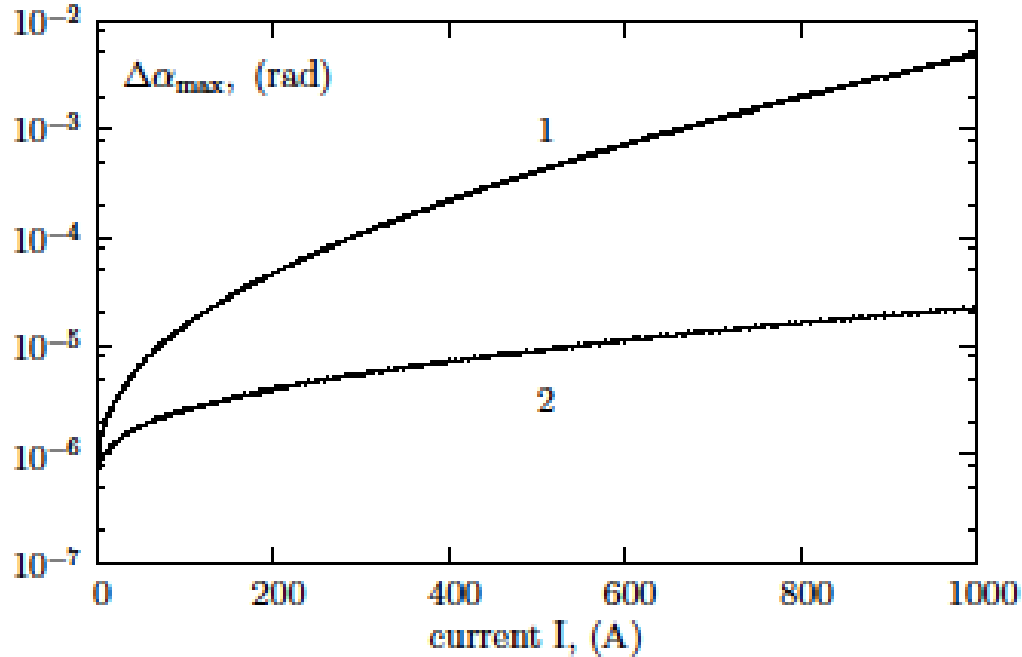
$$\Delta E^{(1)} = \frac{E_0}{2cq} \phi^{(1)} : \frac{E_0}{2cq} \frac{\mu^2 c}{L} = \mu^2 E_0 \frac{\lambda_0}{4\pi L}$$

For these reasons let us take for estimates maximal value of the saturation parameter  $\mu$  compatible with the weak field approximation  $\mu : 1$

Let  $\lambda_0 = 3cm, d = 0.3cm, L = 300cm$

$$\Delta\alpha : \mu^2 \frac{d\lambda_0}{4\pi L^2} : 10^{-6}$$



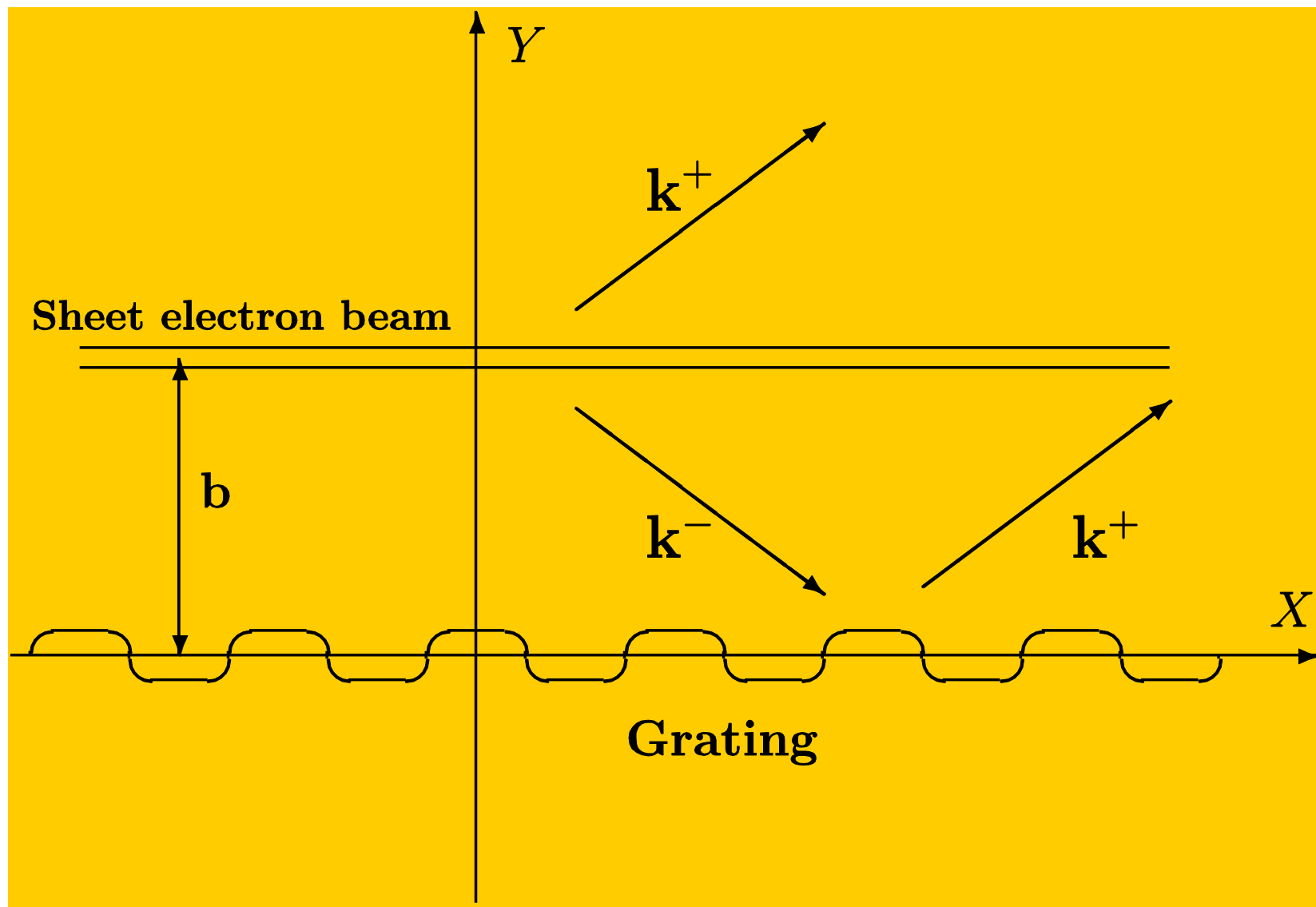


**Figure 3. The deviated angle  $\Delta\alpha_{max}$  as a function of a beam current**

*I for two values of the angle between laser and electron beams: line 1 corresponds to  $\alpha + \vartheta = 0.05$  rad and line 2 corresponds to  $\alpha + \vartheta = 0.13$  rad. Other parameters are: electron energy  $\gamma = 15$ , electron beam radius  $r_b = 0.02$  cm, laser wavelength  $\lambda_L = 359 \mu\text{m}$ , period of the wiggler magnets  $\lambda_W = 2.73$  cm, normalized wiggler field  $K = 0.635$ ,  $rL = 1.0$  cm*

# Smith-Purcell Radiation

PS\_2010; JMO\_2009; JCSP,\_2009;  
JMO\_2015; LPL\_2015

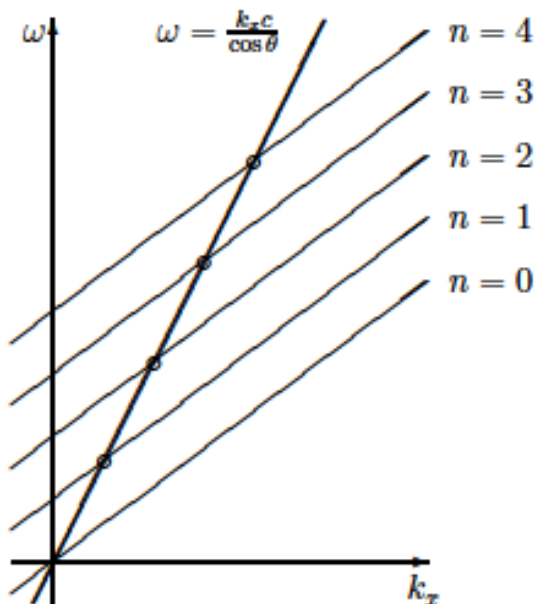


I.M. Frank,

*Izv. Akad. Nauk SSSR, Ser. Fiz.* 6, 3 (1942).

S.J. Smith and E.M. Purcell,  
*Phys. Rev.* 92, 1069 (1953).





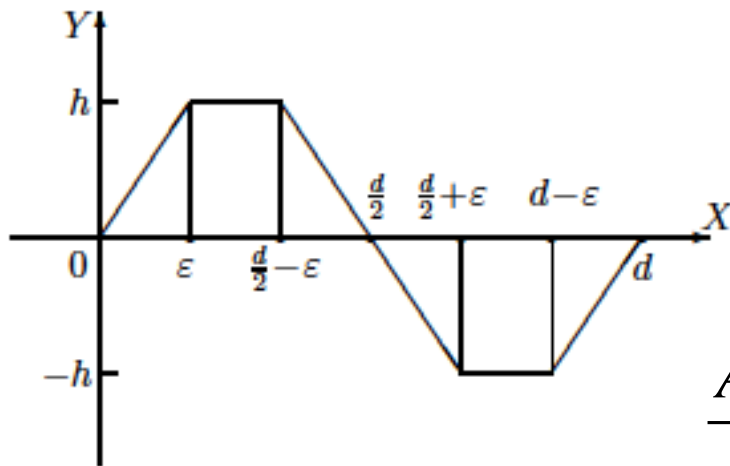
$$\omega_n = \left( k_x + n \frac{2\pi}{d} \right) u$$

$$\omega = k_x c / \cos \theta$$

$$\omega_n = \frac{2\pi n u}{d(1 - \beta \cos \theta)}$$

The dispersion relations for the electromagnetic wave and the beam waves are shown. The intersection points determine the spectrum of frequency of Eq. (39).

$$\lambda = \frac{d}{n} \left( \frac{1}{\beta} - \cos \theta \right)$$



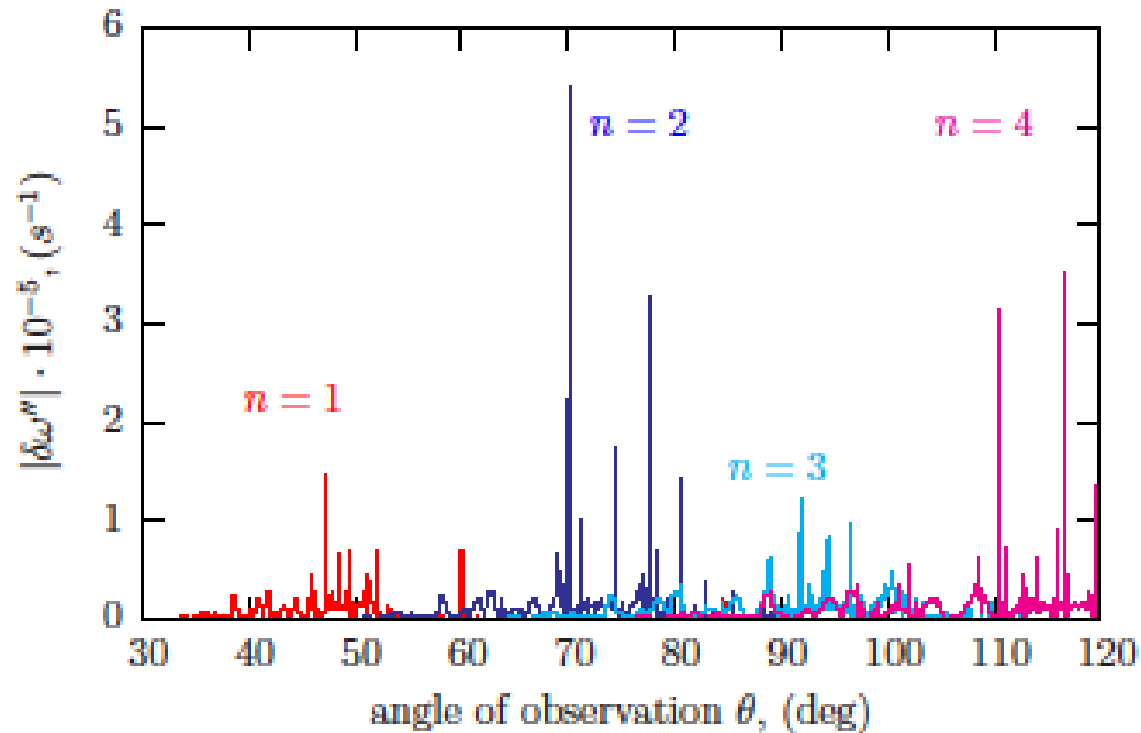
$$\frac{A_{out}}{A_{in}} = \exp \left( 2\pi \delta \omega'' \frac{L}{c} \right)$$

We have used the framework of the dispersion equation to study coherent Smith-Purcell (SP) radiation induced by a relativistic magnetized electron beam in the absence of a resonator.

