



JOINT INSTITUTE FOR NUCLEAR RESEARCH
Strangeness in Quark Matter 2015



D-meson production in pp and p-Pb collisions measured with ALICE at the LHC

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Outline



- Heavy flavour in ALICE
 - pp and p-Pb collisions: physics motivations
 - Charm production vs multiplicity
- Open heavy-flavour reconstruction in ALICE
- Measurements in pp collisions
 - D-meson cross sections
 - D mesons vs multiplicity
- Measurements in p-Pb collisions
 - Nuclear modification factor
 - D mesons vs multiplicity
- Conclusions

Heavy-flavour physics in pp and p-Pb collisions



- **pp collisions**
 - Heavy quarks are produced in partonic scatterings with large $Q^2 \rightarrow$ of prime interest as a test of perturbative QCD calculations
 - Reference for corresponding measurements in heavy-ion collisions

p-Pb collisions

- Quantify Cold Nuclear Matter (CNM) effects on charm production
 - modification of parton densities in nuclei via shadowing or saturation

K.J. Eskola et al JHEP04(2009)065

M. Hirai et al Phys.Rev. C76 (2007) 065207

- k_T -broadening: “initial-state multiple scattering”

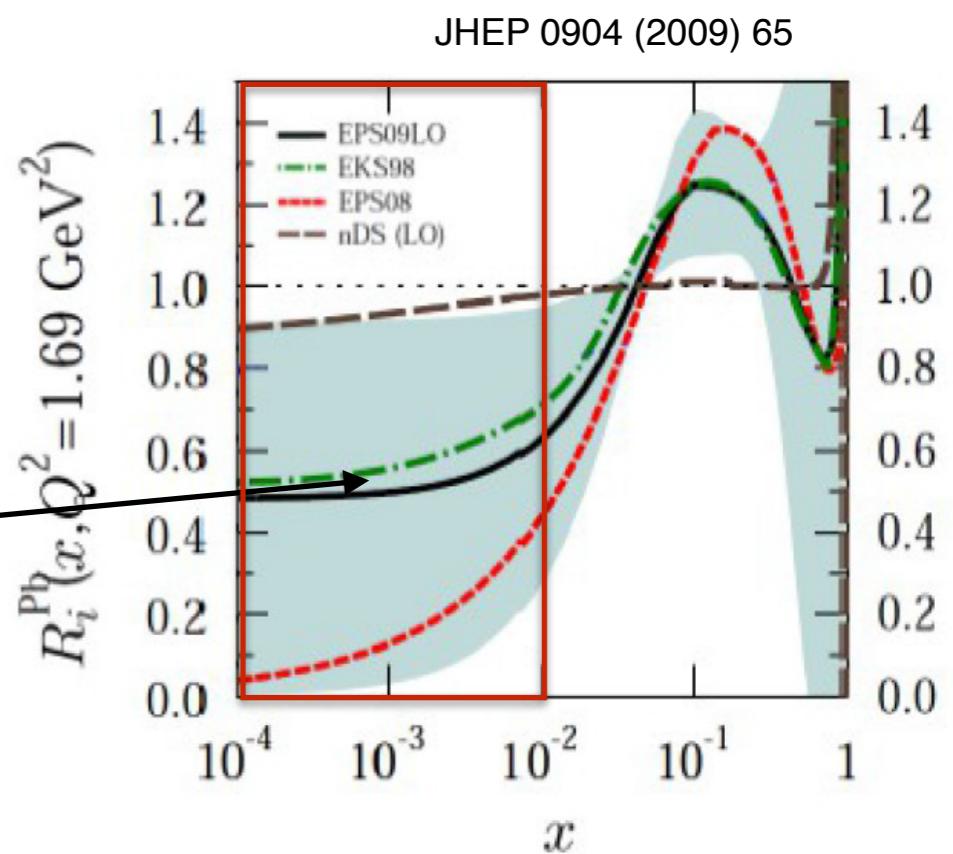
M. Lev and B. Petersson, Z.Phys. C21 (1983) 155,

B. Kopeliovich et al Phys.Rev.Lett. 88 (2002) 232303

- parton energy loss in cold nuclear matter

I. Vitev, Phys.Rev. C75 (2007) 064906

shadowing
gluon PDF: very large uncertainties
(at LHC: $x \rightarrow 10^{-2} - 10^{-4}$)



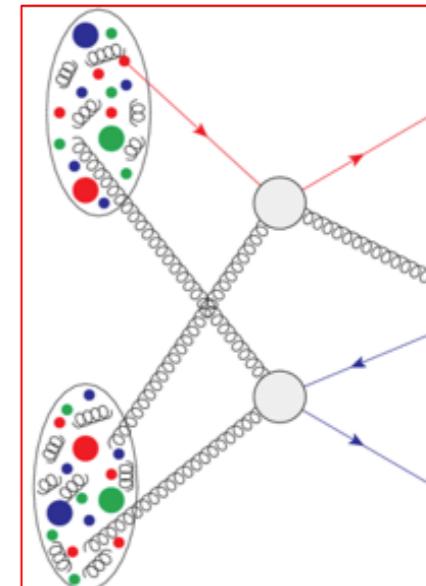
Charm production vs multiplicity



Heavy-flavour **production as a function of the multiplicity**

of charged particles produced in pp and p-Pb collisions:

- Interplay between **hard and soft contributions** to particle production
- **Multi-Parton Interactions (MPI)**: several hard partonic interactions occurring in a single collision at high centre-of-mass energies
 - number of elementary interactions is connected to the multiplicity of the events
 - yield of particles from hard processes should increase with multiplicity
- **MPI and heavy flavour:**
 - **NA27** measured that events with open charm production have 20% higher average charged-particle multiplicity (pp collisions at SPS $\sqrt{s} = 28$ GeV) ([NA27 Coll.Z.Phys.C41:191 \(1988\)](#))
 - **ALICE measured an approximately linear increase of the J/ ψ yield vs multiplicity** ([Phys.Lett. B712 \(2012\) 165-175](#))



Investigate the role of MPI:

Extend to open charm: D mesons

- Compare open/hidden charm production
- Study yield of D mesons vs. multiplicity in p_T intervals

Reconstruction of D mesons with ALICE



D-meson decays reconstructed:

$$D^0 \rightarrow K^- \pi^+$$

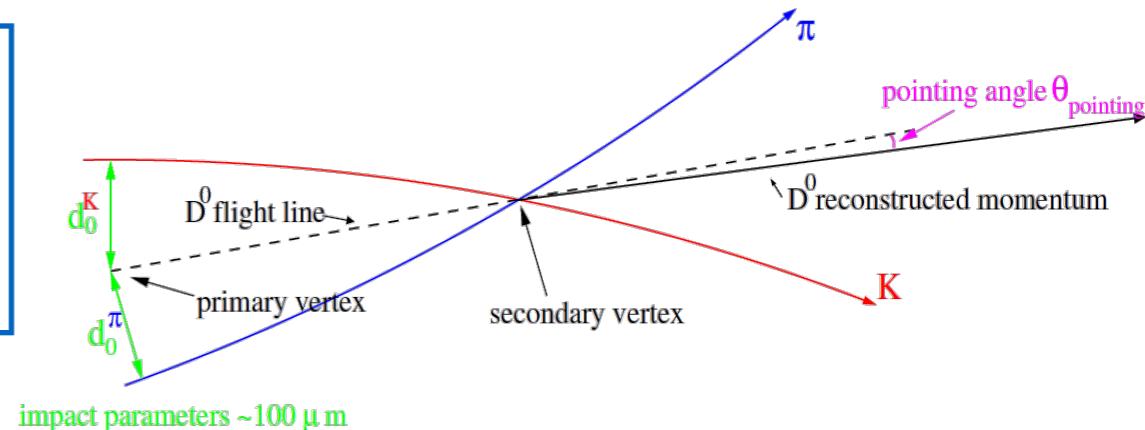
$$D^+ \rightarrow K^- \pi^+ \pi^+$$

$$D^{*+} \rightarrow D^0 \pi^+$$

$$D_s^+ \rightarrow \phi \pi^+ \rightarrow K^+ K^- \pi^+$$

talk A. Barbano

$c\tau$ 100-300 μm : displaced decay vertex is a signature of D-meson decay



Analysis strategy:

- Secondary-vertex reconstruction
- Invariant mass measurement
- Large combinatorial background
 - Particle identification and topological cuts
- ➔ improve signal to background ratio

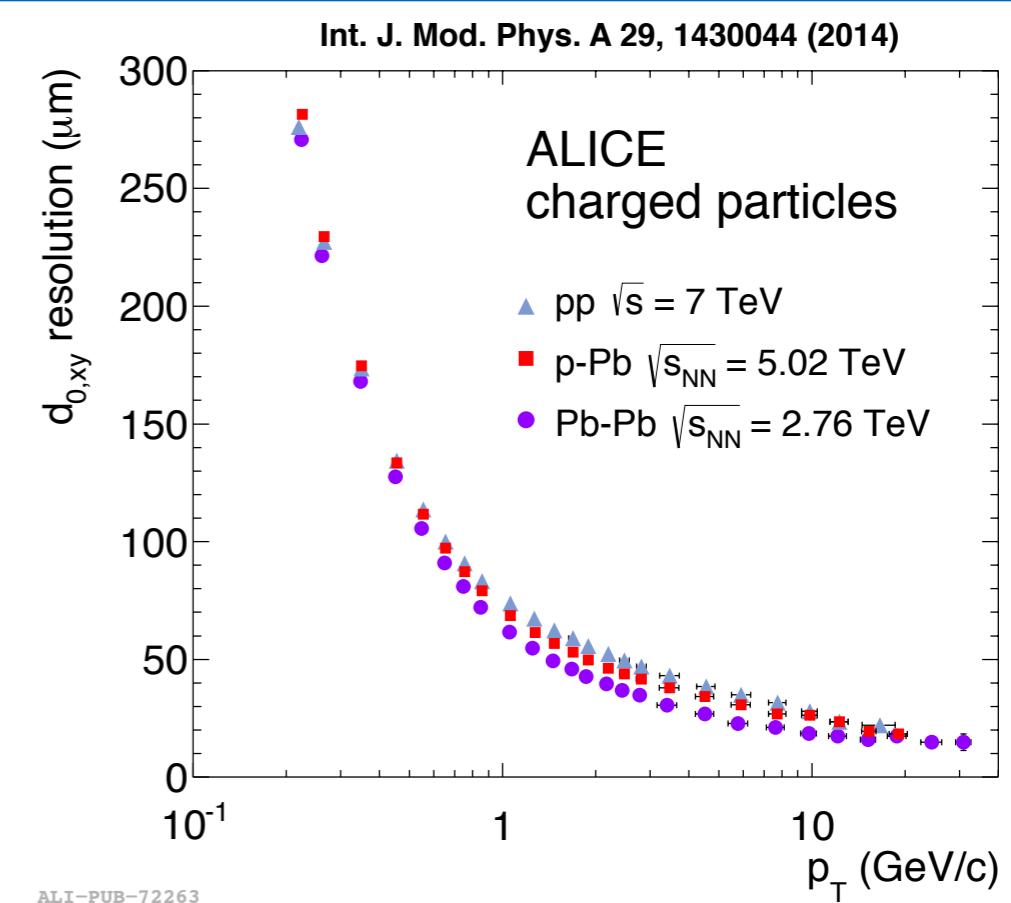
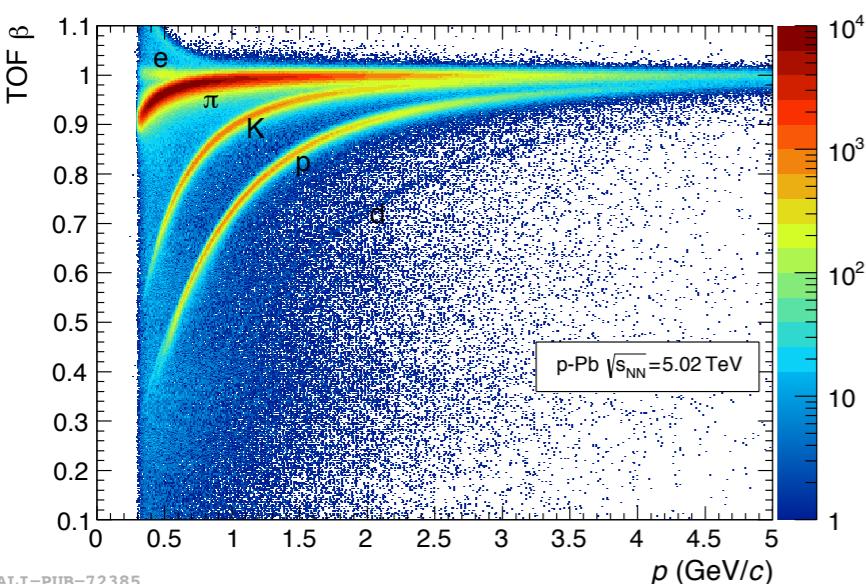
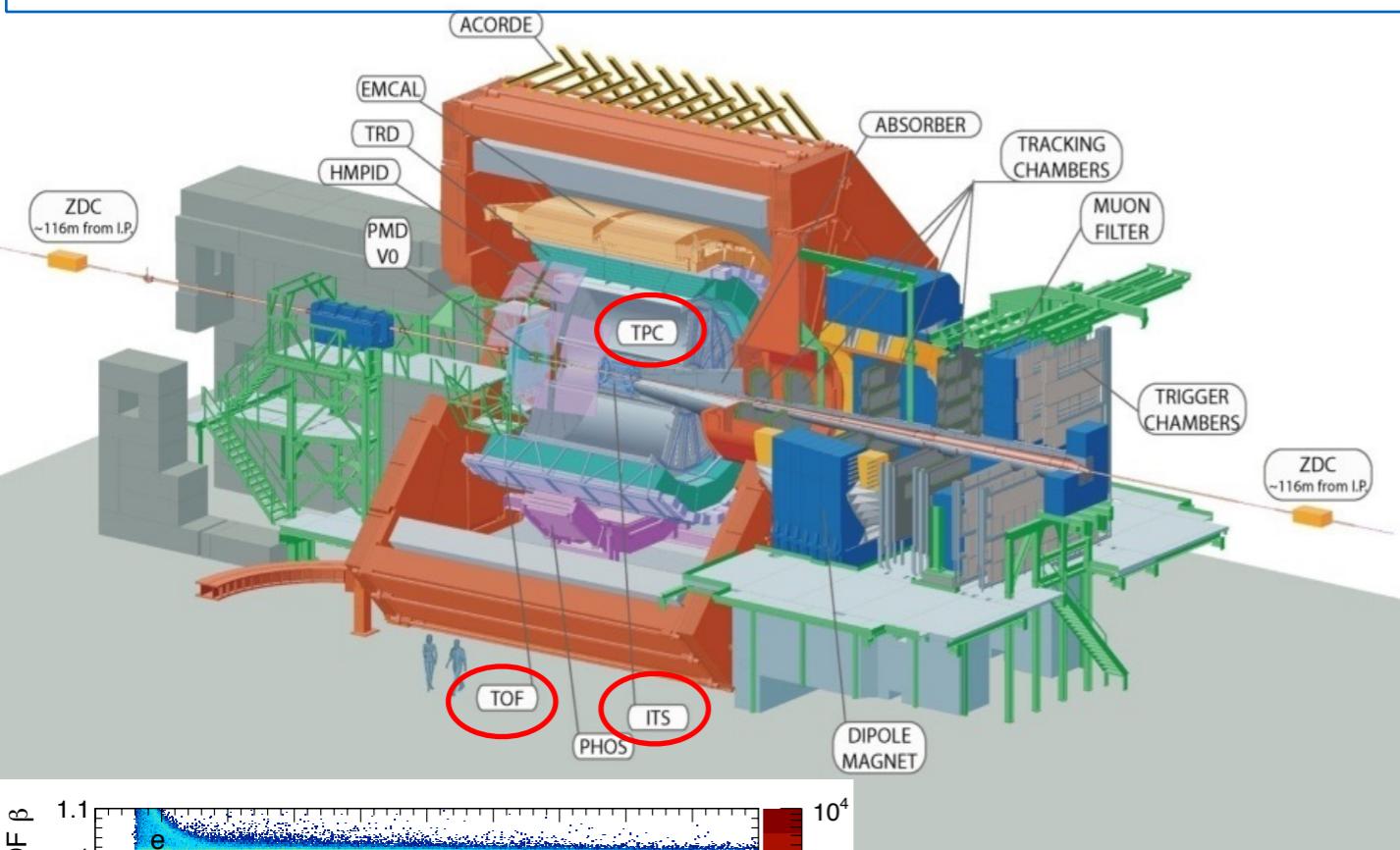