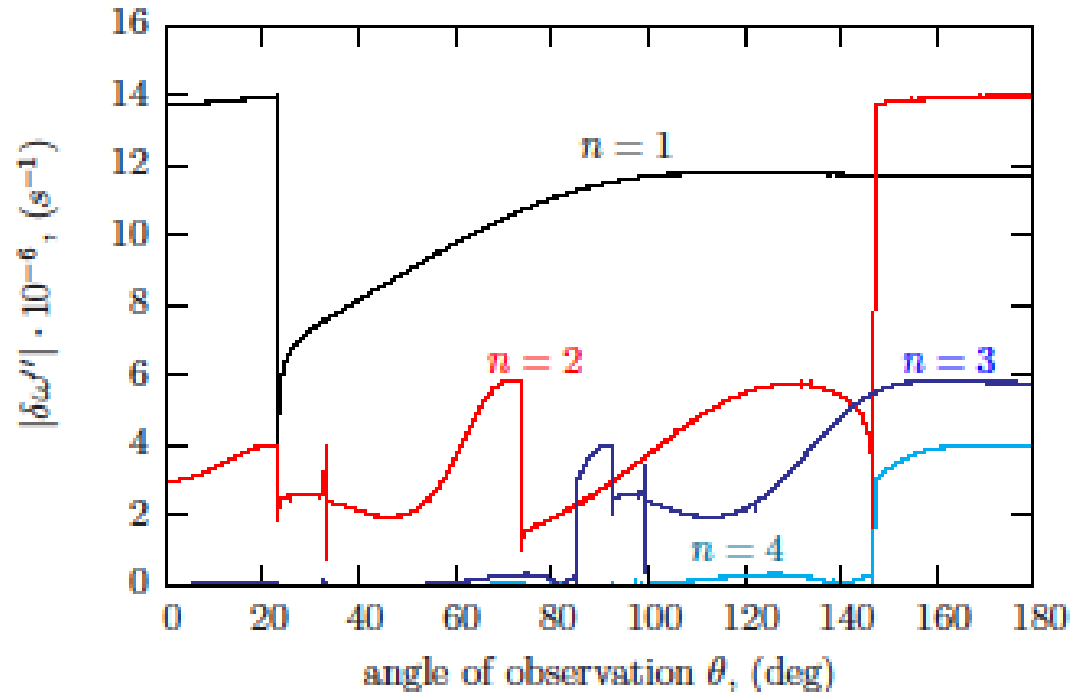
We have found that the dispersion equation describing the induced SP instability is a quadratic equation for frequency; and the zero-order approximation for solution of the equation, which gives the SP spectrum of frequency, corresponds to the mirror boundary case, when the electron beam propagates above plane metal surface (mirror).

It was found that the conditions for both the Thompson and the Raman regimes of excitation do not depend on beam current and depend on the height of the beam above grating surface. The growth rate of the instability in both cases is proportional to the square root of the electron beam current.

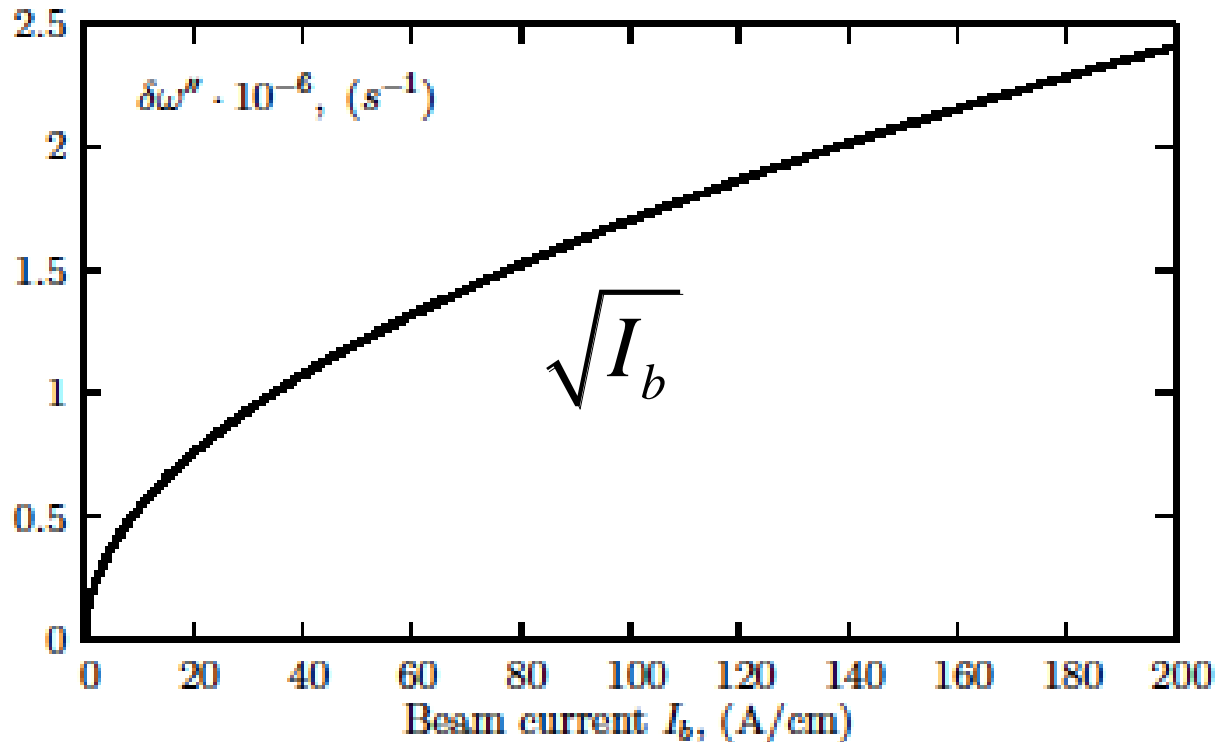
As an important example of the application of the results obtained, the growth rate of SP FEL in the case of a rectangular grating was calculated and the calculated results are consistent with experimental data obtained by Urata, et al. [Phys. Rev. Lett. 80, 516 (1998)].



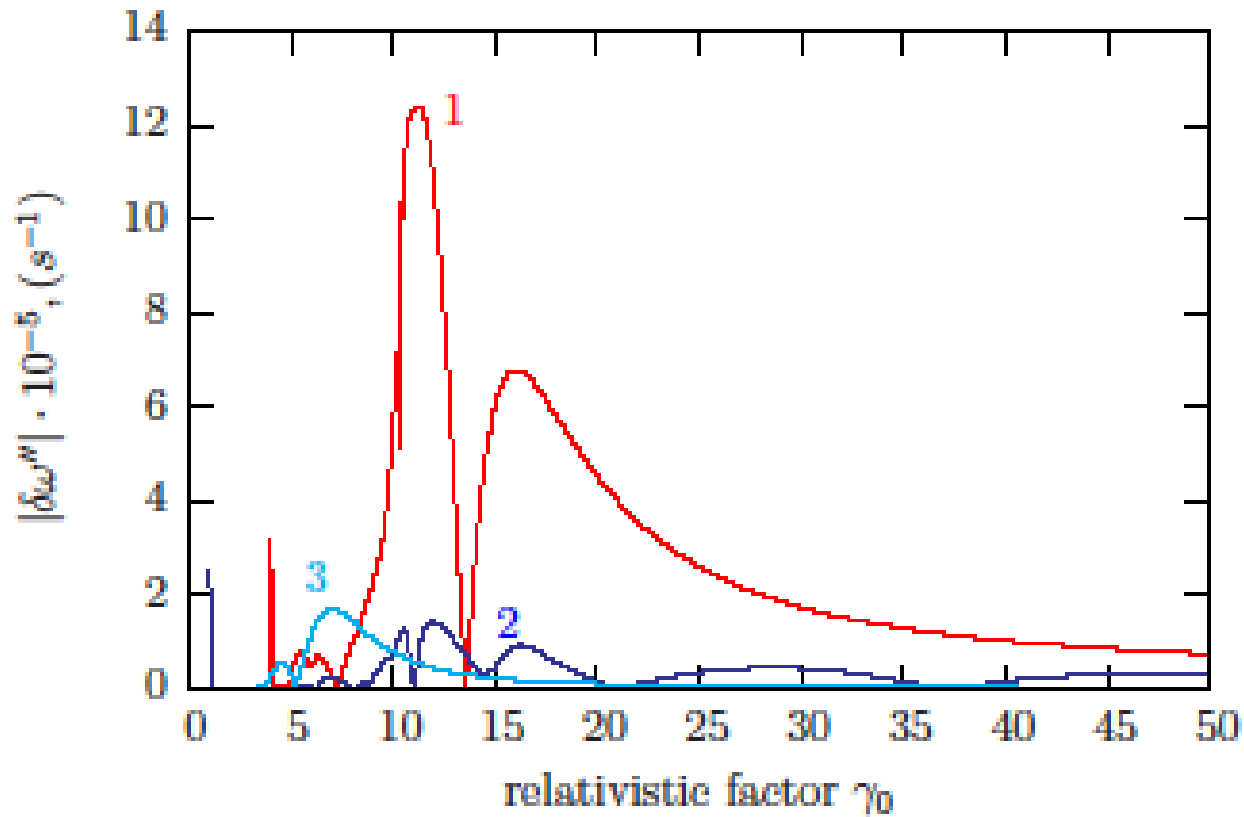
The growth rate as a function on the observation angle  $\mu$  for different modes  $n$  of SP-radiation. The parameters of calculation are relativistic factor = 4, beam current = 10 A, period of grating  $d = 1$  cm, amplitude of the grating  $h = 1$  cm, height of the beam  $b = 1$  cm.



The growth rate as a function on the observation angle  $\mu$  for different modes  $n$  of SP-radiation for parameters which are closed for parameters of Dartmouth experiment [7]. The parameters of calculation are relativistic factor = 1.068493 (corresponding electron energy is  $E = 35$  keV ), beam current = 10 A, period of grating  $d = 173$  mkm, amplitude of the grating  $h = 50$  mkm, height of the beam  $b = 70$  mkm (corresponding gap between beam and top of grating is  $y_0 = 20$  mkm). Our calculation marks good agreement with results of the Dartmouth experiment, where only first mode was observed [7].



The growth rate as a function on the beam current for mode  $n = 1$  of SP-radiation and observation angle = 38.75 deg. The parameters of calculation are relativistic factor = 10, period of grating  $d = 1$  cm, amplitude of the grating  $h = 1$  cm, height of the beam  $b = 1$  cm. One can see that within wide range of current values the growth rate places under the law  $\sqrt{I_b}$  from beam current.



The growth rate as a function on the relativistic factor . (1) for mode  $n = 1$  of SP-radiation and observation angle  $\mu = 38.75$  deg, (2) for mode  $n = 1$  of SP-radiation and observation angle  $\mu = 32.83$  deg, (3) for mode  $n = 3$  of SP-radiation and observation angle  $\mu = 80.21$  deg. The parameters of calculation are the beam current  $= 10$  A/cm, period of grating  $d = 1$  cm, amplitude of the grating  $h = 1$  cm, height of the beam  $b = 1$  cm.

Спасибо

Thanks

Shie Shie

Jakuem

Հնորհակալութիւն

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Спасибо

Thanks

Shie Shie

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One can select two theoretical target settings for SP radiation. The first of them is the problem of generating SP radiation. In this case, the waves incoming from infinity are absent. The SP system generates outgoing waves. Another problem is the amplification or attenuation of incoming waves by the SP system. One can expect that these different problems have different solutions.

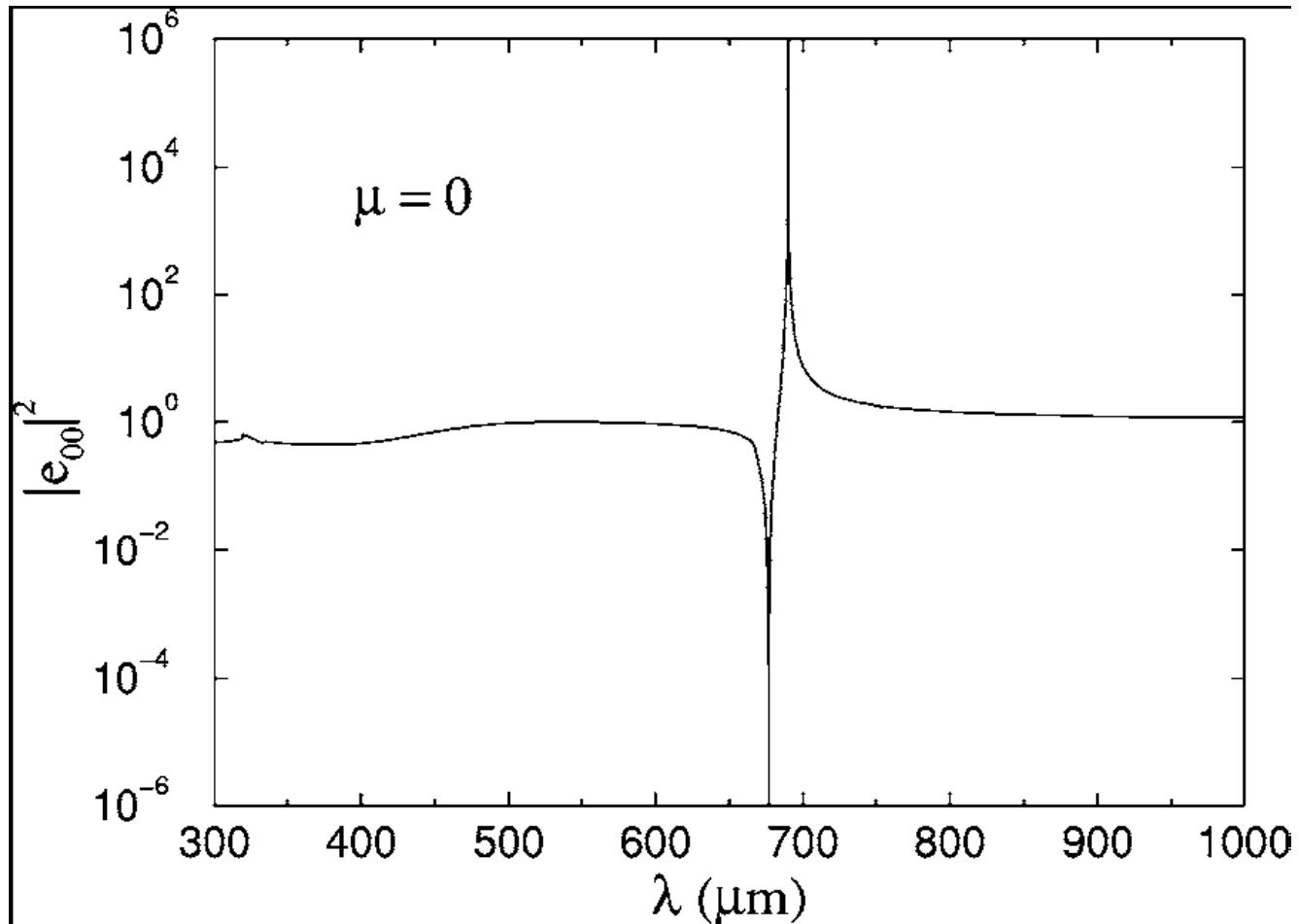
we consider only the problem of  
generating  
waves by the SP system when the  
incoming waves are absent

$$\omega_p = \sqrt{\frac{4\pi n_e e^2}{m}}$$

We have a closed agreement with results obtained in Ref. [8], for the Thompson regime  $\mu_p ? 1$

We have found that zero-order approximation for solution of dispersion equation of SP-generation corresponds to the mirror case, when the electron beam propagates above plane metal surface (mirror).

Vinit Kumar and Kwange-Je Kim, Phys. Rev. E 73,  
026501 (2006) the coefficient  $e_{00}$  of reflecting  
matrix was calculated



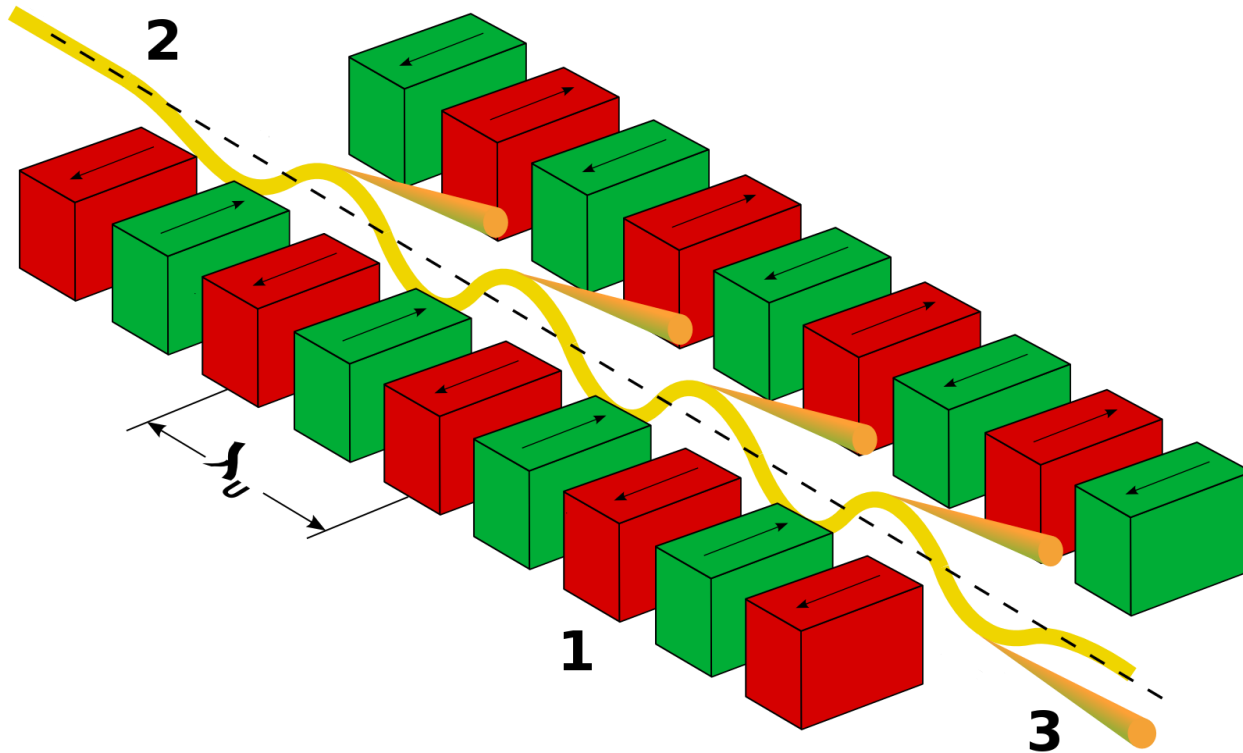
In paper Vinit Kumar and Kwange-Je Kim, Phys. Rev. E 73, 026501 (2006) the coefficient  $e_{00}$  of reflecting matrix was calculated for the case of rectangular grating for the following parameters: grating period  $d = 173 \mu\text{m}$ , groove width  $w = 62 \mu\text{m}$ , groove depth  $h = 100 \mu\text{m}$ , electron energy  $E = 35 \text{ KeV}$ , beam height from top surface  $b = 10 \mu\text{m}$ .



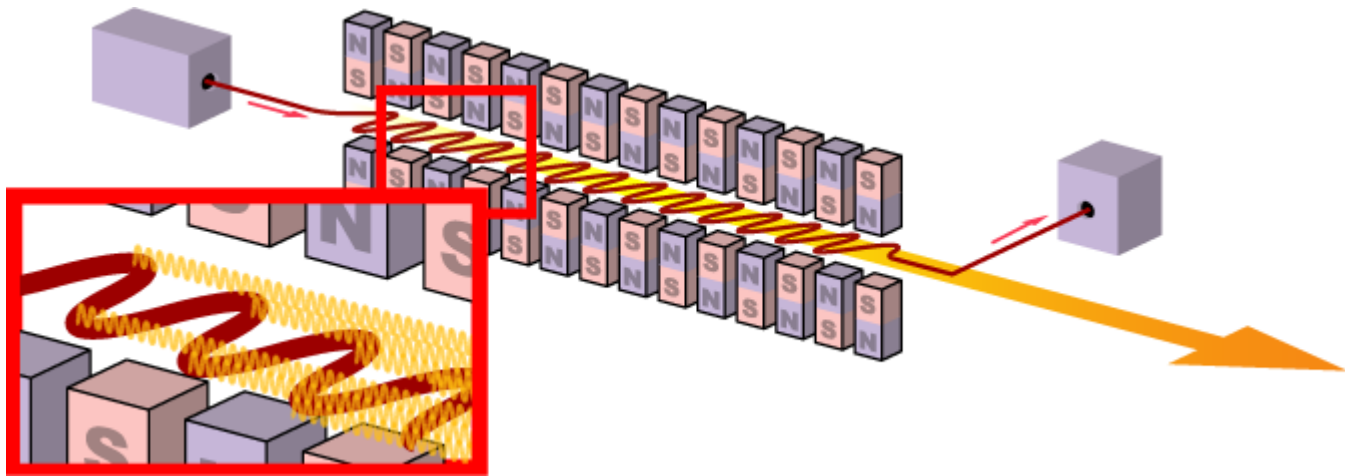
Plot of  $e_{00}$  as a function of free-space wavelength of the zeroth-order evanescent wave shown that there are two resonant peaks: peak-down at  $675.2 \mu\text{m}$   $675.2 \mu\text{m}$ , when  $e_{00}$  has a zero, and peak-up at wavelength  $690 \mu\text{m}$  when  $e_{00}$  has a singularity.

The growth rates for both Thompson and Raman types of waves excitation are proportional  $\omega_b$  the Langmuir frequency  $\propto b$  or square root of beam current  $I_b^{1/2}$ .

The conditions of excitations for both Thompson and Raman types do not depend on Langmuir beam frequency (or beam current), but depend on the beam height  $b$  above the grating.