tracking and vertexing precision
crucial for heavy-flavour analysis

Reconstruction of D mesons with ALICE



- Inner Tracking System (ITS): precision vertexing, tracking
- Time Projection Chamber (TPC): tracking, particle identification
- Time Of Flight (TOF): particle identification

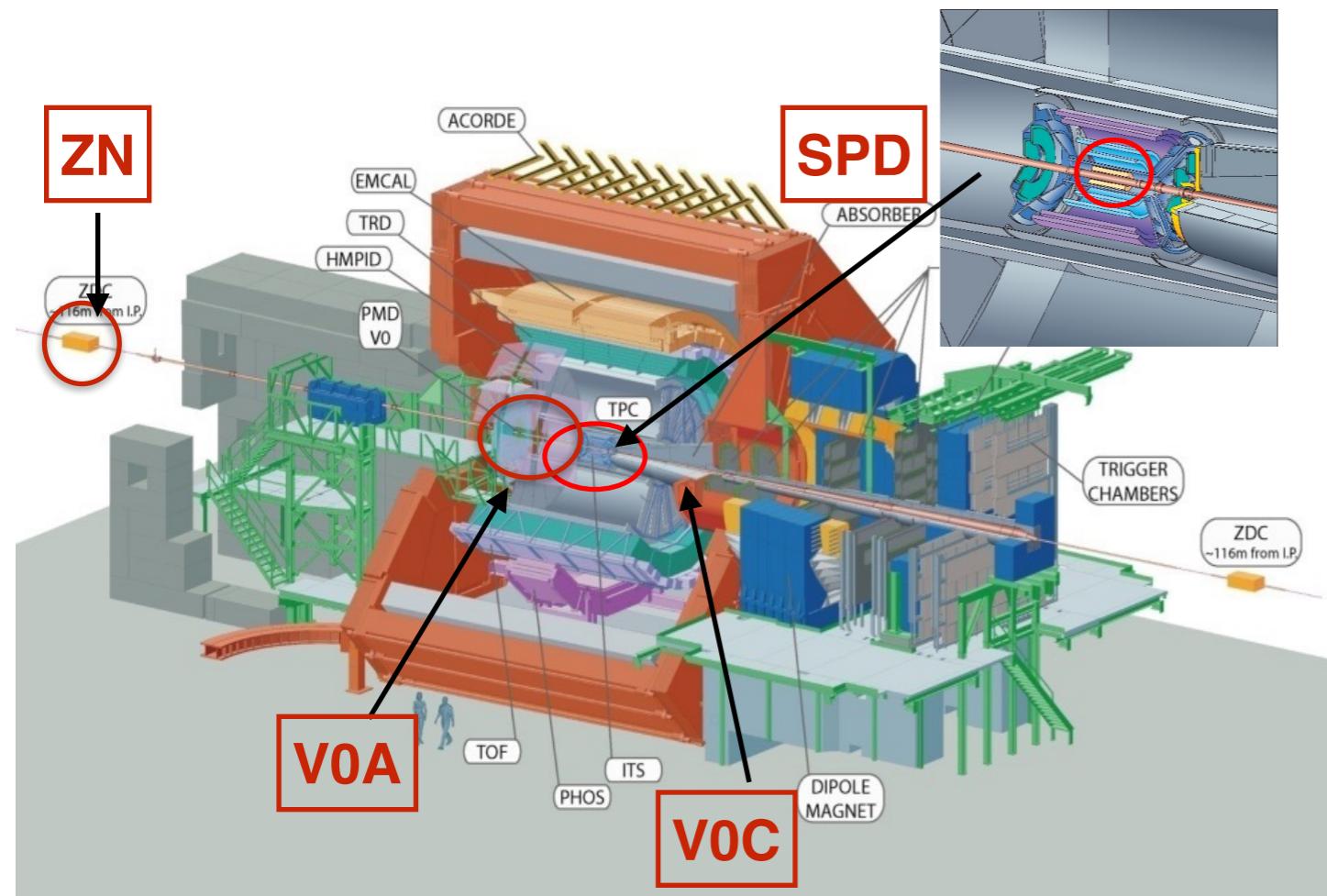


Data samples analyzed:
pp 2010 data $\sqrt{s} = 7 \text{ TeV}$ $\sim 3 \times 10^8 \text{ events}$
p-Pb 2013 data $\sqrt{s_{\text{NN}}} = 5.02 \text{ TeV}$ $\sim 10^8 \text{ events}$

Multiplicity measurements with ALICE



- Detectors used for multiplicity measurements

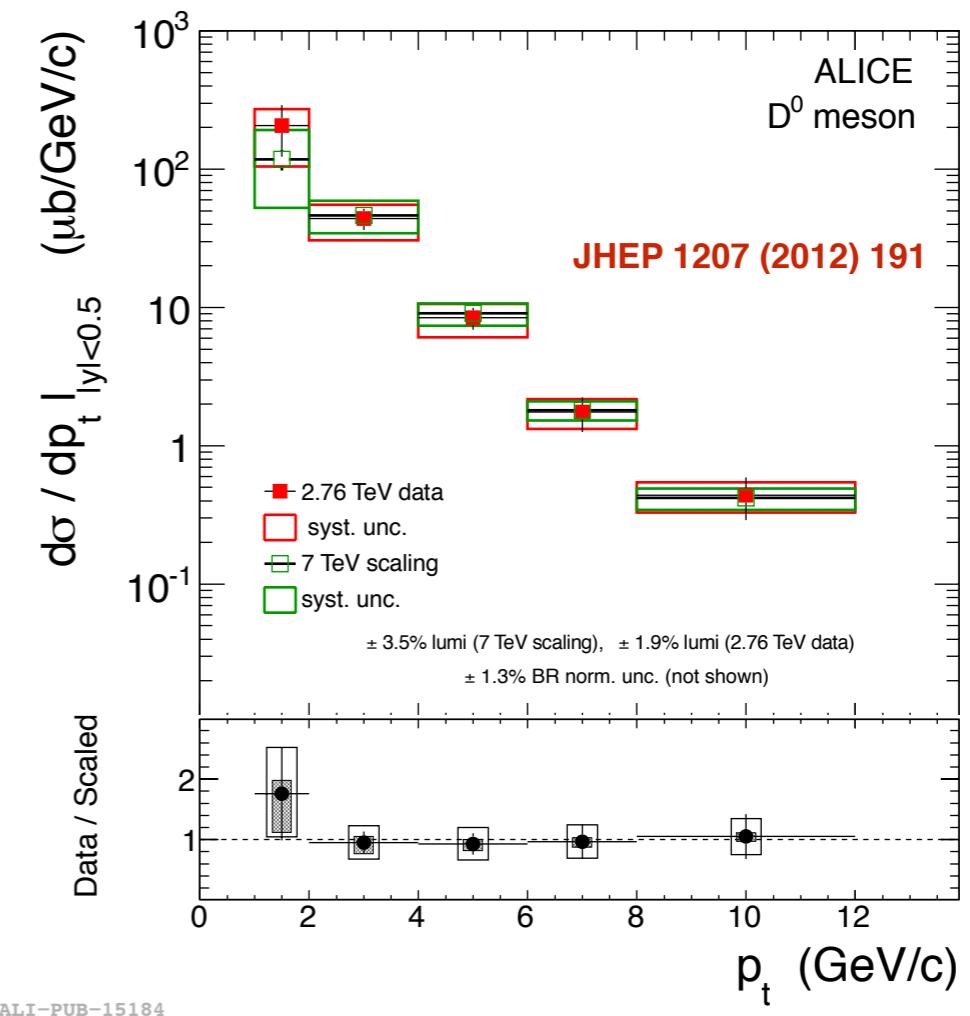
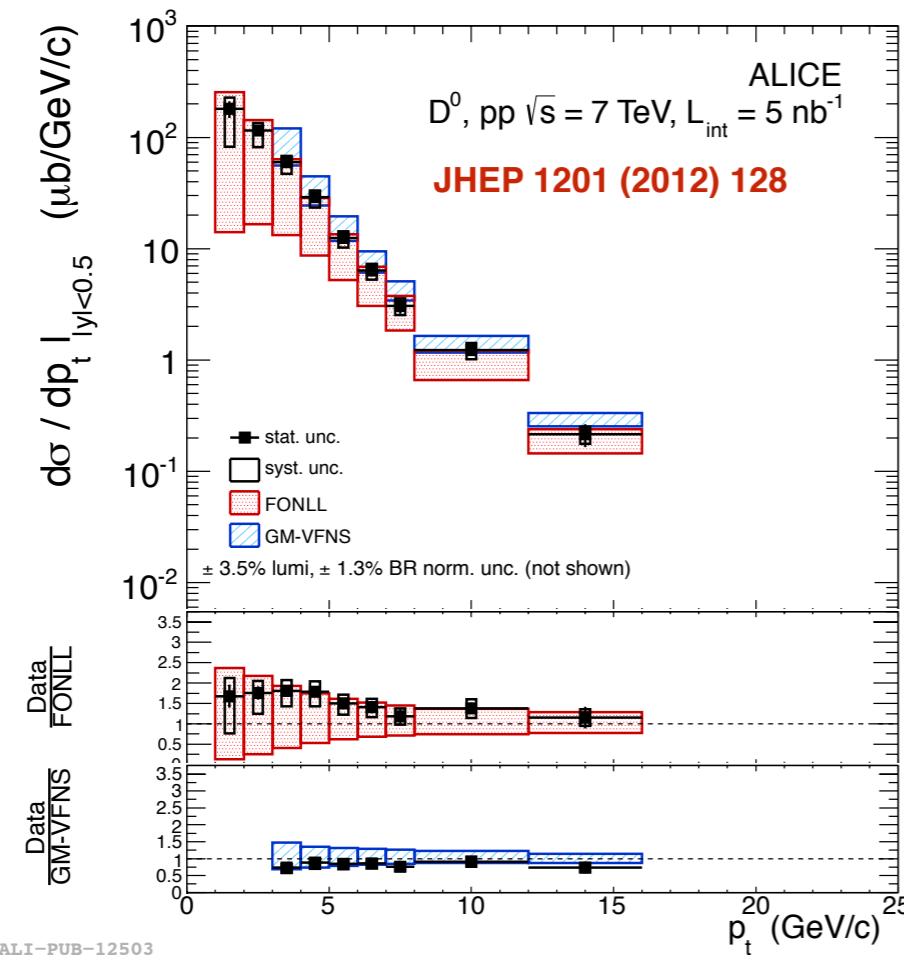


- Silicon Pixel Detector (SPD)**
 - $N_{\text{tracklets}} = \# \text{ track segments reconstructed in the SPD layers (two innermost layers of the ITS), } |\eta| < 1$
 - $N_{\text{tracklets}} \propto dN_{\text{ch}}/d\eta$
- Zero degree Neutron calorimeter (ZN)**
 - energy deposition of neutrons
- Forward Scintillator arrays (V0)**
 - $2.8 < \eta < 5.1$ and $-3.7 < \eta < -1.7$
 - signal amplitude Nv_0

Different pseudo-rapidity intervals

to test possible bias due to the measurement of the charged-particle multiplicity in the same pseudo-rapidity region of the D-meson decay particles

pp collisions: D-meson cross section



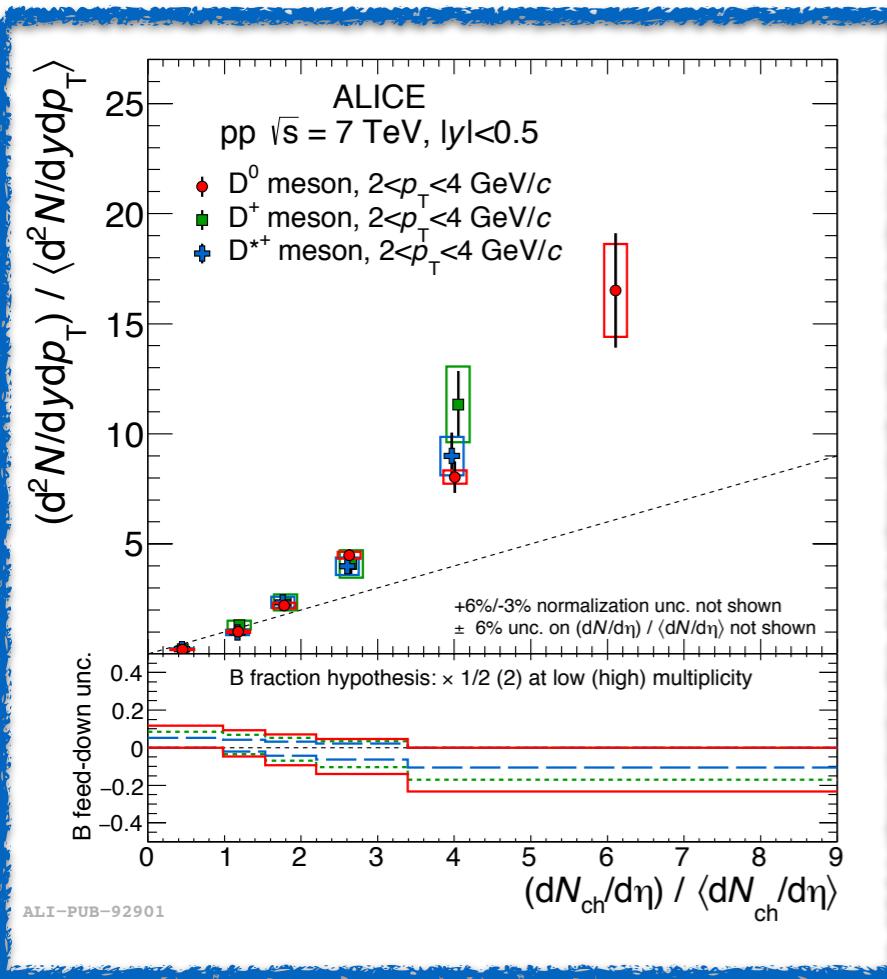
- D-meson p_T differential cross section measured in pp collisions at $\sqrt{s} = 7 \text{ TeV}$ and $\sqrt{s} = 2.76 \text{ TeV}$
- pQCD-based calculations compatible with data
 - FONLL Cacciari et al., JHEP 1210 (2012) 137
 - GM-VFNS Kniehl et al., EPJ C72 (2012) 2082
 - k_T factorization Maciula, Szczerba, PRD 87 (2013) 094022
- pQCD-based energy scaling of the 7 TeV cross section to 2.76 TeV is in good agreement with the measured cross section at the same energy
 - \sqrt{s} extrapolation based on FONLL used to define references for Pb-Pb and p-Pb measurements

pp collisions: D vs multiplicity



$$\frac{(\mathrm{d}^2N^{\mathrm{D}}/\mathrm{d}y\mathrm{d}p_{\mathrm{T}})^j}{\langle \mathrm{d}^2N^{\mathrm{D}}/\mathrm{d}y\mathrm{d}p_{\mathrm{T}} \rangle} = \left(\frac{1}{N_{\mathrm{events}}^j} \frac{N_{\mathrm{raw D}}^j}{\varepsilon_{\mathrm{prompt D}}^j} \right) \Bigg/ \left(\frac{1}{N_{\mathrm{MB trigger}}/\varepsilon_{\mathrm{MB trigger}}} \frac{\langle N_{\mathrm{raw D}} \rangle}{\langle \varepsilon_{\mathrm{prompt D}} \rangle} \right)$$

ALICE, arXiv:1505.00664



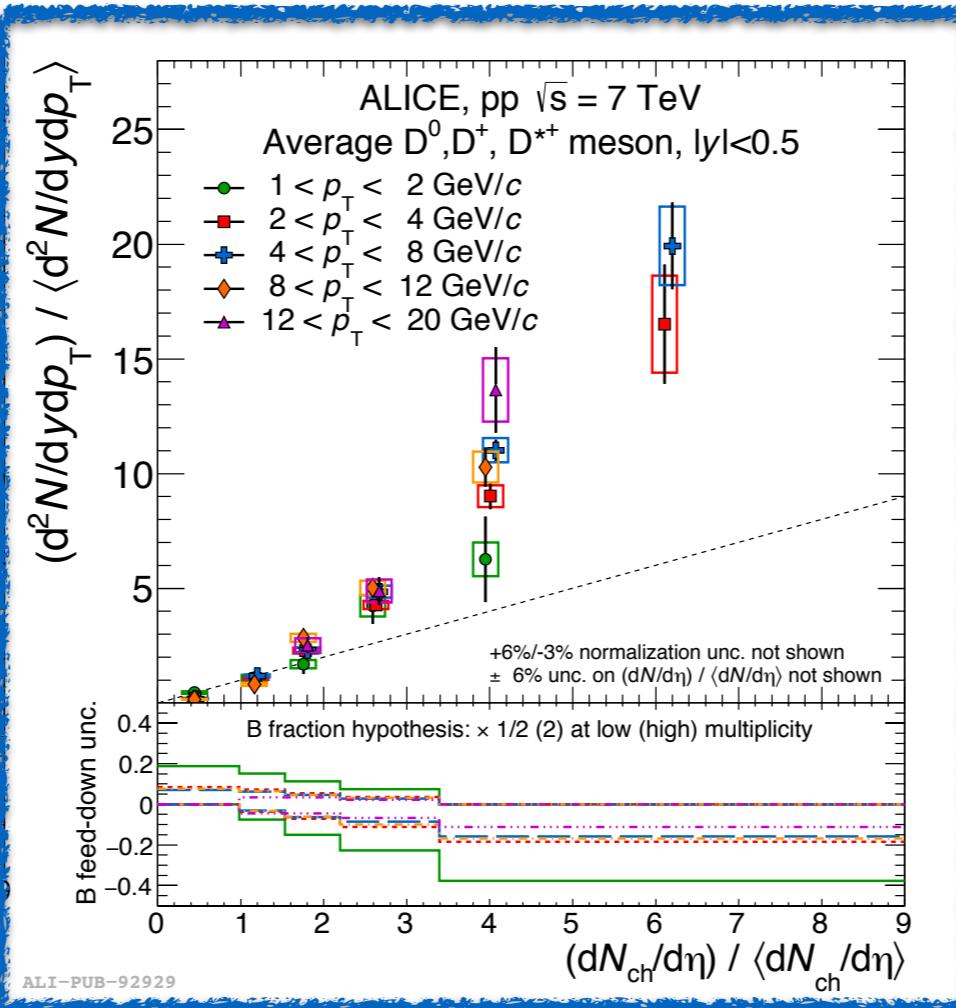
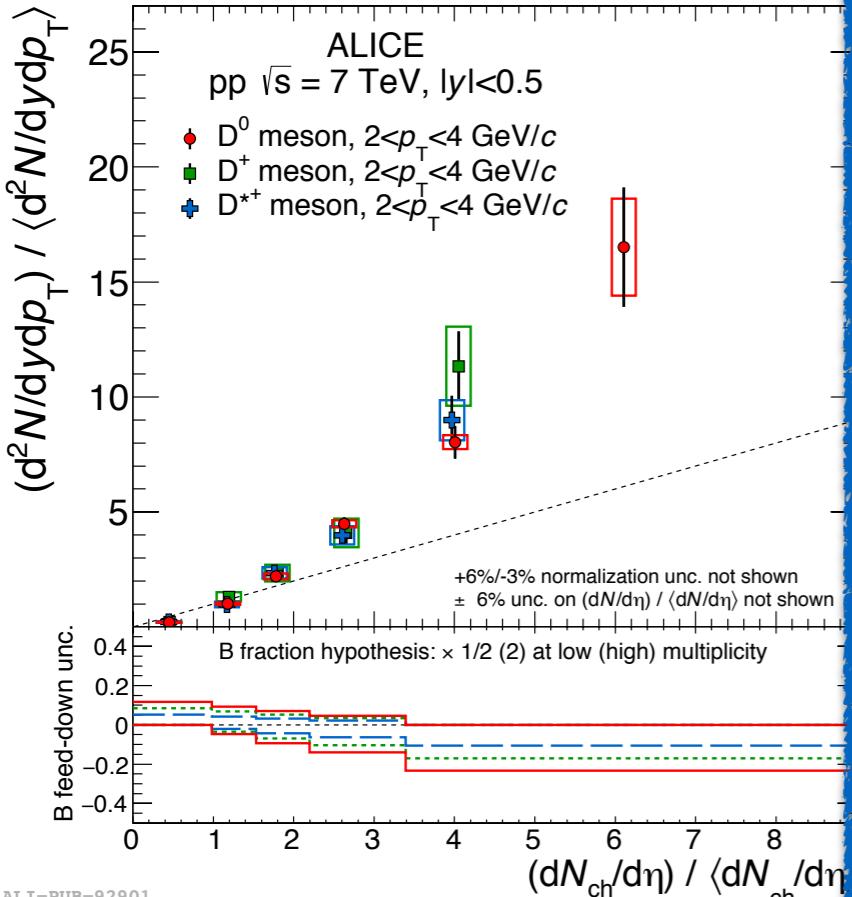
- $\mathrm{D}^0, \mathrm{D}^+, \mathrm{D}^*$ measurements are **in agreement** with each other within uncertainties
- Self-normalized yields increase with multiplicity

pp collisions: D vs multiplicity



$$\frac{(\mathrm{d}^2N^D/\mathrm{d}y\mathrm{d}p_T)^j}{\langle \mathrm{d}^2N^D/\mathrm{d}y\mathrm{d}p_T \rangle} = \left(\frac{1}{N_{\text{events}}^j} \frac{N_{\text{raw D}}^j}{\epsilon_{\text{prompt D}}^j} \right) / \left(\frac{1}{N_{\text{MB trigger}}/\epsilon_{\text{MB trigger}}} \frac{\langle N_{\text{raw D}} \rangle}{\langle \epsilon_{\text{prompt D}} \rangle} \right)$$