The first undulator was built by [Hans Motz](#) and his coworkers at [Stanford<sup>\[3\]\[4\]</sup>](#) in 1952. It produced the first manmade coherent infrared radiation, having a total frequency range was from visible light down to [millimeter waves](#). The Russian physicist [Vitaly Ginzburg](#) showed theoretically that undulators could be built in a 1947 paper

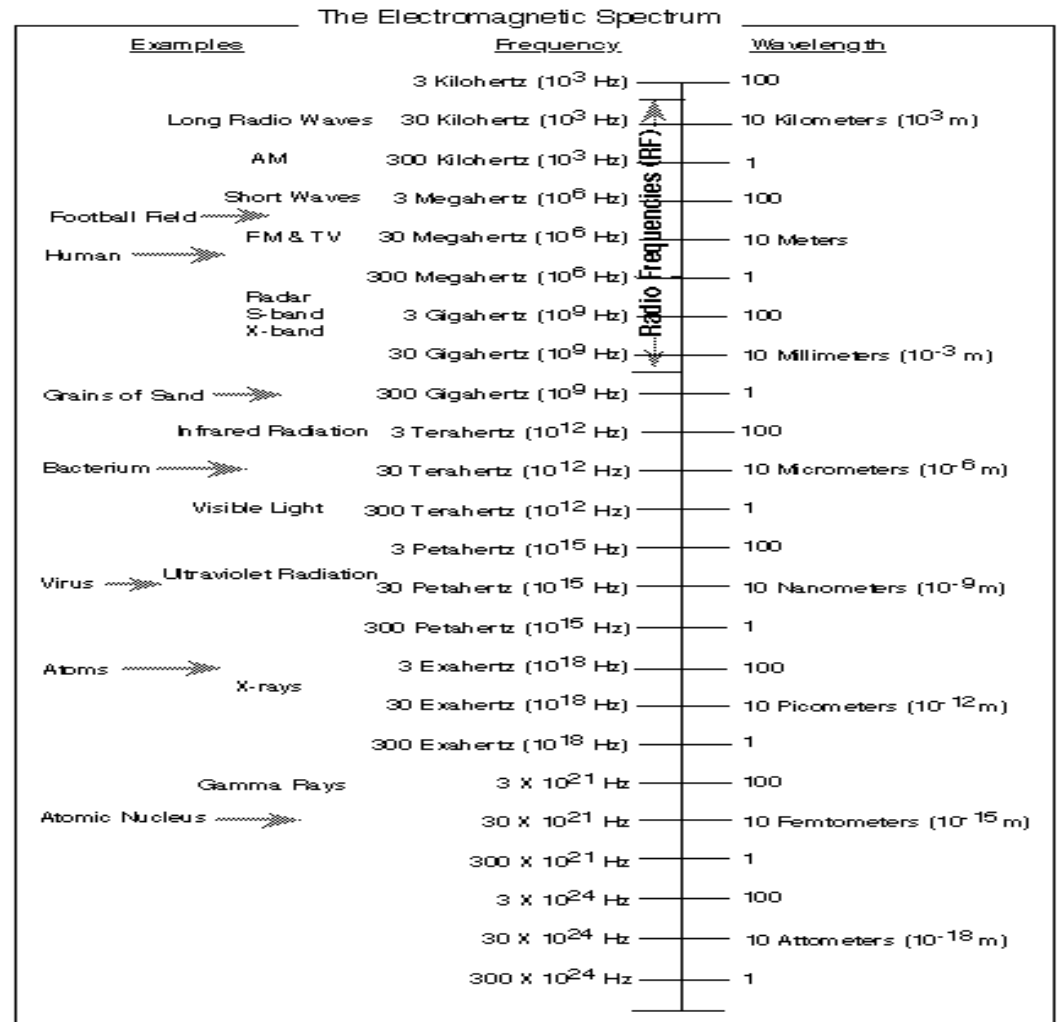


| Frequency                  | Wavelength   |     |
|----------------------------|--------------|-----|
| 3 Hz                       | $10^8$ m     |     |
| $3 \times 10^1$ Hz         | $10^7$ m     | ELF |
| $3 \times 10^2$ Hz         | $10^6$ m     | SLF |
| $3 \times 10^3$ Hz         | $10^5$ m     | ULF |
| $3 \times 10^4$ Hz         | $10^4$ m     | VLF |
| $3 \times 10^5$ Hz         | $10^3$ m     | LF  |
| $3 \times 10^6$ Hz         | $10^2$ m     | MF  |
| $3 \times 10^7$ Hz         | $10^1$ m     | HF  |
| $3 \times 10^8$ Hz         | 1 m          | VHF |
| $3 \times 10^9$ Hz         | $10^{-1}$ m  | UHF |
| $3 \times 10^{10}$ Hz      | $10^{-2}$ m  | SHF |
| $3 \times 10^{11}$ Hz      | $10^{-3}$ m  | EHF |
|                            | $10^{-4}$ m  |     |
| Infrared                   | $10^{-5}$ m  |     |
| Visible                    | $10^{-6}$ m  |     |
| Ultraviolet                | $10^{-7}$ m  |     |
|                            | $10^{-8}$ m  |     |
|                            | $10^{-9}$ m  |     |
| X Rays                     | $10^{-10}$ m |     |
|                            | $10^{-11}$ m |     |
| mass of electron           | $10^{-12}$ m |     |
| $\gamma$ Rays (gamma rays) | $10^{-13}$ m |     |
|                            | $10^{-14}$ m |     |
| mass of proton             | $10^{-15}$ m |     |

**Radio bands**  
 AM radio  
 Short wave  
 CB radio  
 FM radio and television  
 Mobile phones  
 GPS

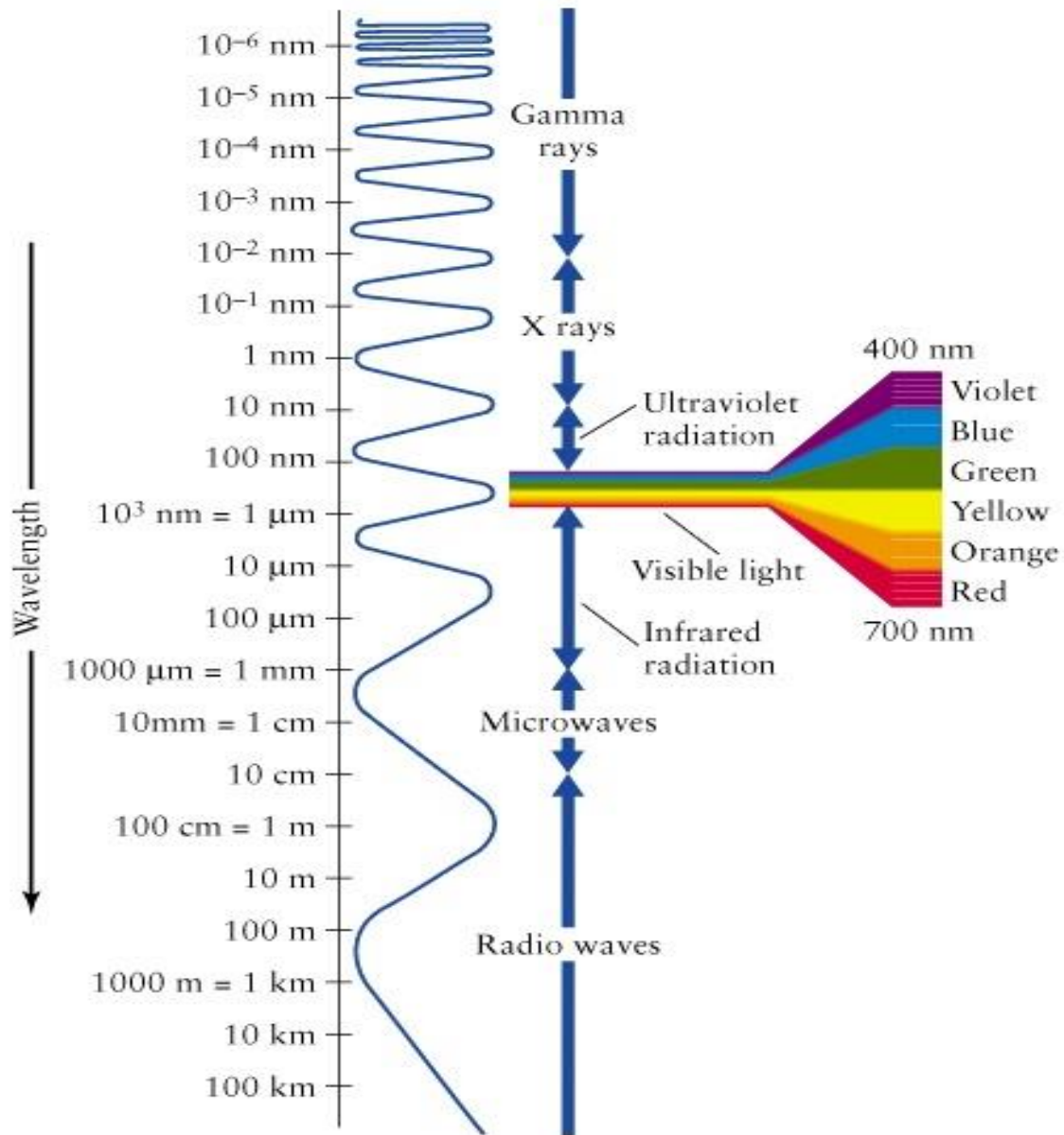
**Energy per photon**

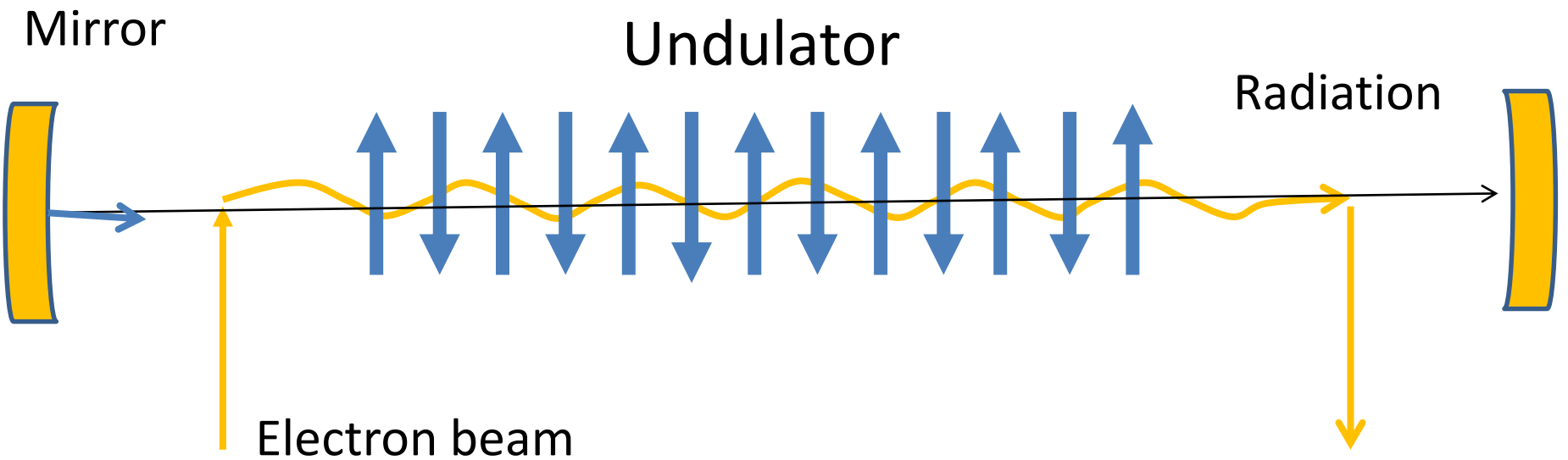
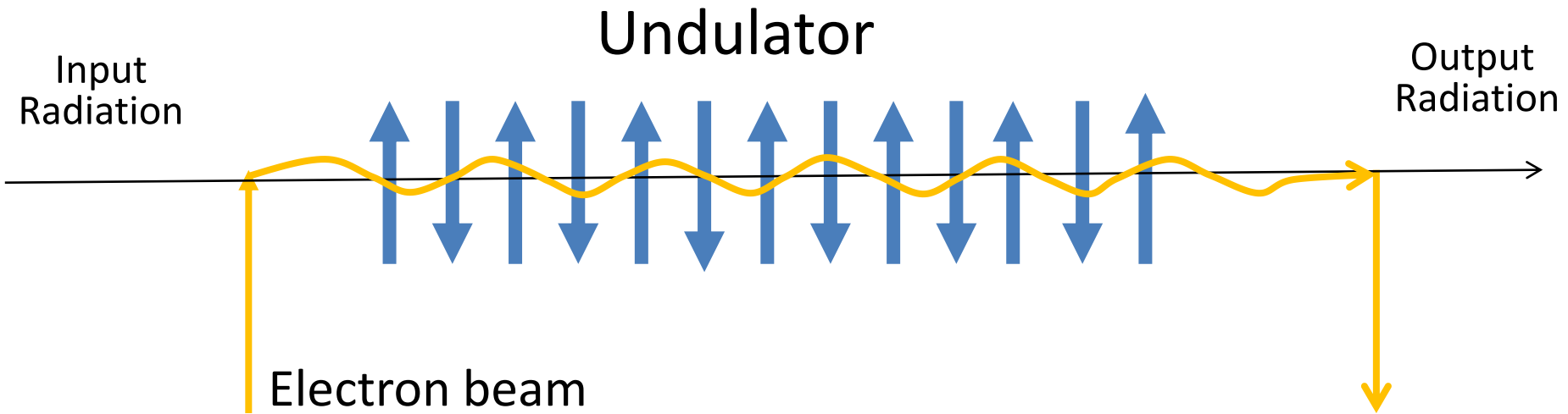
$1.24 \times 10^{-2}$  eV  
 $1.24 \times 10^{-1}$  eV  
 1.24 eV  
 $1.24 \times 10^1$  eV  
 $1.24 \times 10^2$  eV  
 $1.24 \times 10^3$  eV  
 $1.24 \times 10^4$  eV  
 $1.24 \times 10^5$  eV  
 $1.24 \times 10^6$  eV  
 $1.24 \times 10^7$  eV  
 $1.24 \times 10^8$  eV  
 $1.24 \times 10^9$  eV