ALICE, arXiv:1505.00664



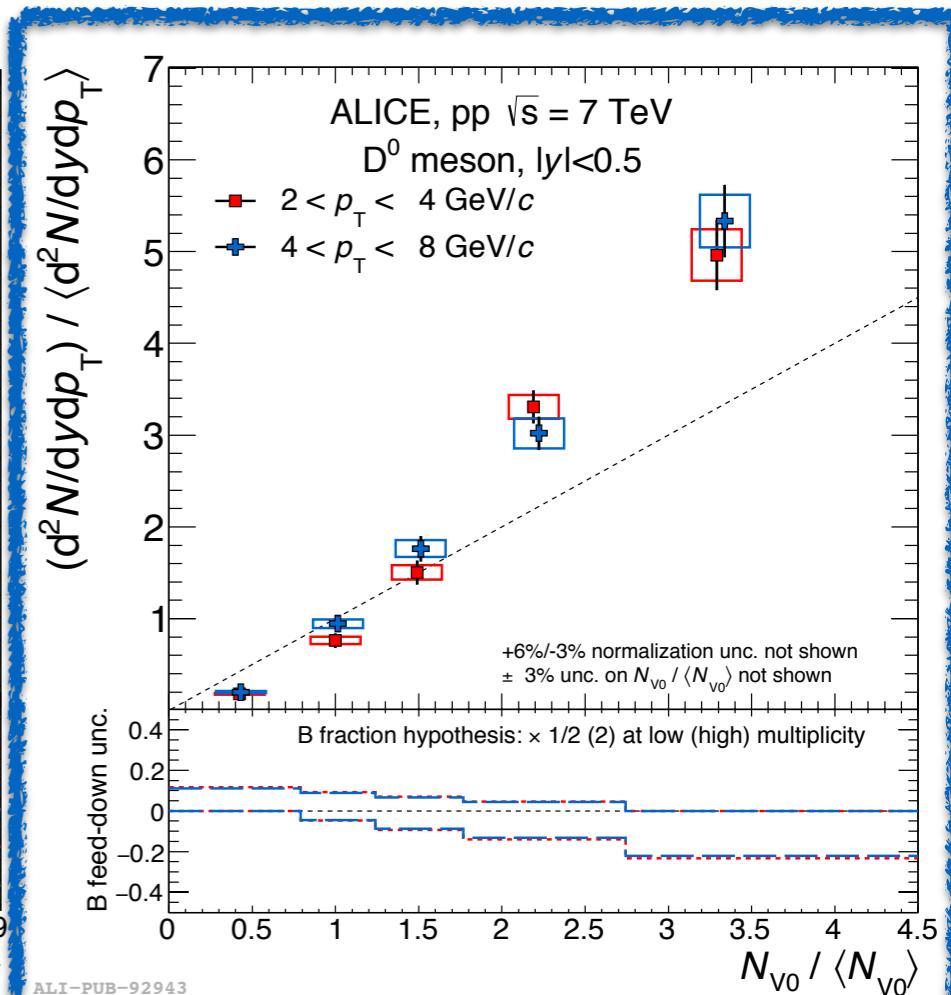
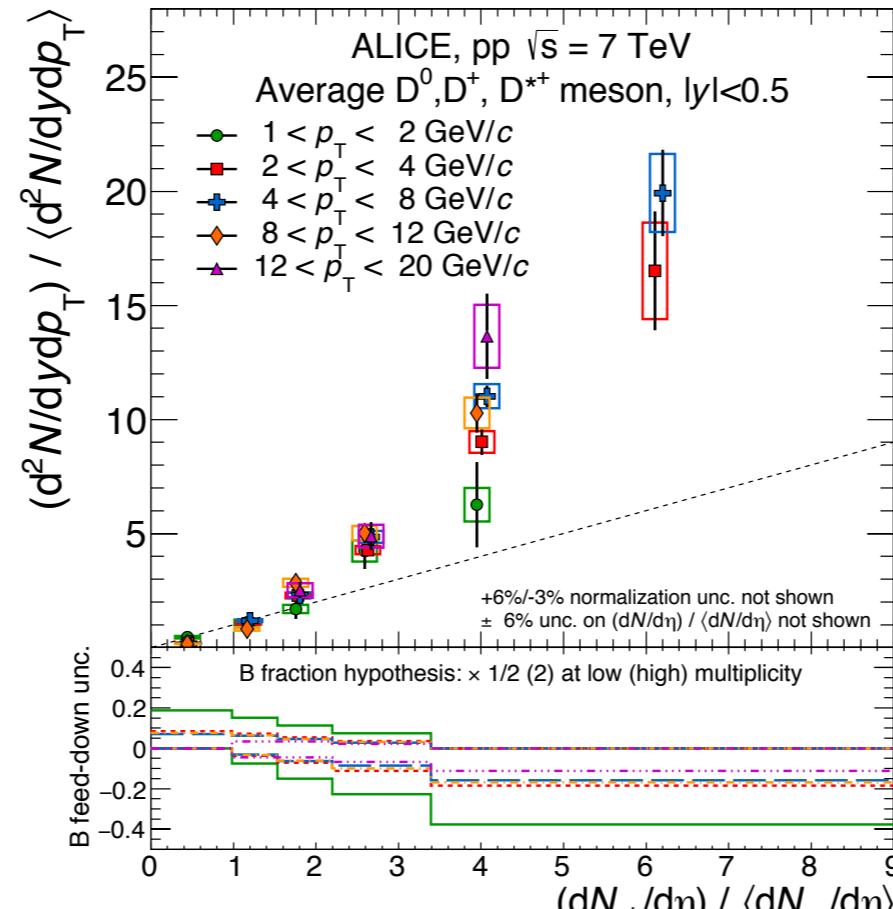
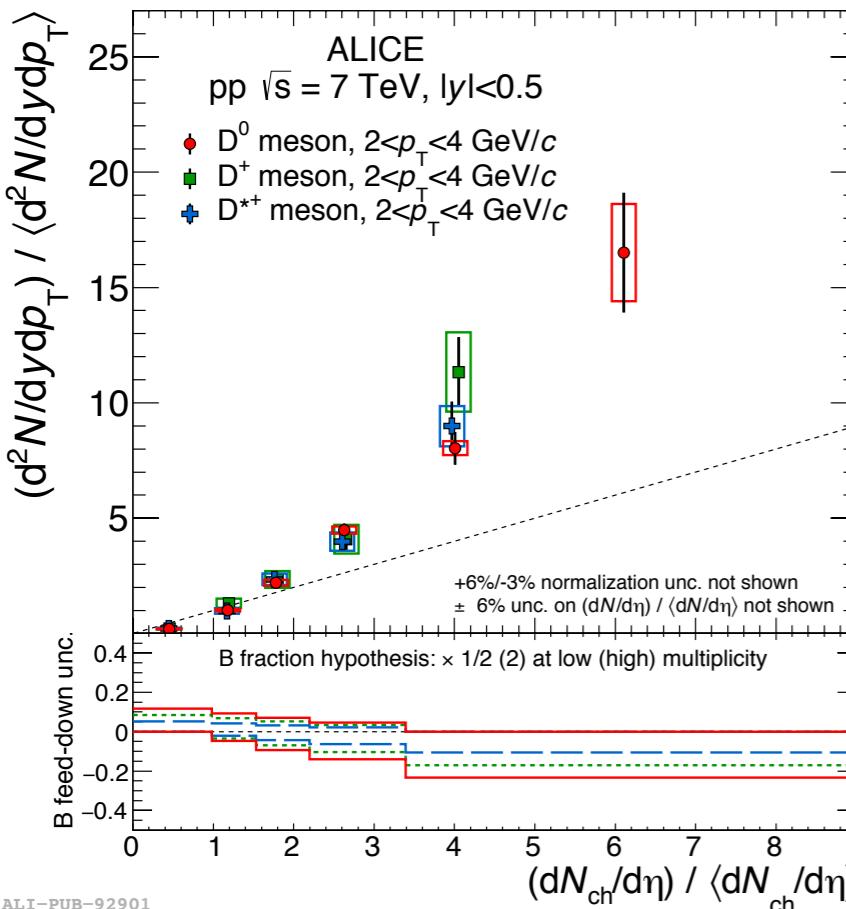
- D^0, D^+, D^* measurements are in agreement with each other within uncertainties
- Self-normalized yields increase with multiplicity
→ Average D-meson self-normalized yields
- **p_T independent** within uncertainties

pp collisions: D vs multiplicity



$$\frac{(\mathrm{d}^2N^{\mathrm{D}}/\mathrm{dydp_T})^j}{\langle \mathrm{d}^2N^{\mathrm{D}}/\mathrm{dydp_T} \rangle} = \left(\frac{1}{N_{\mathrm{events}}^j} \frac{N_{\mathrm{raw D}}^j}{\epsilon_{\mathrm{prompt D}}^j} \right) / \left(\frac{1}{N_{\mathrm{MB trigger}}} \frac{\langle N_{\mathrm{raw D}} \rangle}{\langle \epsilon_{\mathrm{MB trigger}} \rangle \langle \epsilon_{\mathrm{prompt D}} \rangle} \right)$$

ALICE, arXiv:1505.00664



- D^0, D^+, D^{*+} measurements are in agreement with each other within uncertainties
- Self-normalized yields increase with multiplicity
- Average D-meson self-normalized yields
- p_T independent trend within uncertainties
- Increasing trend vs multiplicity
 - also observed estimating the multiplicity **at forward rapidities**
- increase with multiplicity is not due to a possible bias at mid rapidity.

pp collisions: D and J/ψ vs multiplicity



ALICE

ALICE, arXiv:1505.00664

Average **D-meson** and
inclusive J/ψ
self-normalized yields as a
function of the
self-normalized
charged-particle multiplicity
at mid rapidity

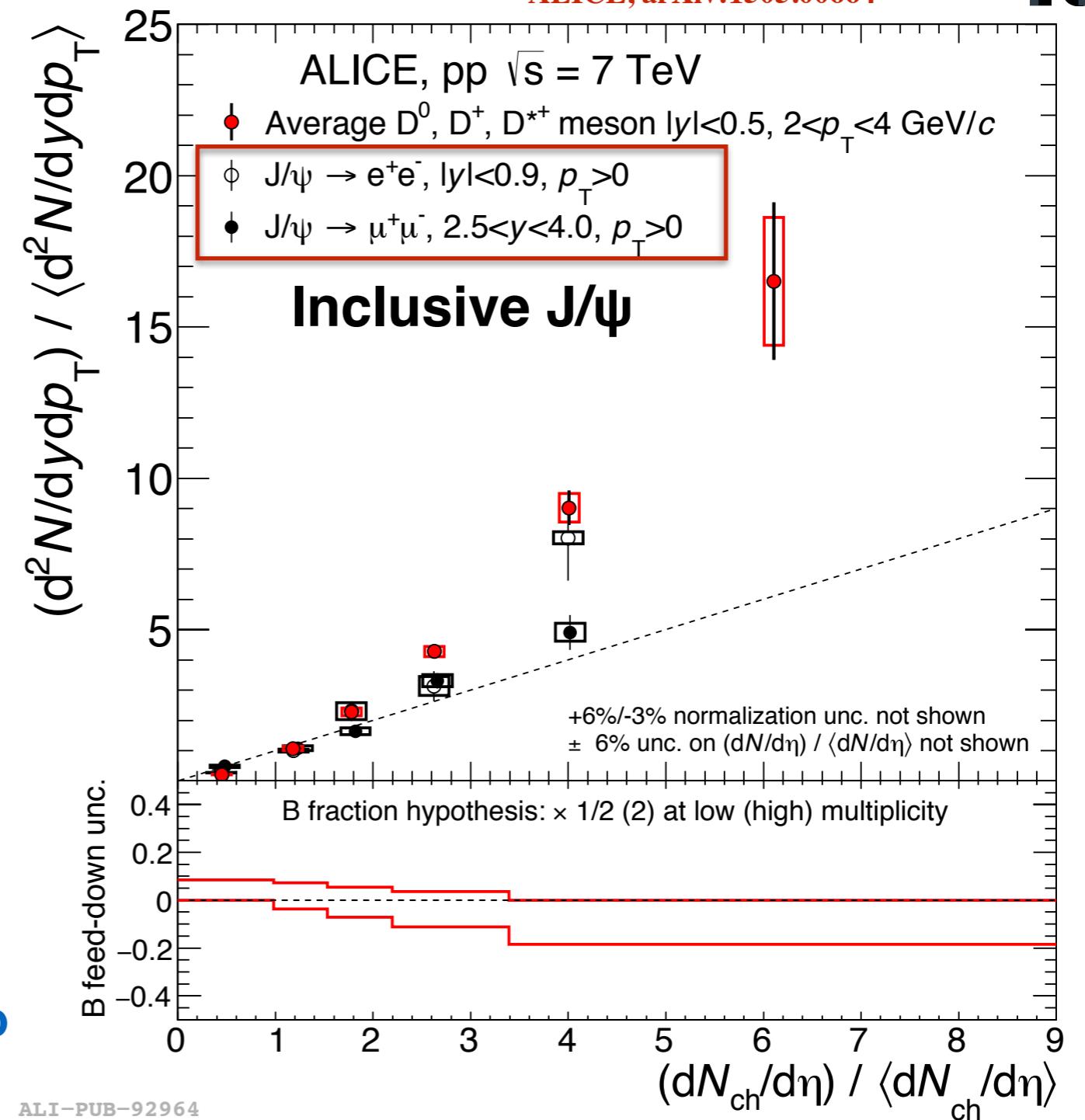
- D meson: $2 < p_T < 4 \text{ GeV}/c$
- inclusive J/ψ: $p_T > 0$.

**Similar increase with multiplicity of
open and hidden charm:**

but

- different p_T and η ranges

**Similar increase with multiplicity also
for D mesons and non-prompt J/ψ ,
i.e. for charm and beauty**



ALI-PUB-92964

pp collisions: comparison with models



ICEAL

Percolation model: Ferreiro, Pajares, PRC 86 (2012) 034903

particle production via exchange of colour sources between projectile and target (close to MPI scenario)

only p_T integrated results

- faster than linear increase

EPOS 3.099: Werner et al., PRC 89 (2014) 064903

initial conditions:

Gribov-Regge multiple scattering, saturation scale to model non-linear effects, # of MPI related to multiplicity, hadronization via string fragmentation

- linear increase and p_T dependence

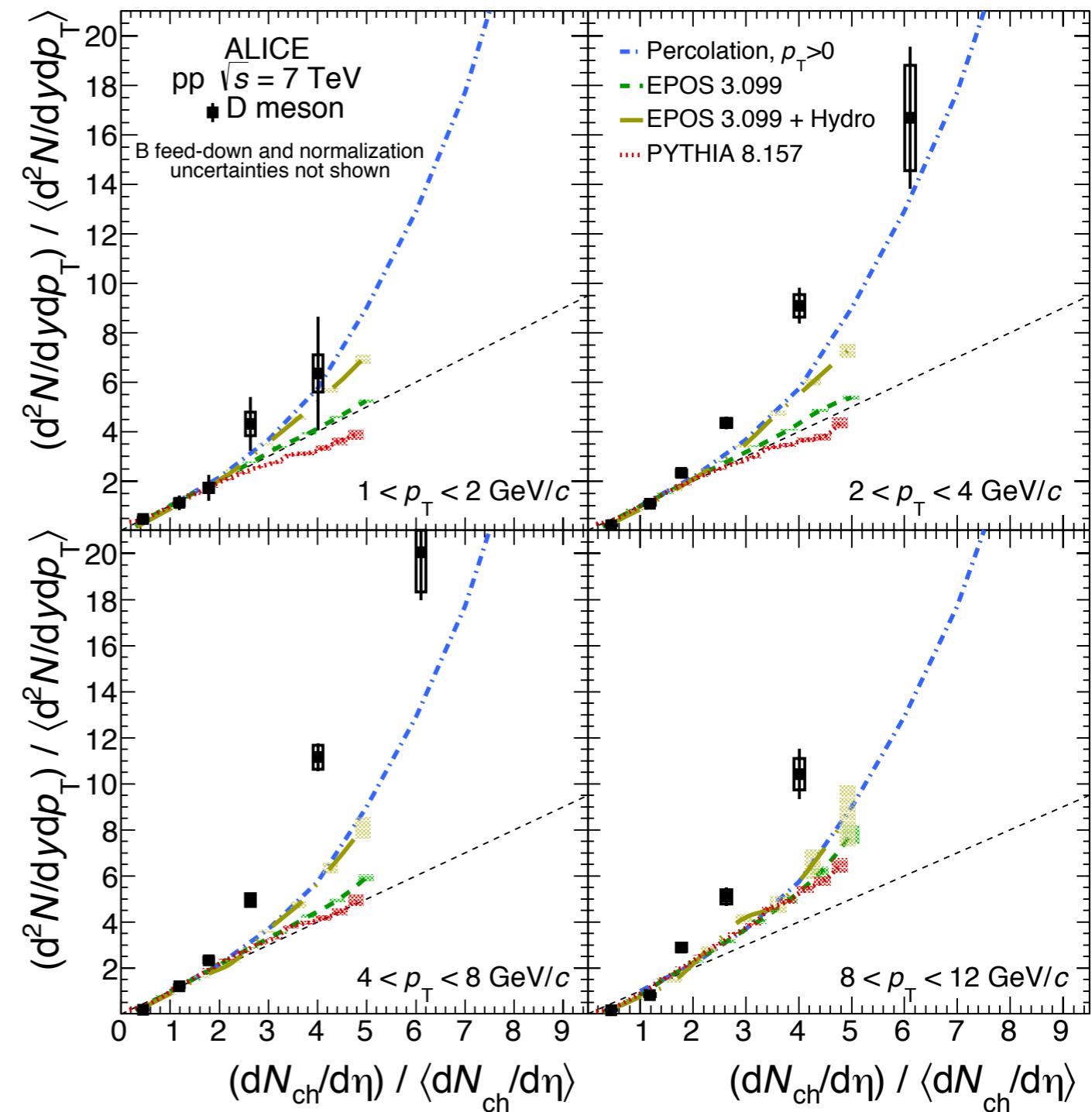
EPOS 3.099 + hydrodynamical evolution

- faster than linear increase

PYTHIA 8: Sjostrand et al., Comp.Phys.C178 (2008) arXiv:0710.3820

Soft QCD tune included, color reconnections, MPI scenario, gluon radiation in initial and final state

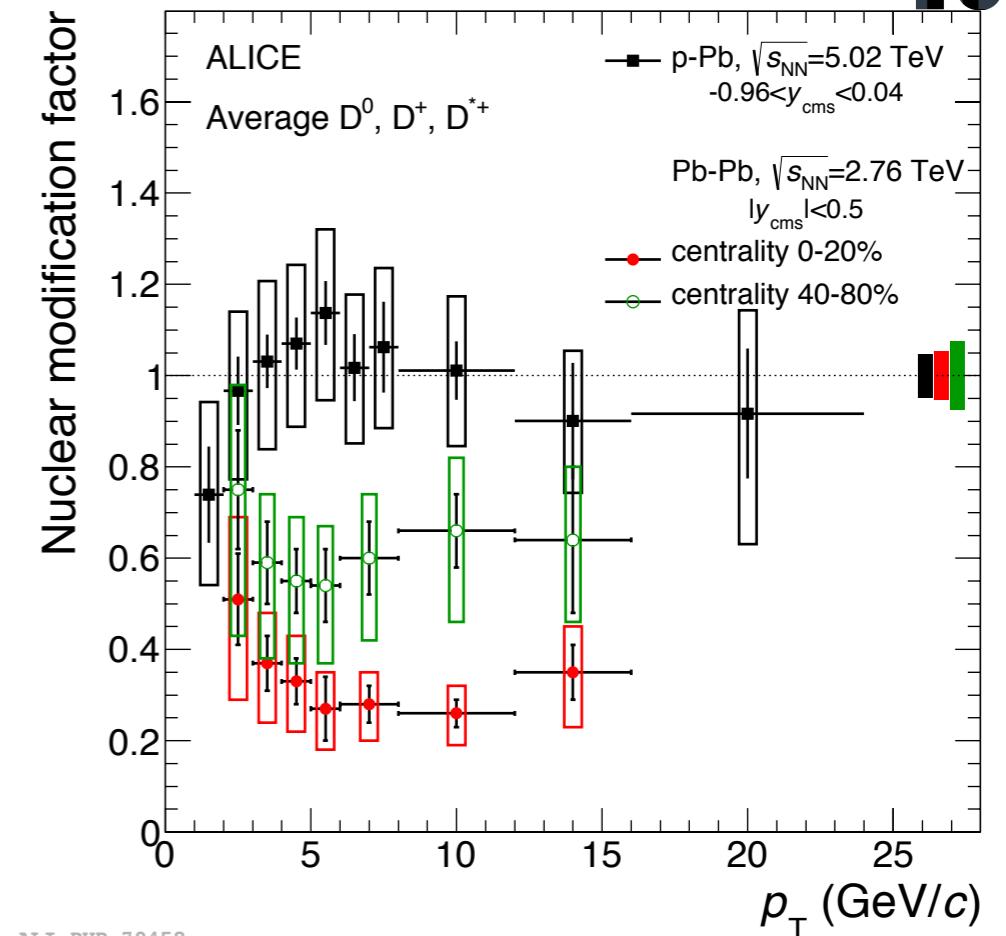
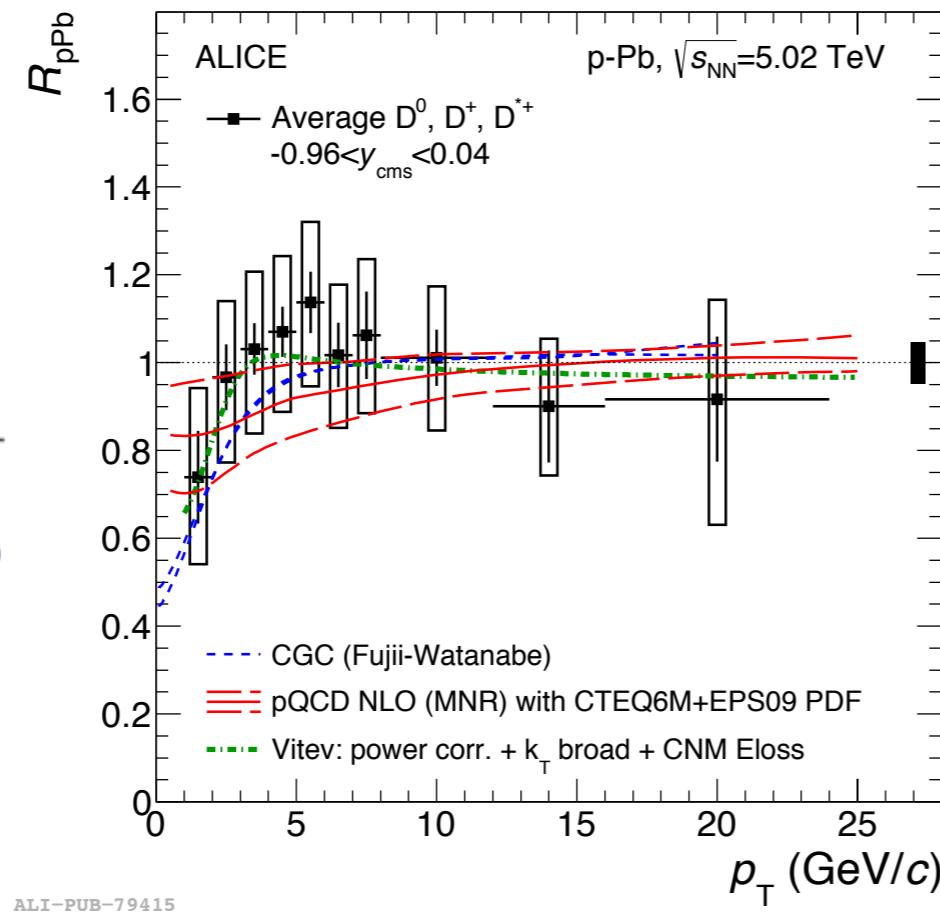
- linear increase and p_T dependence



Models including MPI describe the increasing trend

p-Pb collisions: R_{pPb}

$$R_{\text{pPb}} = \frac{\left(\frac{d\sigma}{dp_T}\right)_{\text{pPb}}}{A \times \left(\frac{d\sigma}{dp_T}\right)_{\text{pp}}}$$



- D-meson R_{pPb} at high p_T **compatible with unity** within uncertainties [Phys.Rev.Lett. 113 \(2014\) 23, 232301](#)
- Models including CNM effects describe the data within uncertainties:
 - **pQCD+Shadowing (EPS09)** [Phys. B 373 \(1992\) 295](#)
 - k_T broadening and CNM energy loss [\(JHEP 09 \(2012\) 112\)](#) [Phys. Rev. C 80 \(2009\) 054902](#)
 - **Color Glass Condensate** [Nucl. Phys.A 920 \(2013\) 78](#)
- Comparison with R_{AA} in **central** and **peripheral** Pb-Pb collisions: strong suppression at high p_T is due to final-state effects [JHEP 9 \(2012\) 112](#)

talk A. Festanti

p-Pb collisions: event-activity estimator



ICEAL

Centrality in p-Pb collisions: arxiv:1412.6828

biases in the determination of $\langle N_{\text{coll}} \rangle$

- multiplicity fluctuations, jet-veto bias, geometrical bias
- Lose correlations between N_{part} , multiplicity and impact parameter b
- bias depends on estimator used for multiplicity determination

Experimentally:

V0A: $\langle N_{\text{coll}} \rangle$ determined by Glauber fit of V0 amplitude

ZNA: $\langle N_{\text{coll}} \rangle$ obtained with a “Hybrid method”

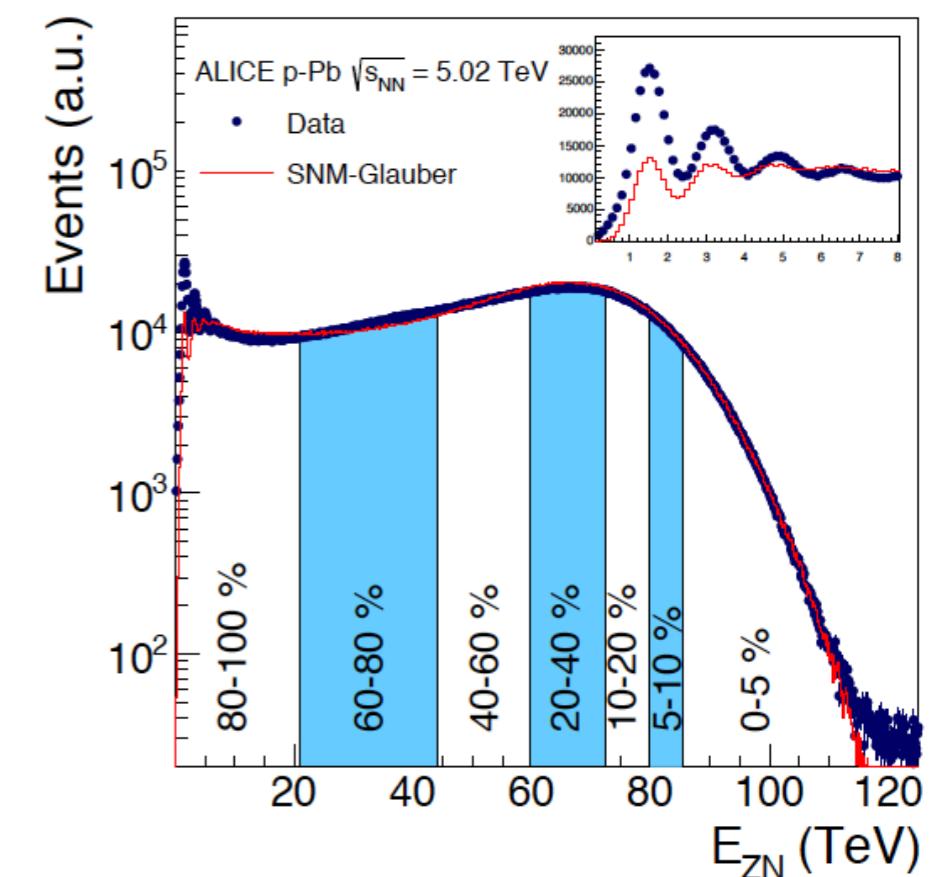
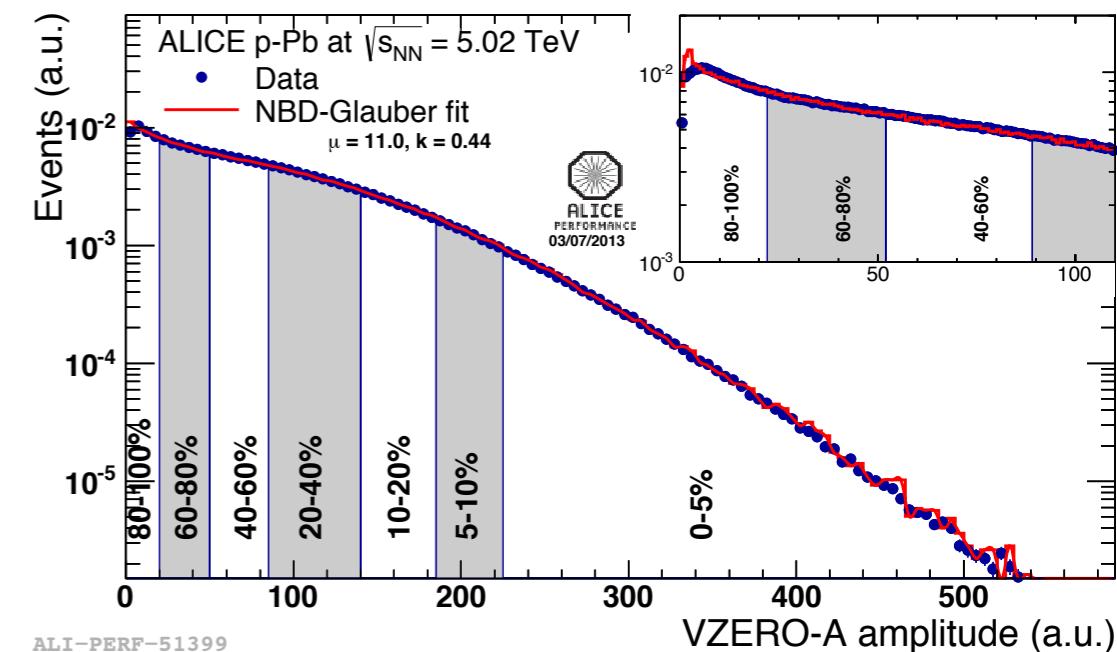
- slice events in ZN energy (Pb going side)
- $\langle N_{\text{coll}} \rangle$ in ZN energy class obtained by scaling the minimum bias value with the ratio between the average charged-particle multiplicity at mid rapidity in the same class and that measured in the minimum bias sample

$$Q_{\text{pPb}} = \frac{(\text{d}N^{\text{D}}/\text{d}p_{\text{T}})_{\text{pPb}}}{\langle T_{\text{pPb}} \rangle \times (\text{d}\sigma^{\text{D}}/\text{d}p_{\text{T}})_{\text{pp}}}$$