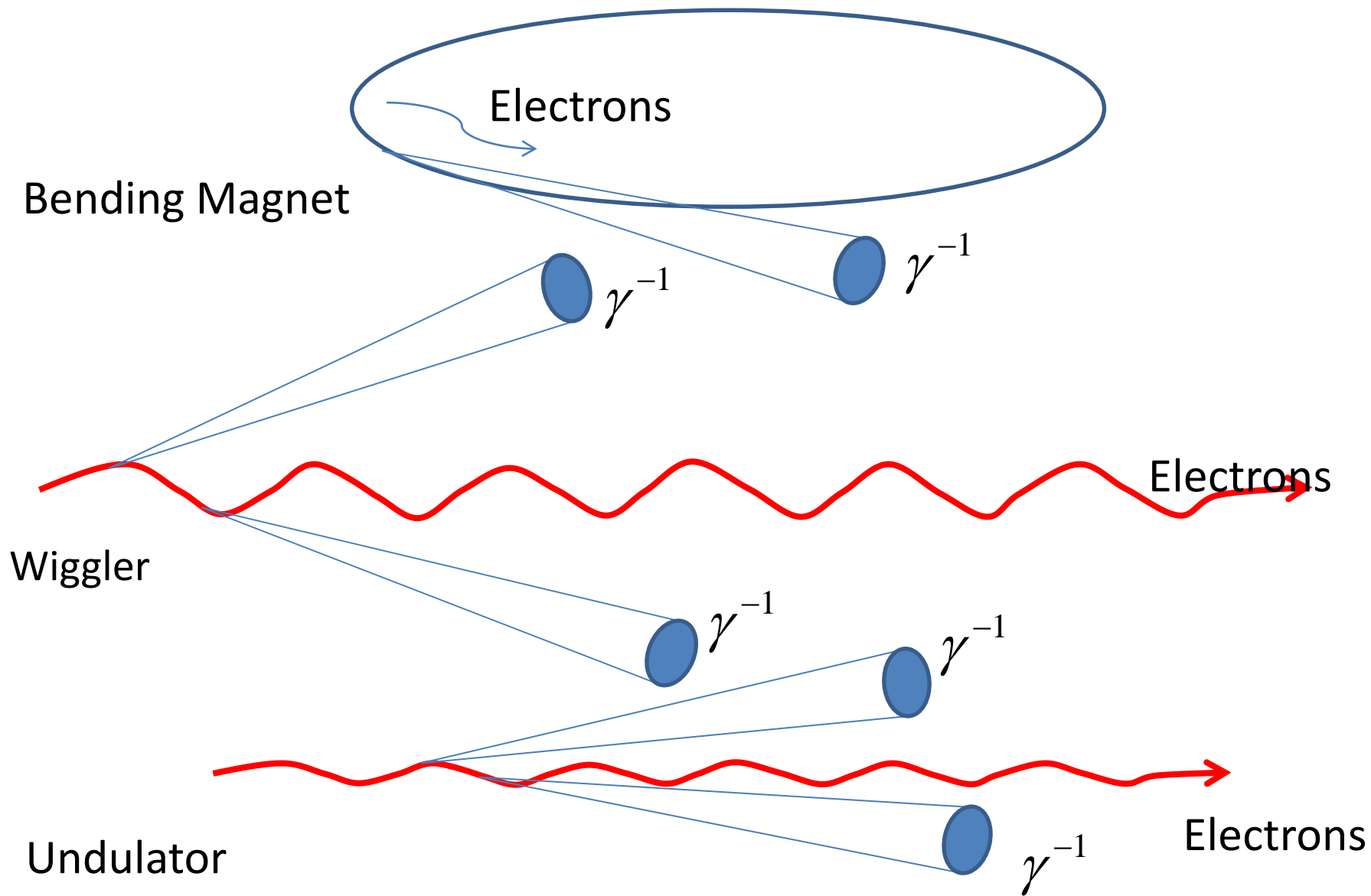
## Espectro de Radiação Eletromagnética

| Região         | Comp. Onda (Angstroms) | Comp. Onda (centímetros)              | Frequência (Hz)                           | Energia (eV)     |
|----------------|------------------------|---------------------------------------|---|------------------|
| Rádio          | $> 10^9$               | $> 10$                                | $< 3 \times 10^9$                         | $< 10^{-5}$      |
| Micro-ondas    | $10^9 - 10^6$          | $10 - 0.01$                           | $3 \times 10^9 - 3 \times 10^{12}$        | $10^{-5} - 0.01$ |
| Infra-vermelho | $10^6 - 7000$          | $0.01 - 7 \times 10^{-5}$             | $3 \times 10^{12} - 4.3 \times 10^{14}$   | $0.01 - 2$       |
| Visível        | $7000 - 4000$          | $7 \times 10^{-5} - 4 \times 10^{-5}$ | $4.3 \times 10^{14} - 7.5 \times 10^{14}$ | $2 - 3$          |
| Ultravioleta   | $4000 - 10$            | $4 \times 10^{-5} - 10^{-7}$          | $7.5 \times 10^{14} - 3 \times 10^{17}$   | $3 - 10^3$       |
| Raios-X        | $10 - 0.1$             | $10^{-7} - 10^{-9}$                   | $3 \times 10^{17} - 3 \times 10^{19}$     | $10^3 - 10^5$    |
| Raios Gama     | $< 0.1$                | $< 10^{-9}$                           | $> 3 \times 10^{19}$                      | $> 10^5$         |

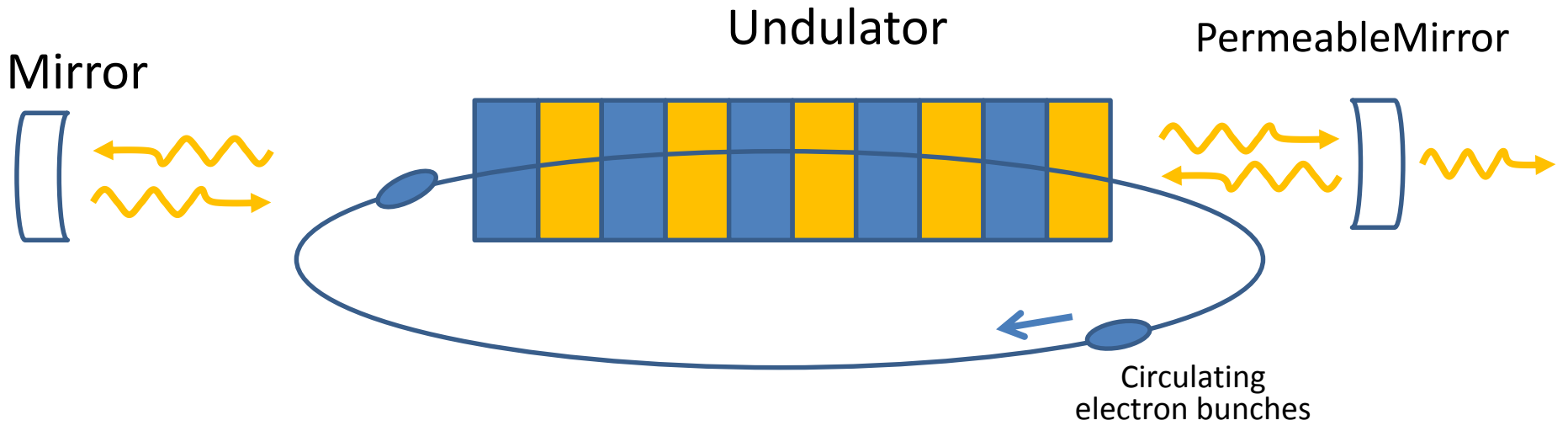




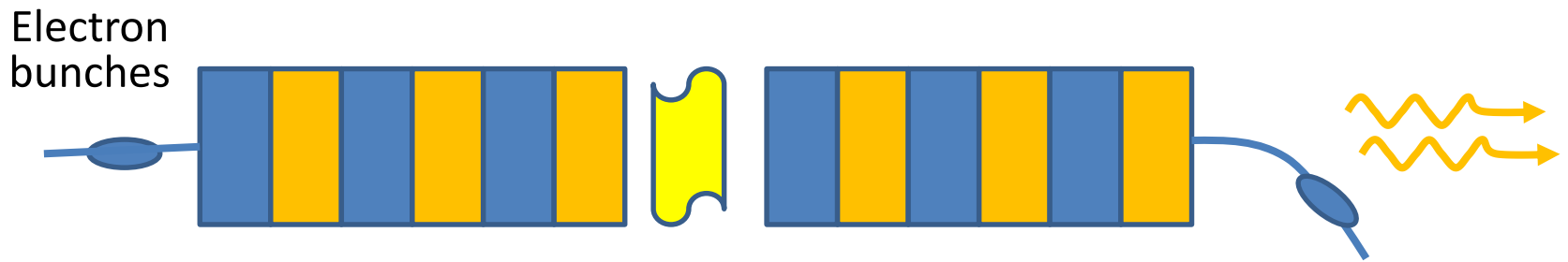




FEL

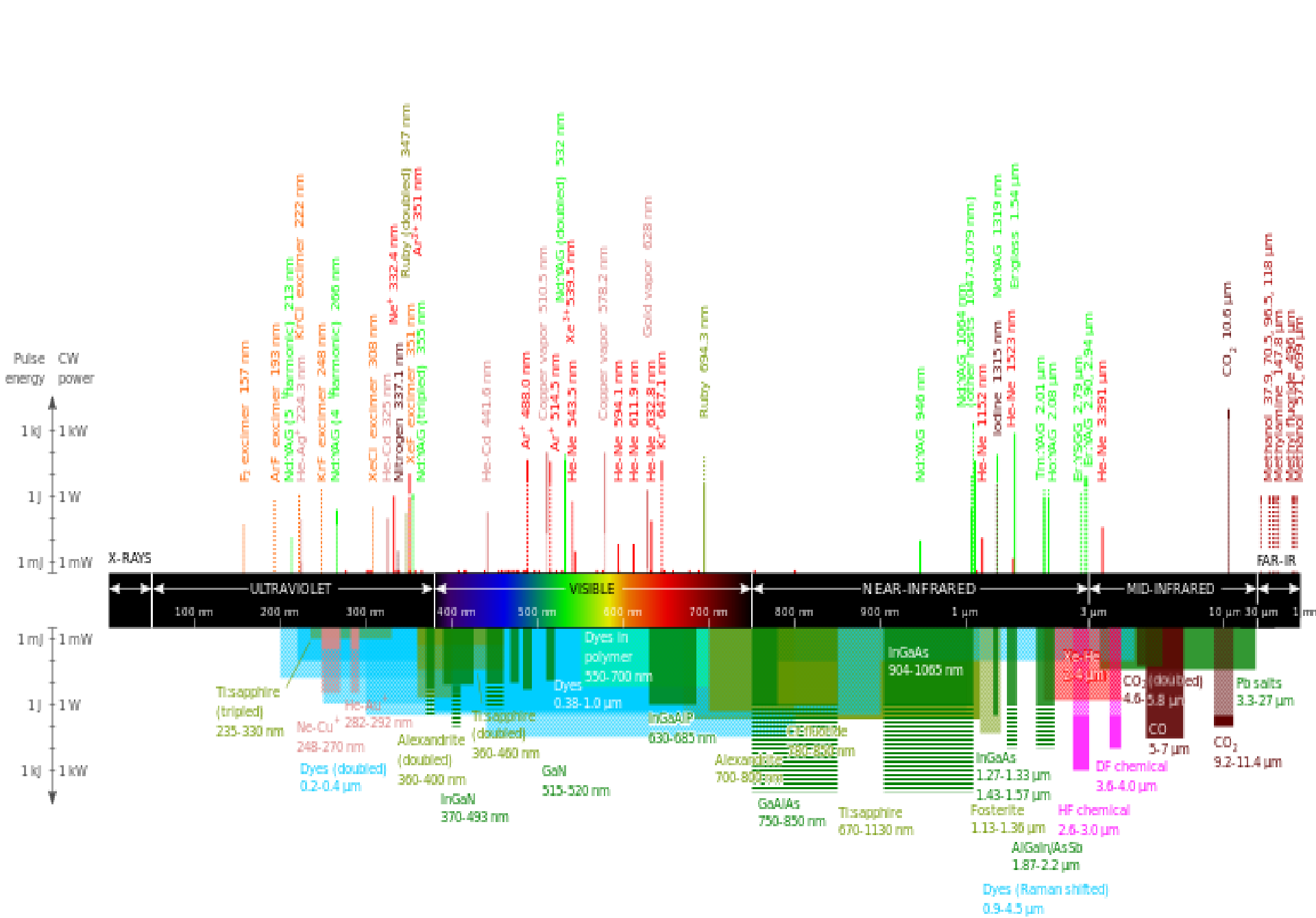


SASE FEL



Principle of free-electron laser. For visible or infrared light an optical resonator can be used. A increase in light intensity of a few % per passage of a short undulator magnet is sufficient to achieve laser saturation within many round trips. In the ultraviolet and X-ray region one can apply the mechanism of Self-Amplified Spontaneous Emission where a large laser gain is achieved in a single passage of a very long undulator