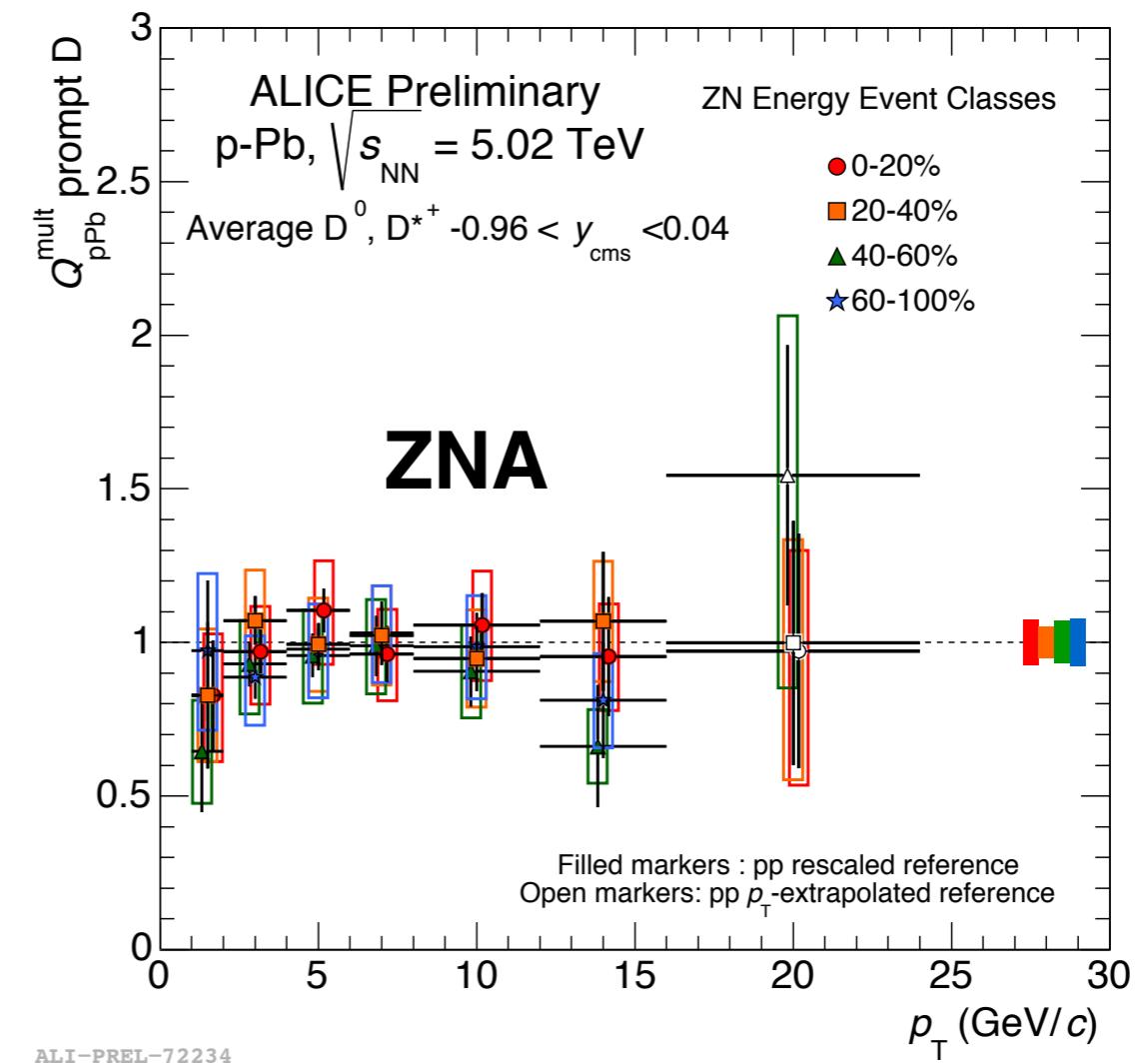
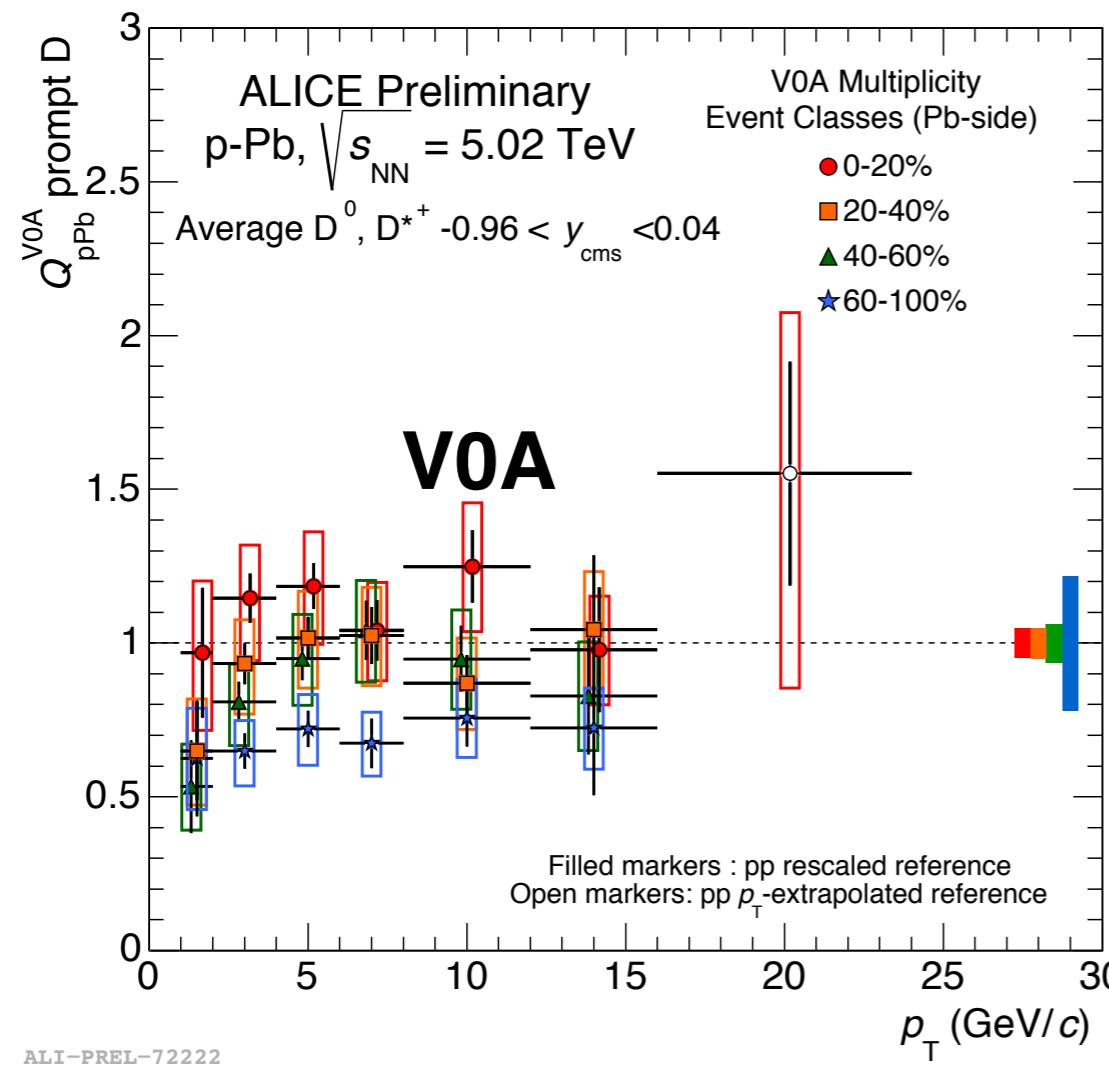
$$\langle T_{\text{pPb}} \rangle = \frac{\langle N_{\text{coll}} \rangle_i}{\sigma_{\text{NN}}}$$

investigate charm production in p-Pb collisions w.r.t. pp collisions: possible multiplicity dependent modification of the p_{T} spectra in p-Pb?

talk A. Toia

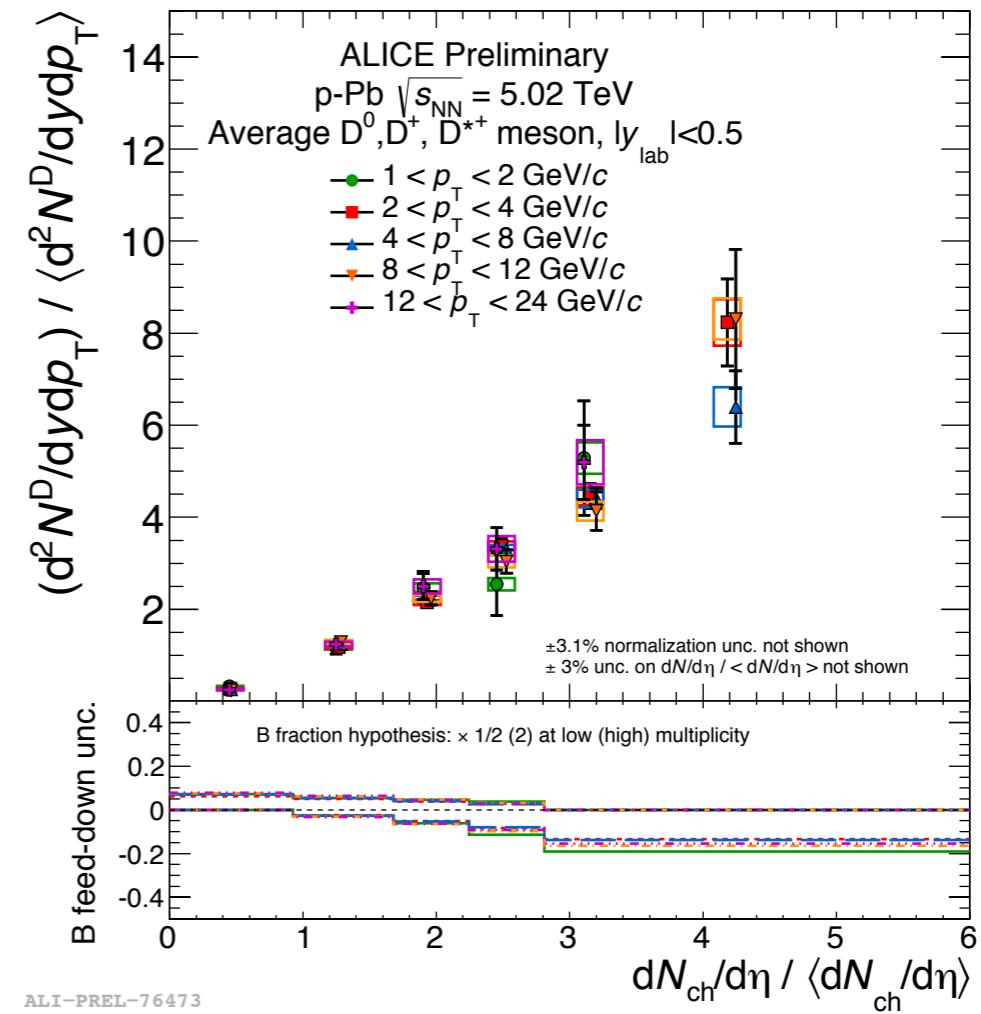
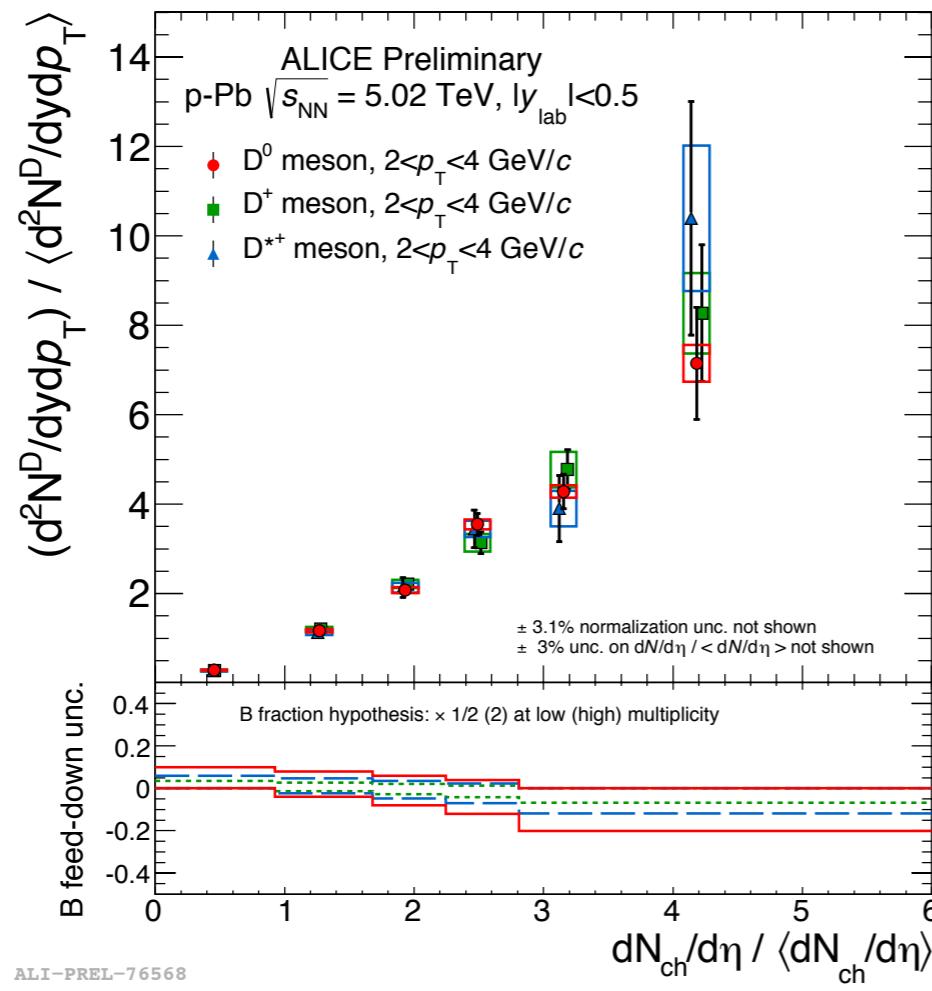


p-Pb collisions: Q_{pPb}



- **Q_{pPb} computed with V0A estimator:** hierarchy going from higher multiplicity to lower multiplicity
- **Q_{pPb} with ZNA:** no hierarchy is present. **Less biased estimator.**
 - same trend as the charged-particle nuclear modification factor in the same event activity classes estimated with V0A and ZNA
 - **no dependence of nuclear modification factor on event activity**

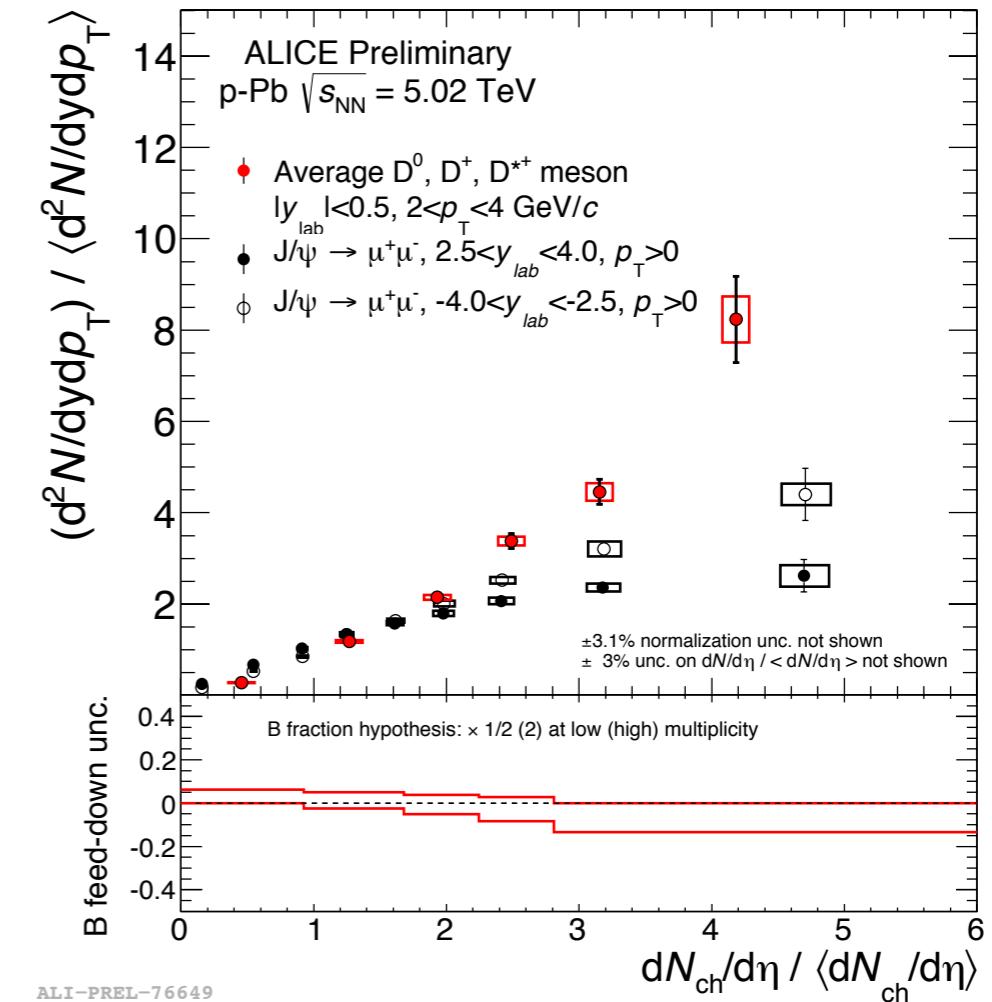
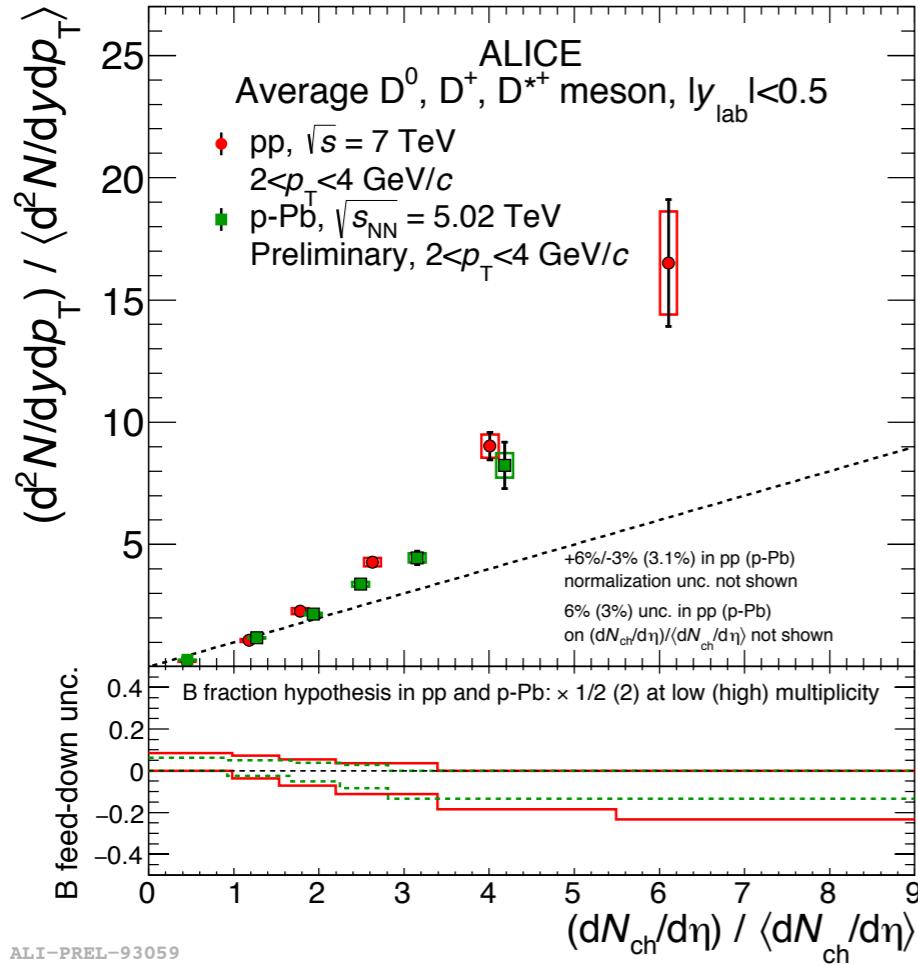
p-Pb collisions: D mesons vs multiplicity



Multiplicity estimator: N_{tracklets}

- D⁰, D⁺, D^{*} measurements are in agreement within uncertainties
- **Self-normalized yields increase with multiplicity**
 —> Average D-meson self-normalized yields
- **p_T independent** within uncertainties

p-Pb collisions: comparison with pp and J/ ψ in p-Pb



Similar observations in pp and p-Pb:

- **pp** described by MPI models
 - not affected by hadronization mechanism
ALICE, arXiv:1505.00664
- **p-Pb** also affected by the multiple binary nucleon-nucleon interactions in each p-Pb collision

J/ ψ self-normalized yields increase in p-Pb with multiplicity

- different magnitude with respect to D mesons at high multiplicity
- but: different p_T and η of the measurement
⇒ different CNM effects expected and different Bjorken-x probed.

Conclusions



D-meson production in pp collisions:

- **test for pQCD:** D-meson production well described by models within uncertainties

D-meson production in p-Pb collisions:

- **constrain CNM effects**
 - R_{pPb} is compatible with models including CNM effects, but large uncertainties
→ comparison not conclusive
 - R_{pPb} at high p_T compatible with unity within uncertainties confirms that the suppression observed in Pb-Pb collisions is a dense and hot nuclear matter effect

D-meson production vs multiplicity in pp and p-Pb collisions:

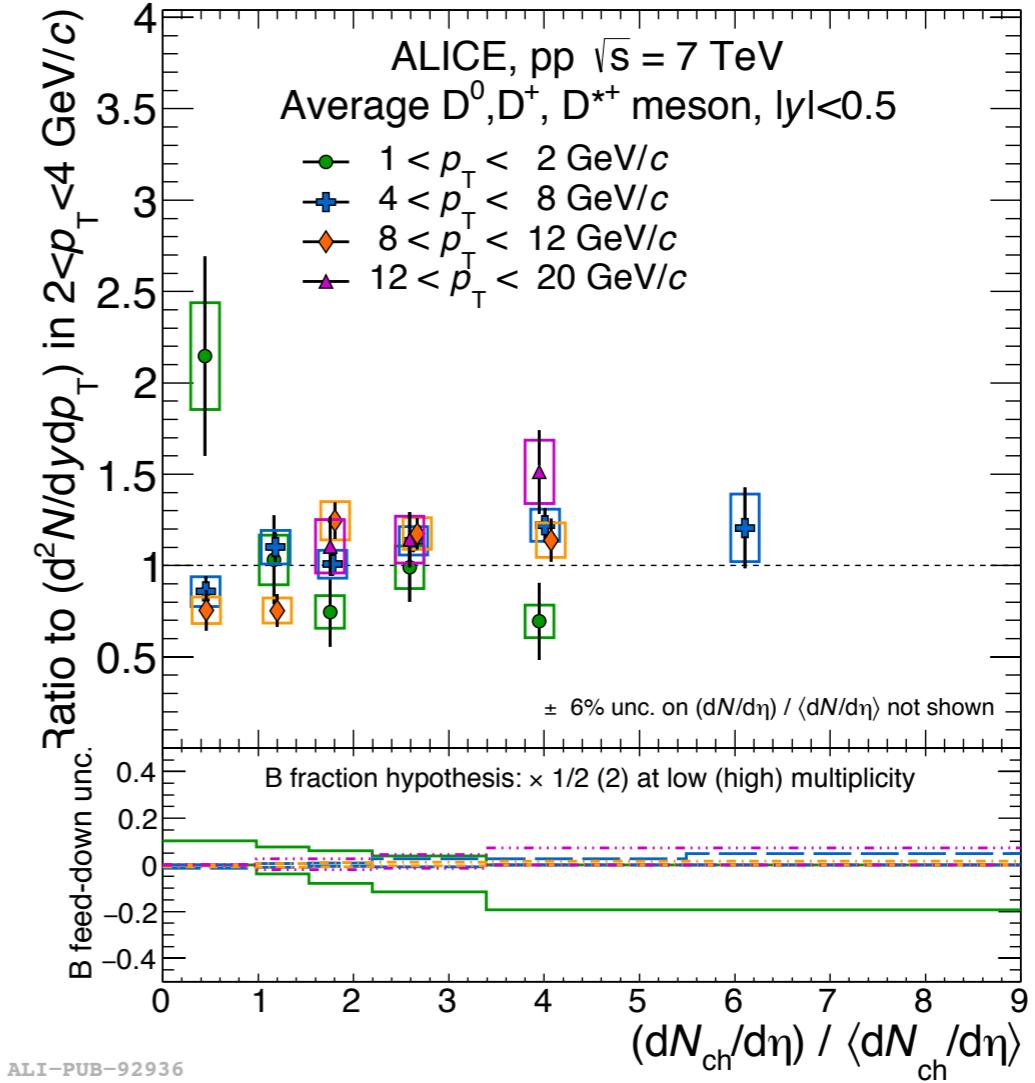
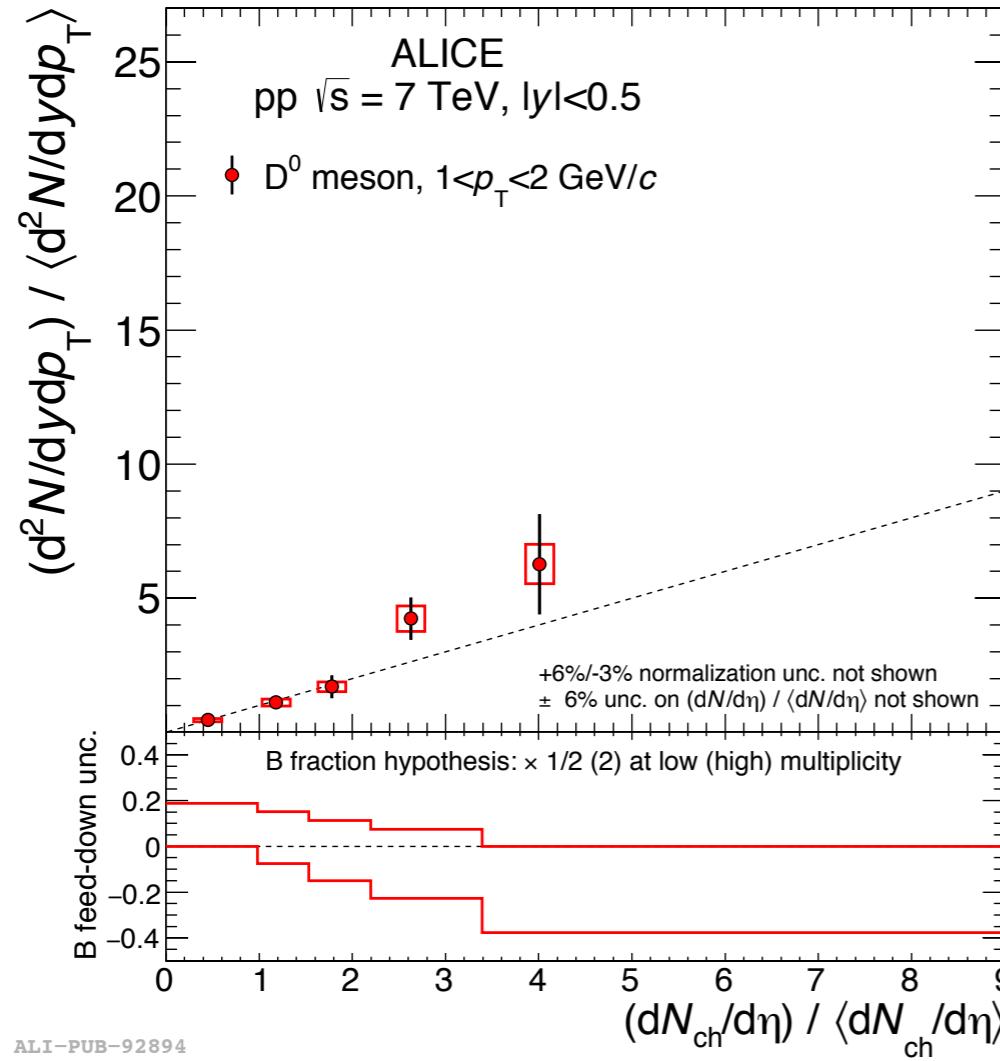
- in p-Pb collisions no evidence for a multiplicity dependence of the D-meson nuclear modification factor has been observed
- D-meson self-normalized yields increase with increasing charged-particle multiplicity in the two systems
 - in pp described by models including MPI
 - in p-Pb collisions also affected by multiple binary nucleon-nucleon collisions