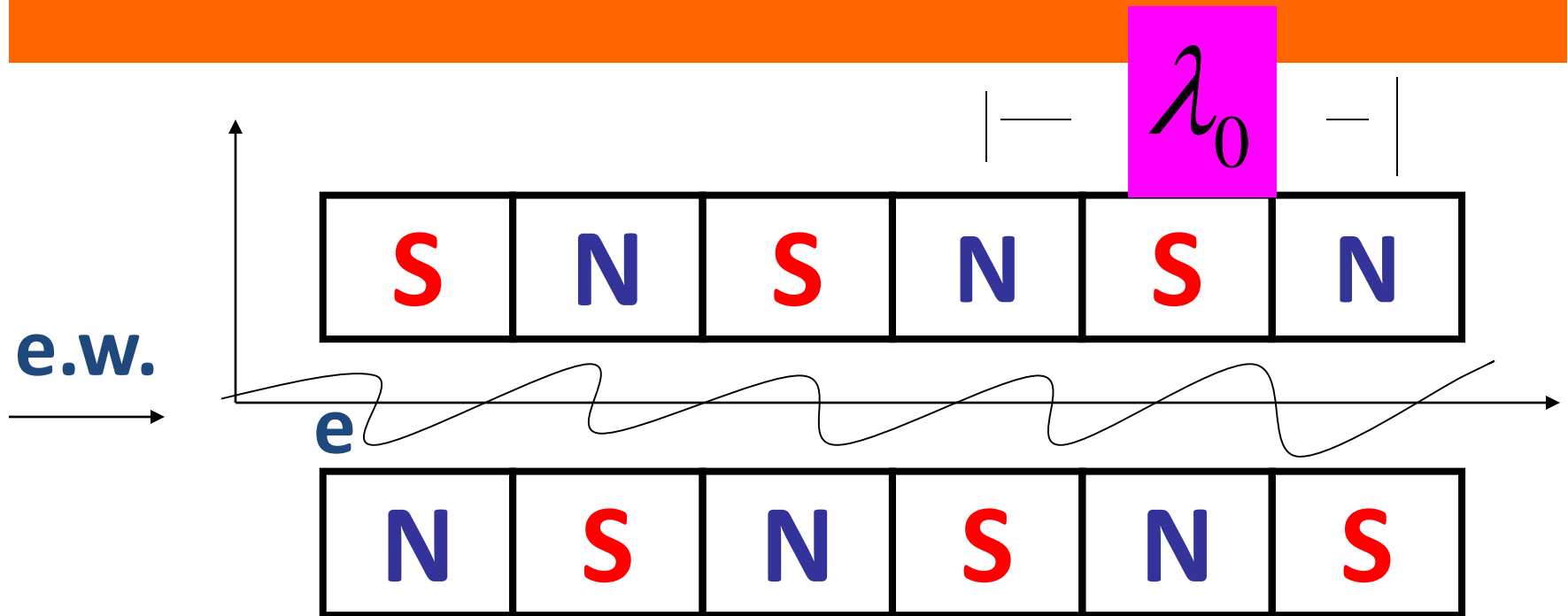
The principle of the Free-Electron Laser (FEL) was invented by John Madey in 1971 [1]. The first FEL, operating in the infrared at a wavelength of 12  $\mu\text{m}$ , was built at Stanford University in the 1970s by Madey and coworkers [2, 3]. For many years FELs have played a marginal role in comparison with conventional lasers except at microwave and infrared wavelengths. Only in recent years it has become clear that these devices have the potential of becoming exceedingly powerful light sources in the X-ray regime [4, 5, 6]. The ultraviolet and soft X-ray free-electron laser facility FLASH in Hamburg has been playing a pioneering role in the development of X-ray FELs. The successful operation of FLASH as a user facility, providing radiation pulses of unprecedented brightness and shortness at wavelengths down to 6.5 nm, has paved the way for new FELs in the  $\text{\AA}$  regime



|                            | LCLS Stanford | LCLSII       | Eu-XFEL      | SACLA Spring-8 Japan | FLASH Hamburg, DESY |
|----------------------------|---------------|--------------|--------------|----------------------|---------------------|
| Shortest wavelength        | 1.5 Å         | 1 Å          | 0.5 Å        | 1 Å                  | 40 Å                |
| Undulator type, hard X-ray | Fixed gap     | Variable gap | Variable gap | In vacuum<br>Var.gap | -----               |
| Max electron energy        | 13.6 GeV      | 14 GeV       | 17.5 GeV     | 8 GeV                | 1.2 GeV             |
| Approx. facility length    | 1.7 km        | 1.7 km       | 3.4 km       | 0.8 km               | 0.32 km             |
| Start operation            | 2009          | 2017         | 2015         | 2011                 | 2005                |

| FLASII  | FERMI   | Swiss FEL            | PAL XFEL<br>Pohang,<br>Korea | Shanghai<br>XFEL | MaRIE  |
|---------|---------|----------------------|------------------------------|------------------|--------|
| 40 A    | 40 A    | 1 A                  | 1 (0.6) A                    | 1 A              | 0.3 A  |
| ---     | -----   | In vacuum<br>Var.gap | Variable gap                 | Var.gap          | ?      |
| 1.2 GeV | 1.5 GeV | 5.8 GeV              | 10.0 GeV                     | 6.4 GeV          | 12 GeV |
| 0.32 km | 0.5 km  | 0.7 km               | 1.1 km                       | 0.6 km           | 1.0 km |
| 2013    | 2010    | 2016                 | 2015                         | 2019             | ?      |

In the free-electron laser (FEL) the accelerated motion of electrons in the ponderomotive potential, formed by the combined field of the wiggler and electromagnetic wave, produces coherent stimulated radiation.





$$\lambda = \frac{\lambda_0}{2\gamma^2} \left( 1 + K^2 + \gamma^2 \theta^2 \right)$$

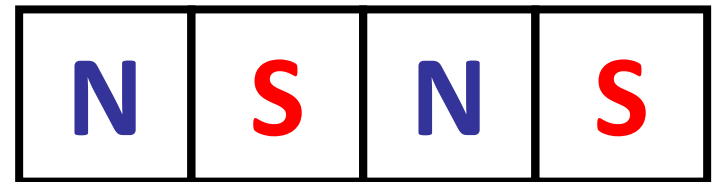
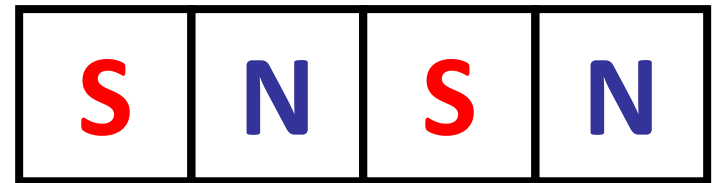
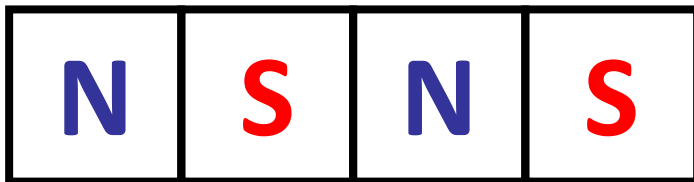
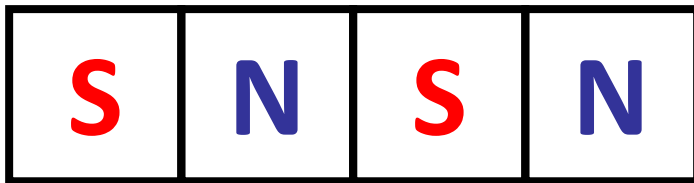
$$K = \frac{eH_0\lambda_0}{2\pi mc^2} = 0.0934 \times H_0 (kGs) \times \lambda_0 (cm)$$

$$x(z) = \frac{K}{\beta\gamma k_u} \sin k_u z \quad k_u = \frac{2\pi}{\lambda_u}$$

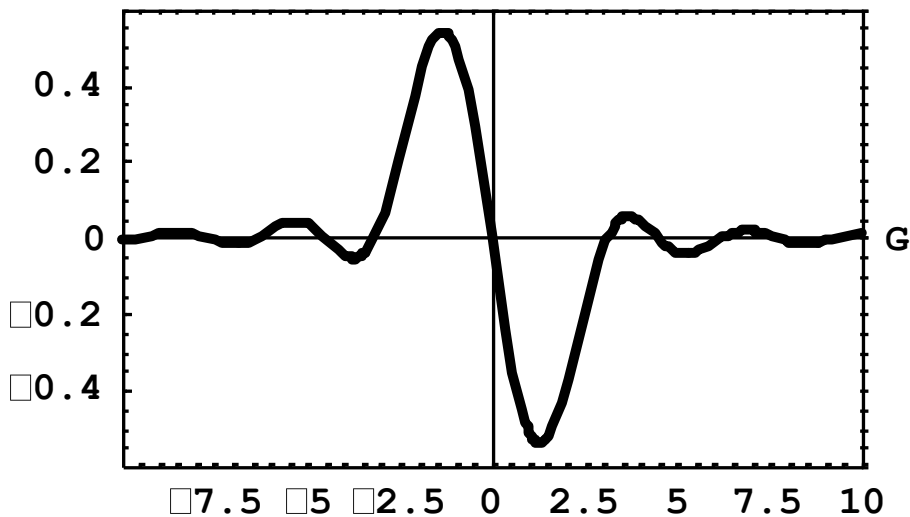
$$v_x(z) = \frac{Kc}{\gamma} \cos k_u z$$

$$\mathcal{G}_{max} \approx \left[ \frac{dx}{dz} \right]_{max} = \frac{K}{\beta\gamma} \approx \frac{K}{\gamma}$$

More specifically, the idea of FELWI is based on a two-wiggler scheme with a specially organized dispersion region between the wigglers.

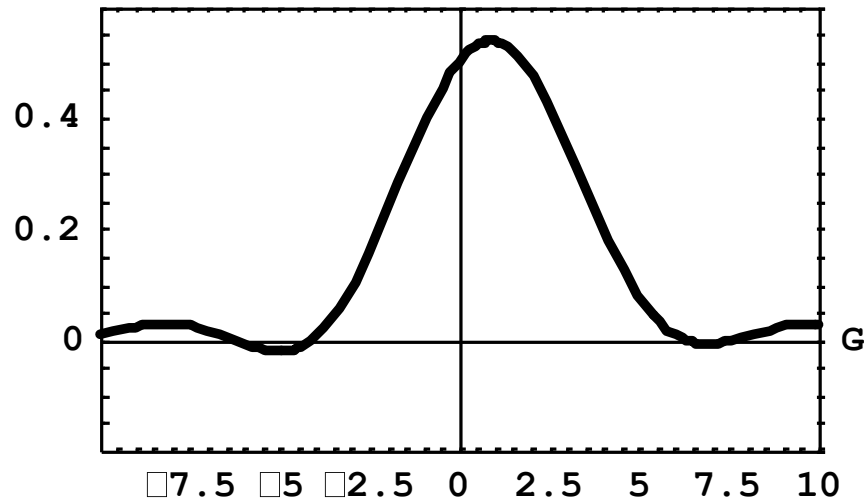


Gain



FEL

Gain



FELWI

$$\int G(\Omega) d\Omega = 0$$

$$\int G(\Omega) d\Omega > 0$$

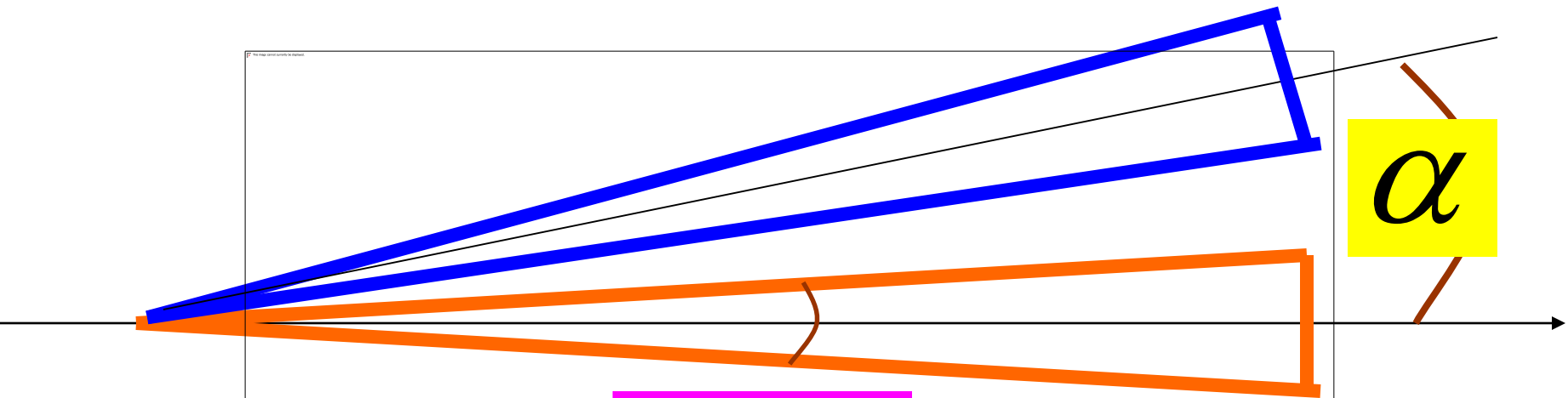
D.E. Nikonov, M.O. Scully, and  
G. Kurizki

Phys.Rev. E **54**, 6780 (1996)

FELWI realization is strongly related to a deviation of electrons from their original direction of motion owing to interaction with the fields of undulator and co-propagating light-wave

FELWI mechanism can work only if the interaction-induced deviation of electrons (with characteristic angle  $\alpha$ ) is larger than the angular width of electron beam  $\alpha_{beam}$

$$\alpha > \alpha_{beam}$$



$\alpha$

$\alpha_{beam}$

$$\alpha > \alpha_{beam}$$

A transverse velocity  $v_x$  and the energy  $\Delta E$  acquired by an electron after a passage through the undulator are directly proportional to each other

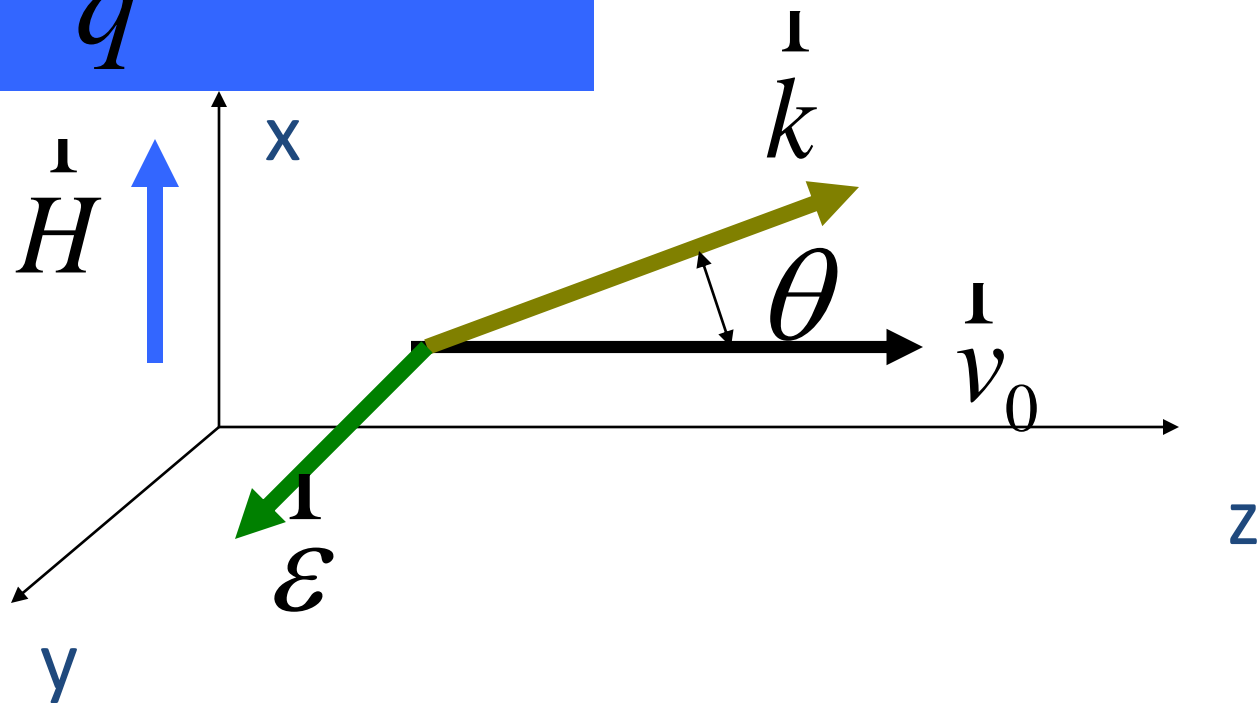
$$v_x = c\theta \frac{\Delta E}{E_0}$$

D.E. Nikonov, M.O. Scully, G.Kurizki,  
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Phys.Rev.Lett. 85, 4510 (2000)

$$A_{wave} = \frac{c\epsilon_0}{\omega} \cos(kr - \omega t)$$

$$A_{und} = \frac{H_0}{q} \cos(qz)$$





In the non-collinear FEL the electron slow-motion phase is determined as

M.V. Fedorov, Atomic and free electrons in strong light field, World Scientific, Singapore, 1997

$$\varphi = qz + k\mathbf{r} - \omega t$$

$$q = \frac{2\pi}{\lambda_0} \left| \mathbf{k} \right| = \omega / c \quad \mathbf{r} = \mathbf{r}(t)$$
$$z = z(t)$$

The slow motion phase obeys the usual pendulum equation

$$\ddot{\varphi} = -a^2 \sin \varphi$$

$$a = \frac{ce\sqrt{\varepsilon_0 H_0}}{E_0}$$

$$E_0 = \gamma mc^2$$

If  $L$  is the undulator length, the ratio  $L/c$  is the time it takes for an electron to pass through the undulator.

The product of this time by the parameter  $a$  is known as the saturation parameter  $\mu$

$$\mu = \frac{aL}{c} = \frac{eL\sqrt{\epsilon_0 H_0}}{E_0}$$

Amplification in FEL (with  $H_0 = \text{const}$  ) is efficient one as long as  $\mu \leq 1$  . At  $\mu > 1$  the FEL gain falls. The condition  $\mu = 1$  determines the saturation field  $\mathcal{E}_{0,sat}$  and intensity  $I_{sat}$  .  
 For example, at

**G**

$$L = 3m,$$

$$H_0 = 10^4 \text{ Oe},$$

$$\gamma = 10^2$$

$$\mathcal{E}_{0,sat} : 1.2 \times 10^4 \text{ V / cm}$$

$$I_{sat} : 2 \times 10^5 \text{ W / cm}^2$$

The pendulum equation has a first integral of motion (kinetic+potential energy of a pendulum = const)

$$\frac{\dot{\varphi}(t)^2}{2} - a^2 \cos[\varphi(t)] = \text{const}$$

Initial conditions are given by

$$\varphi(0) = \varphi_0 \quad \dot{\varphi}(0) = \delta = \frac{\omega - \omega_{res}}{2\gamma^2}$$

Where  $\varphi_0$  is an arbitrary initial phase,  $\delta$  is the resonance detuning, and  $\omega_{res}$  is the resonance frequency for noncolinear FEL given by

$$\omega_{res} = \frac{cq}{1 - \frac{v_0}{c} \cos \theta} \approx \frac{2\gamma^2 cq}{1 + \gamma^2 \theta^2}$$

In the case of not too long undulators and sufficiently small energy width of electron beams a characteristic value of the detuning is evaluated as

$$|\delta| : 1/t : c/L$$

The rate of change of the electron energy is defined as the work produced by the light field per unit time, and this rate is connected directly with the second derivative of the slow-motion phase

$$\frac{dE}{dt} = \frac{E}{2cq} \frac{d^2\phi}{dt^2} \approx \frac{E_0}{2cq} \frac{d^2\phi}{dt^2}$$

$$E = E_0 \exp \left[ \frac{\phi(t) - \phi(0)}{2cq} \right]$$



This approximate expression is written down in the approximation of a small change of the electron energy

$$|E - E_0| \ll E_0$$

In this approximation for the total gained or lost energy of a single electron after a passage through the undulator is given by

$$\Delta E = E \left( \frac{L}{c} \right) - E_0 \approx \frac{E_0}{2cq} \left[ \left( \frac{L}{c} \right) - \delta \right]$$

In the weak-field approximation ( $\mu \ll 1$ ) one can use the iteration method with the respect to the squared parameter  $a$ . The zero-order solution is evident and very simple

$$\varphi^{(0)} = \delta$$

In the first order in

$$a^2$$

one gets

$$\varphi^{(1)} = \frac{a^2}{\delta} \left( \cos(\varphi_0 + \delta \cdot t) - \cos \varphi_0 \right) : \frac{a^2 L}{c} = \frac{\mu^2 c}{L}$$

The first-order change of the electron energy

$$\Delta E^{(1)} = \frac{E_0}{2cq} \varphi^{(1)} : \frac{E_0}{2cq} \frac{\mu^2 c}{L} = \mu^2 E_0 \frac{\lambda_0}{4\pi L}$$

A transverse velocity  $v_x$  and the energy  $\Delta E$  acquired by an electron after a passage through the undulator are directly proportional to each other

$$v_x = c\theta \frac{\Delta E}{E_0}$$

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Which gives in the first order the following estimate of the electron deviation angle

$$\alpha \approx \frac{v_x^{(1)}}{v_0} \approx \frac{v_x^{(1)}}{c} = \theta \frac{\Delta E^{(1)}}{E_0} : \theta \mu^2 \frac{\lambda_0}{4\pi L} : \mu^2 \frac{d\lambda_0}{4\pi L^2}$$

where  $d$  is the electron beam diameter and we take

$$\theta = d / L$$

In the framework of a linear theory we can consider only such fields at which  $\mu \leq 1$ . Moreover, consideration of the case  $\mu \gg 1$  has no sense at all because the corresponding fields are too strong and because saturation makes the gain too small.