Extend these measurements to higher multiplicity and higher \sqrt{s} to investigate the multiplicity dependence more quantitatively → Run2



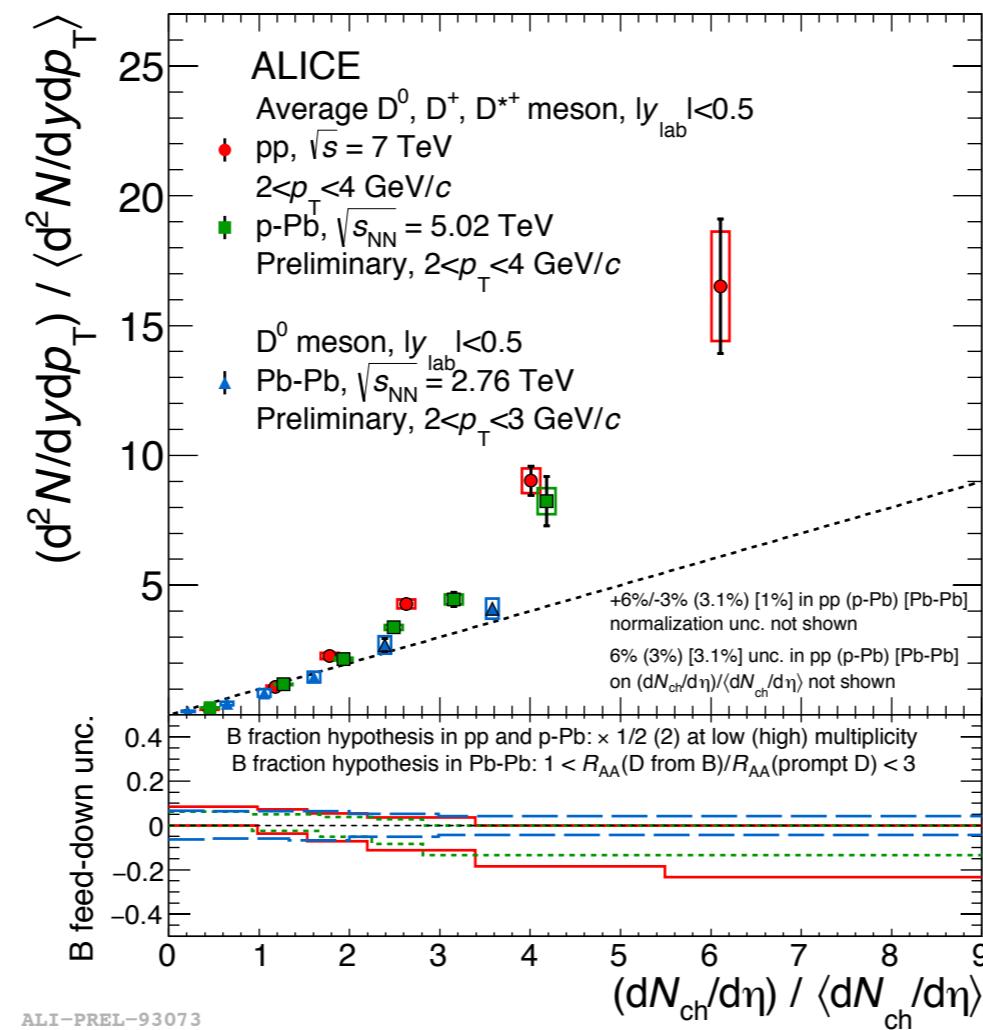
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D mesons vs multiplicity in pp



- D^0, D^+, D^* measurements are in agreement within uncertainties
→ Average D-meson relative yields
- p_T independent trend within uncertainties
- Increasing trend vs multiplicity
 - **also** observed estimating the multiplicity **at forward rapidities**
 - increasing trend with multiplicity is not due to the possible bias at mid rapidity.

D mesons vs multiplicity in pp, p-Pb, Pb-Pb



- trend reflects evolution of N_{coll} and R_{AA} with centrality
- caveat comparing pp with Pb-Pb collisions: highest multiplicity bin corresponds to 10% of the total cross section in Pb-Pb but only 1% in pp collisions

Centrality estimator in p-Pb: biases



Multiplicity bias: fluctuations sizable

→ Bias on Mult/N_{part} at central and peripheral collisions

MC models with multi-parton interaction (MPI) include fluctuations of particle sources (hard scatterings)

HIJING (X.N. Wang, M. Gyulassy, nucl-th/9502021)

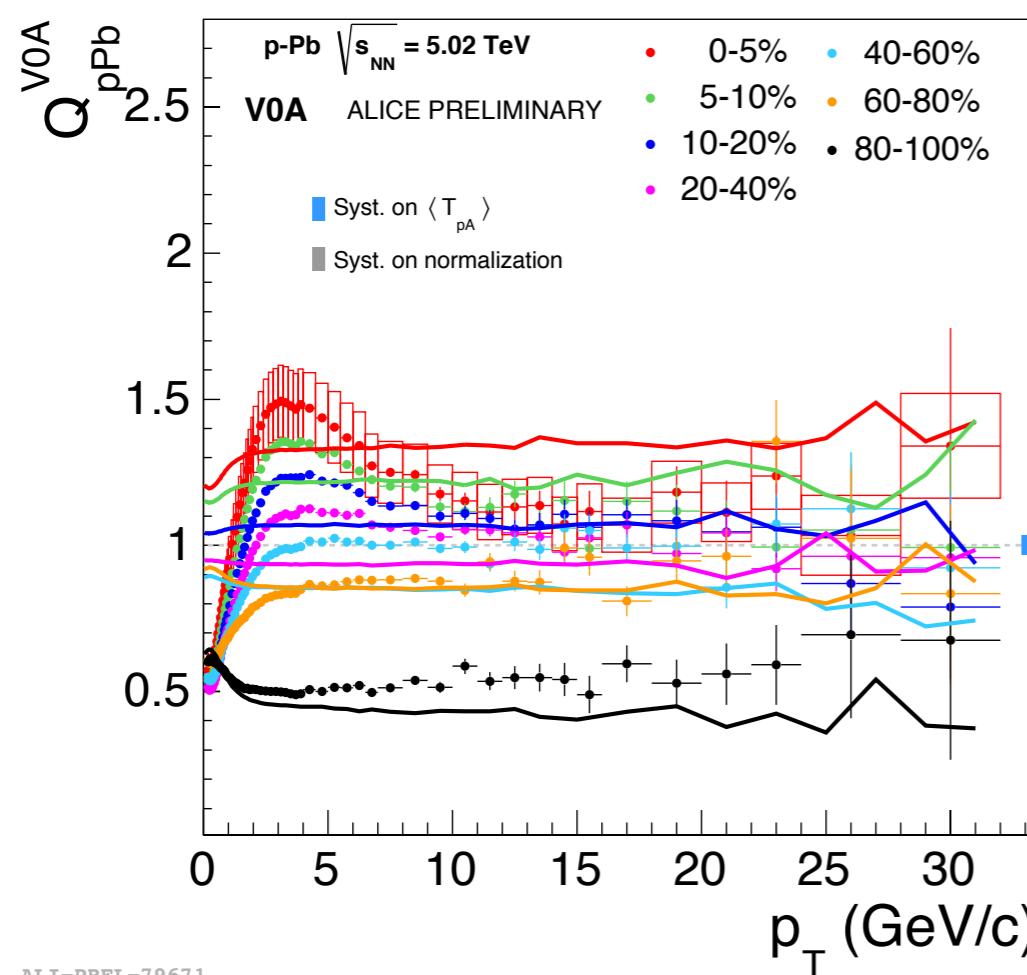
→ bias in mult ~ bias in hard scattering

much smaller in Pb-Pb

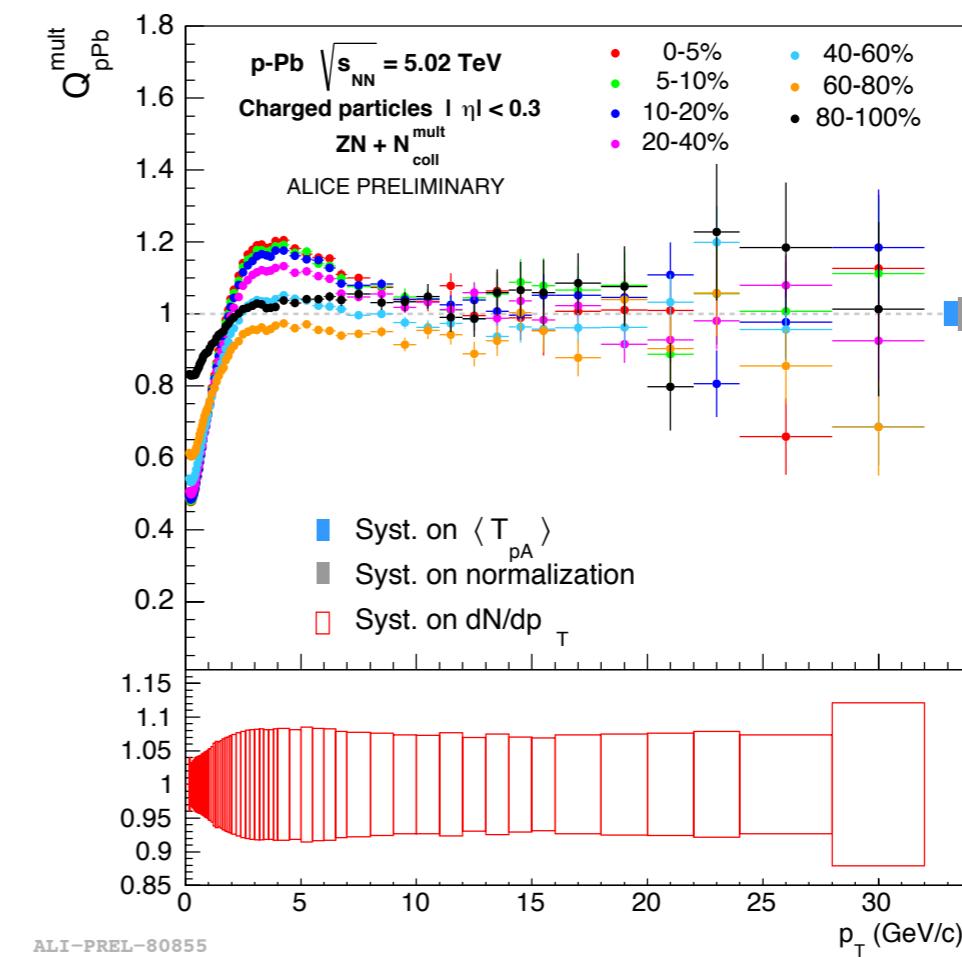
- **Jet-veto:** multiplicity range in peripheral events represent an effective veto on hard processes

- **Geometry bias:**

Mean nucleon-nucleon **impact parameter** (b_{NN}) increases in peripheral collisions



ALI-PREL-79671



ALI-PREL-80855

J/ ψ in pp



Pythia 6.4 with only first hard processes as mechanisms of charm production.
No contributions from MPI nor clusters formation processes

**Clear different trend w.r.t. data:
models w/o MPIs don't describe the data**

**non-prompt J/ ψ fraction in the inclusive J/ ψ yields (f_B)
as a function of
of the relative charged-particle
multiplicity at central rapidity
for $p_T > 1.3 \text{ GeV}/c$**

$$\frac{dN_{J/\psi}^{\text{non-prompt}}/dy}{\langle dN_{J/\psi}^{\text{non-prompt}}/dy \rangle} = \frac{dN_{J/\psi}/dy}{\langle dN_{J/\psi}/dy \rangle} \cdot \frac{f_B}{\langle f_B \rangle}$$