For these reasons let us take for estimates  
maximal value of the saturation parameter  $\mu$   
compatible with the weak field  
approximation  $\mu : 1$

Let  $\lambda_0 = 3cm, d = 0.3cm, L = 300cm$

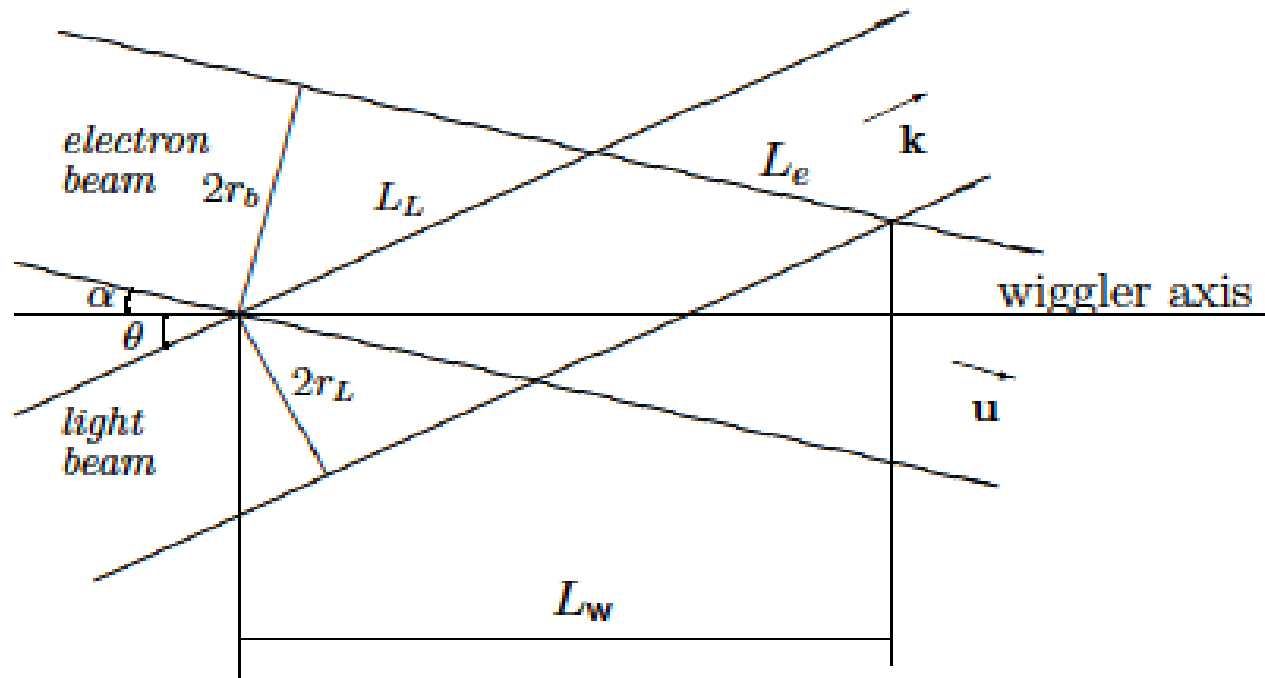
$$\alpha : \mu^2 \frac{d \lambda_0}{4\pi L^2} : 10^{-6}$$

At weaker fields and smaller values of saturation parameter the deviation angle is even smaller than

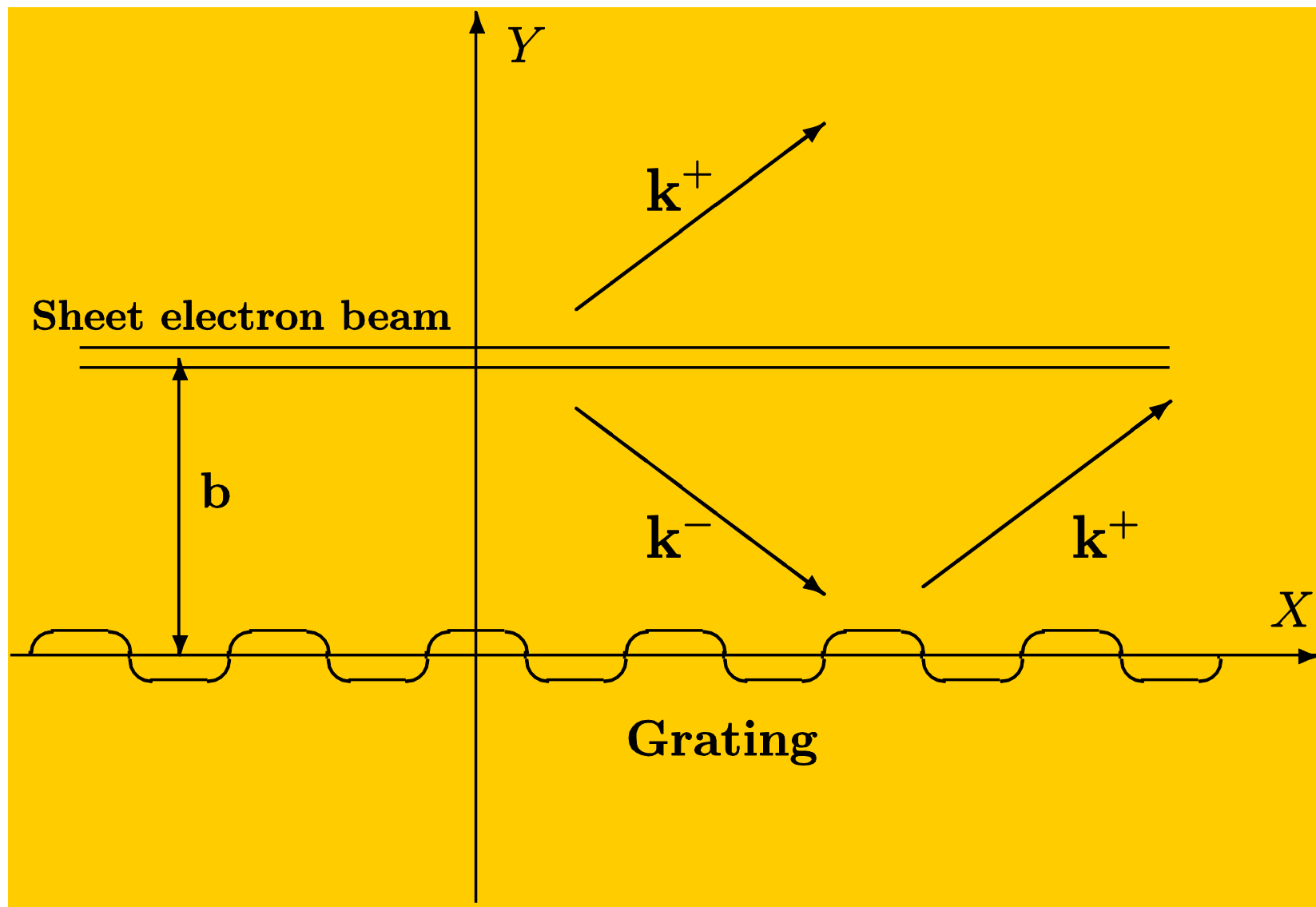
$$\alpha : 10^{-6}$$







# Smith-Purcell Radiation



One can select two theoretical target settings for SP radiation. The first of them is the problem of generating SP radiation. In this case, the waves incoming from infinity are absent. The SP system generates outgoing waves. Another problem is the amplification or attenuation of incoming waves by the SP system. One can expect that these different problems have different solutions.

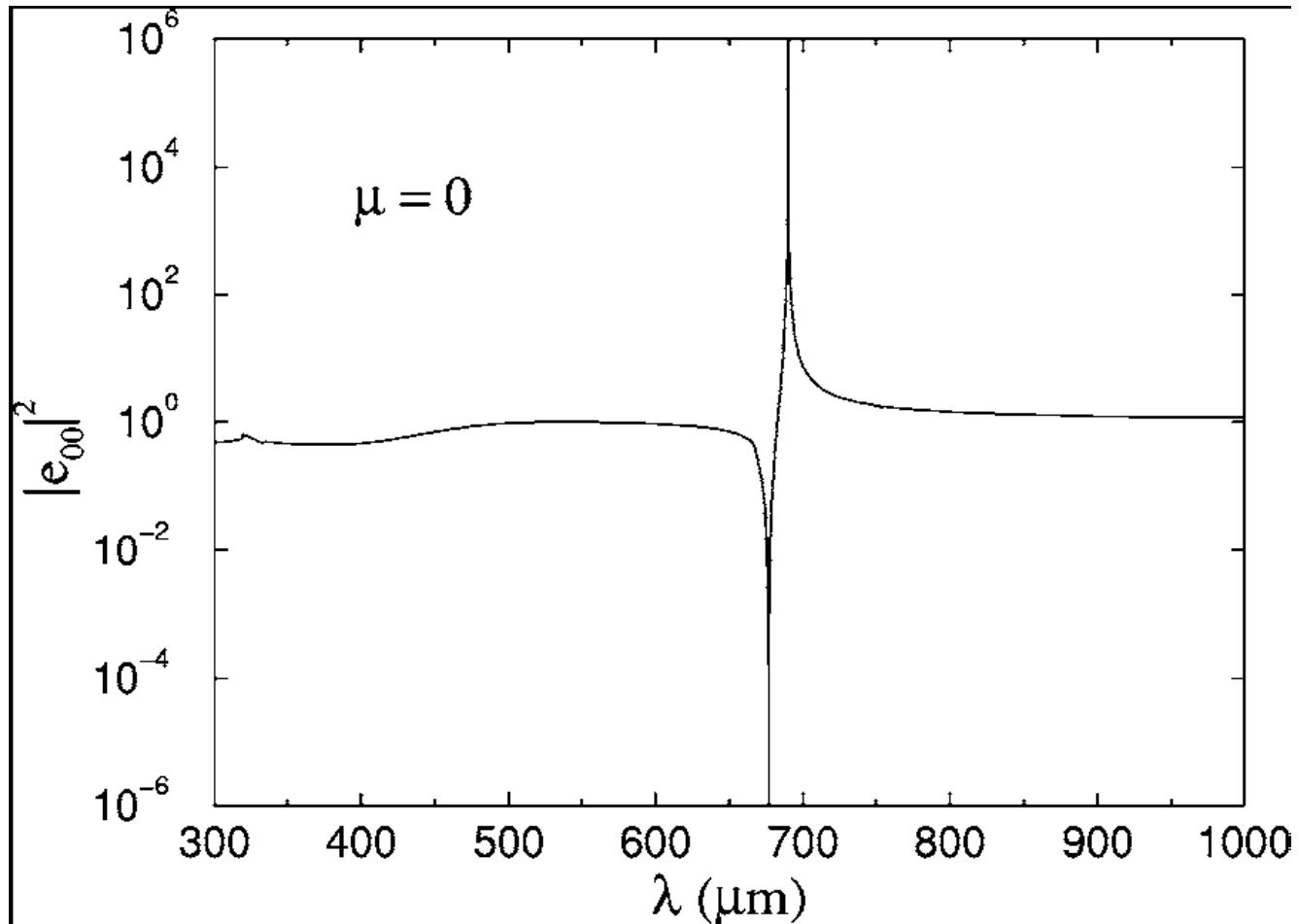
we consider only the problem of  
generating  
waves by the SP system when the  
incoming waves are absent

$$\omega_p = \sqrt{\frac{4\pi n_e e^2}{m}}$$

We have a closed agreement with results obtained in Ref. [8], for the Thompson regime  $\mu_p ? 1$

We have found that zero-order approximation for solution of dispersion equation of SP-generation corresponds to the mirror case, when the electron beam propagates above plane metal surface (mirror).

Vinit Kumar and Kwange-Je Kim, Phys. Rev. E 73,  
026501 (2006) the coefficient  $e_{00}$  of reflecting  
matrix was calculated



In paper Vinit Kumar and Kwange-Je Kim, Phys. Rev. E 73, 026501 (2006) the coefficient  $e_{00}$  of reflecting matrix was calculated for the case of rectangular grating for the following parameters: grating period  $d = 173 \mu\text{m}$ , groove width  $w = 62 \mu\text{m}$ , groove depth  $h = 100 \mu\text{m}$ , electron energy  $E = 35 \text{ KeV}$ , beam height from top surface  $b = 10 \mu\text{m}$ .



Plot of  $e_{00}$  as a function of free-space wavelength of the zeroth-order evanescent wave shown that there are two resonant peaks: peak-down at  $675.2 \mu\text{m}$   $675.2 \mu\text{m}$ , when  $e_{00}$  has a zero, and peak-up at wavelength  $690 \mu\text{m}$  when  $e_{00}$  has a singularity.

The growth rates for both Thompson and Raman types of waves excitation are proportional  $\omega_b$  the Langmuir frequency  $\propto b$  or square root of beam current  $I_b^{1/2}$ .

The conditions of excitations for both Thompson and Raman types do not depend on Langmuir beam frequency (or beam current), but depend on the beam height  $b$  above the grating.